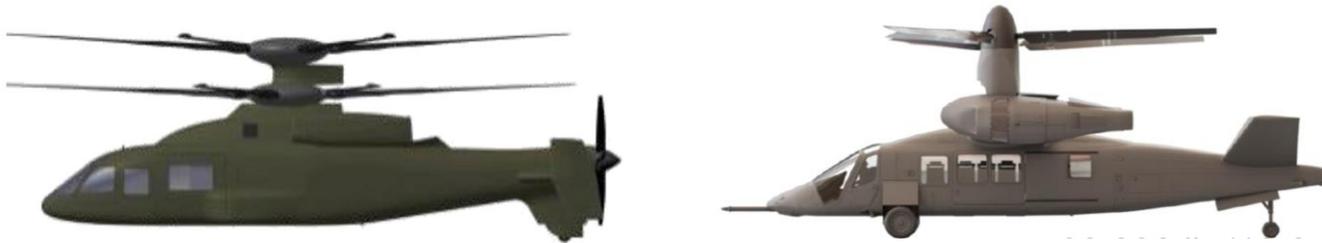


Recent Research Progresses in Rotorcraft Flight Dynamics and Autonomous Flight Control at KKKU



2024. 02

Prof. Chang-Joo Kim (Konkuk University, Seoul, Korea)

Recent Research Progresses in Rotorcraft Flight Dynamics and Autonomous Flight Control at KJU

Part 1: Rotorcraft Flight Dynamics

2024. 02

Prof. Chang-Joo Kim (Konkuk University, Seoul, Korea)

1

Flight Dynamic Model (HETLAS)

2

Recent Progress in HETLAS Applications

Importance and Methodologies of MTE Analysis

Kinematically Exact Inverse Simulation Techniques

Direct Dynamic Simulation Approach to NOCP

3

Summary of Part 1

Objectives of HETLAS

HETLAS: Helicopter Trim, Linearization, And Simulation

Primary Tool for Rotorcraft Design/Development using Following Functions and Applications

Flight Dynamic Analysis

- Trim
- Linearization
- Simulation

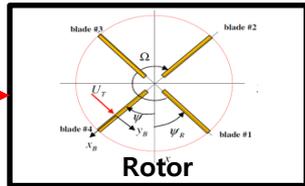
Applications for MTE Analysis / FCS Development

- Inverse Simulation
- Nonlinear Optimal Control Analysis
- Design/Evaluation of Flight Control Laws

Evolution of Rotorcraft Development

1st Generation ('45~'60) R-5 / H-21	2nd Gen.('60~'75) CH-47A,UH-1, CH-53	3rd Gen. ('75~'90) UH-60A AH-64A	3+Gen.('90~'15) V-22, UH-60M, AH-64E CH-47-II, A/UH-1Y/Z	4th Gen.('15~'20) RAH-66 CH-53K	5th Gen.('30~) FVL, MUX FUAS
					
<ul style="list-style-type: none"> • <100 knots • Reciprocating EG • Mechanical FCS • Wooden Blade • Low Survivability 	<ul style="list-style-type: none"> • <130 knots • Turboshaft EG • Mechanical AFCS • Metal Blade • Passive Survivability 	<ul style="list-style-type: none"> • >150 knots • Power Increased • Mechanical AFCS • Composite Blade • Active • Crashworthiness 	<ul style="list-style-type: none"> • >150 knots • High Efficiency • FBW FCS • Composite Blade • Sensor Fusion • Low RF/Noise 	<ul style="list-style-type: none"> • >170 knots • Extreme Efficiency • Digital FBW FCS • Composite Blade/Structure • Active Crashworthy 	<ul style="list-style-type: none"> • >200 knots • Long End./Range • Ind. Blade Control • Compounding • Adaptive FCS • Autonomous FCS

Modeling Concept: Component-Based / Physical-Law-Based Approach



Rotor



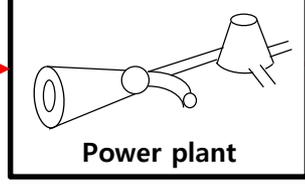
Wing/Control surf.



Fuselage



Propeller/Ducted



Power plant



Flight control system

External forces and moments

Force Summation

$$X = X_{Rotor} + X_{Wing} + X_{Fus} + \dots$$

$$Y = Y_{Rotor} + Y_{Wing} + Y_{Fus} + \dots$$

$$Z = Z_{Rotor} + Z_{Wing} + Z_{Fus} + \dots$$

Gravity forces

Atmospheric conditions
Turbulence model
Wind shear model
Etc.

Moment Summation

$$L = L_{Rotor} + L_{Wing} + L_{Fus} + \dots$$

$$M = M_{Rotor} + M_{Wing} + M_{Fus} + \dots$$

$$N = N_{Rotor} + N_{Wing} + N_{Fus} + \dots$$

Flight dynamic equations

Linear motion equations

$$\dot{u} + (wq - vr) + g \sin \theta = X / m$$

$$\dot{v} + (ur - wp) + g \sin \phi \cos \theta = Y / m$$

$$\dot{w} + (vp - uq) + g \cos \phi \cos \theta = Z / m$$

Attitude kinematics

- Euler attitude angles
- Quaternion
- Finite rotation angles

Position kinematics

Angular motion equations

$$I_{xx} \dot{p} - (I_{yy} - I_{zz})qr - I_{xz}(\dot{r} + pq) = L$$

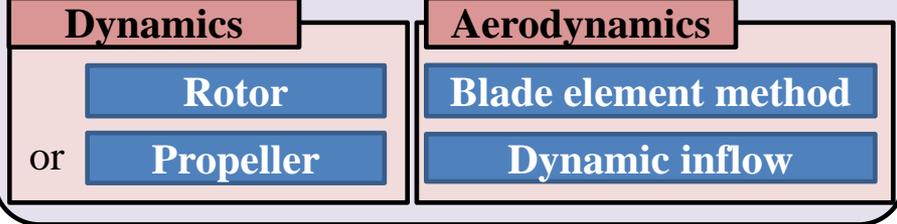
$$I_{yy} \dot{q} + (I_{xx} - I_{zz})pr + I_{xz}(p^2 - r^2) = M$$

$$I_{zz} \dot{r} - (I_{xx} - I_{yy})pq - I_{xz}(\dot{p} + qr) = N$$



Generalized Rotor Model

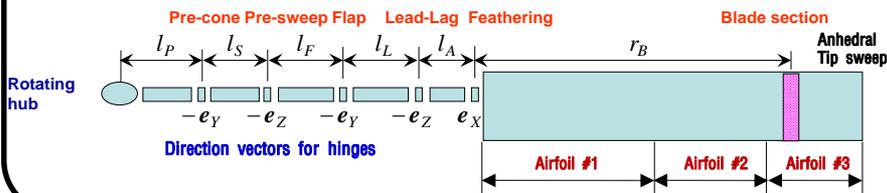
Unified Rotor Models



Diversity in Rotor Configurations is reflected in selecting Requirements for Rotor Model

Rotor-components modeling requirements

- Any configurations of the rotor/propeller can be handled.
- Flapping and lagging motions are independently adopted (Ex. No dynamics: ABC rotor blade)
- Interference among rotors using empirical data.
- Both Pitch controls and RPM control are selectable.
- Number of blades and airfoils is not limited.
- Input data of each blade are received from external files
- Various inflow models
- High-fidelity rotor modeling techniques
- General rotor orientations
- General directions of rotor rotation (CW, CCW)



Rotor Type		Dynamics	Control	Location	Orientation
Propeller		No dynamics	collective or RPM	Front (Pull) or Rear (Pusher)	90 deg FWD tilt
Rotor	Conventional main rotor	Flap / Lag / RPM	Collective 2 cyclic pitches	Top/center	Vertical (reference) Small FWD tilt
	Conventional tail rotor	Flap / RPM (MR dependent)	collective	Rear	±90 deg sideward tilt with small cant angle
	Gimbal/Teetering main rotor	Flap Gimbal	Collective 2 cyclic pitches	Top/center	Vertical (reference) Small FWD tilt
	Gimbal/Teetering tail rotor	Flap Gimbal	Collective	Rear	±90 deg sideward tilt with small cant angle
	ABC (Advanced Blade Concept)	No dynamics	Collective 2 cyclic pitches	Top/center	Vertical Small FWD tilt
	Ducted	No dynamics	Collective (thrust vectoring)	Design dependent	Design dependent

Generalized Wing Model (for Rotorcrafts with Lift Compounding)

Unified Wing Models

Wing + Control Surface

Strip Theory

Lifting Line Theory

Airfoil aerodynamic data Table Lookup

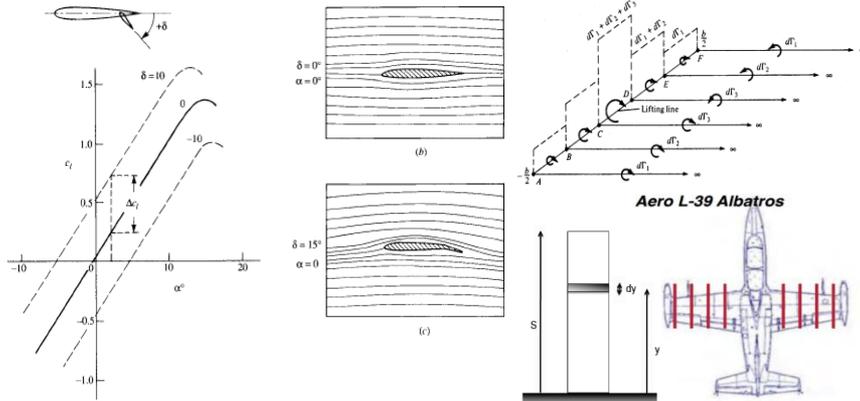
Biot-Savart Law

Lift Increment Estimation

Diversity in Wing Configurations is reflected in selecting Requirements for Wing Model

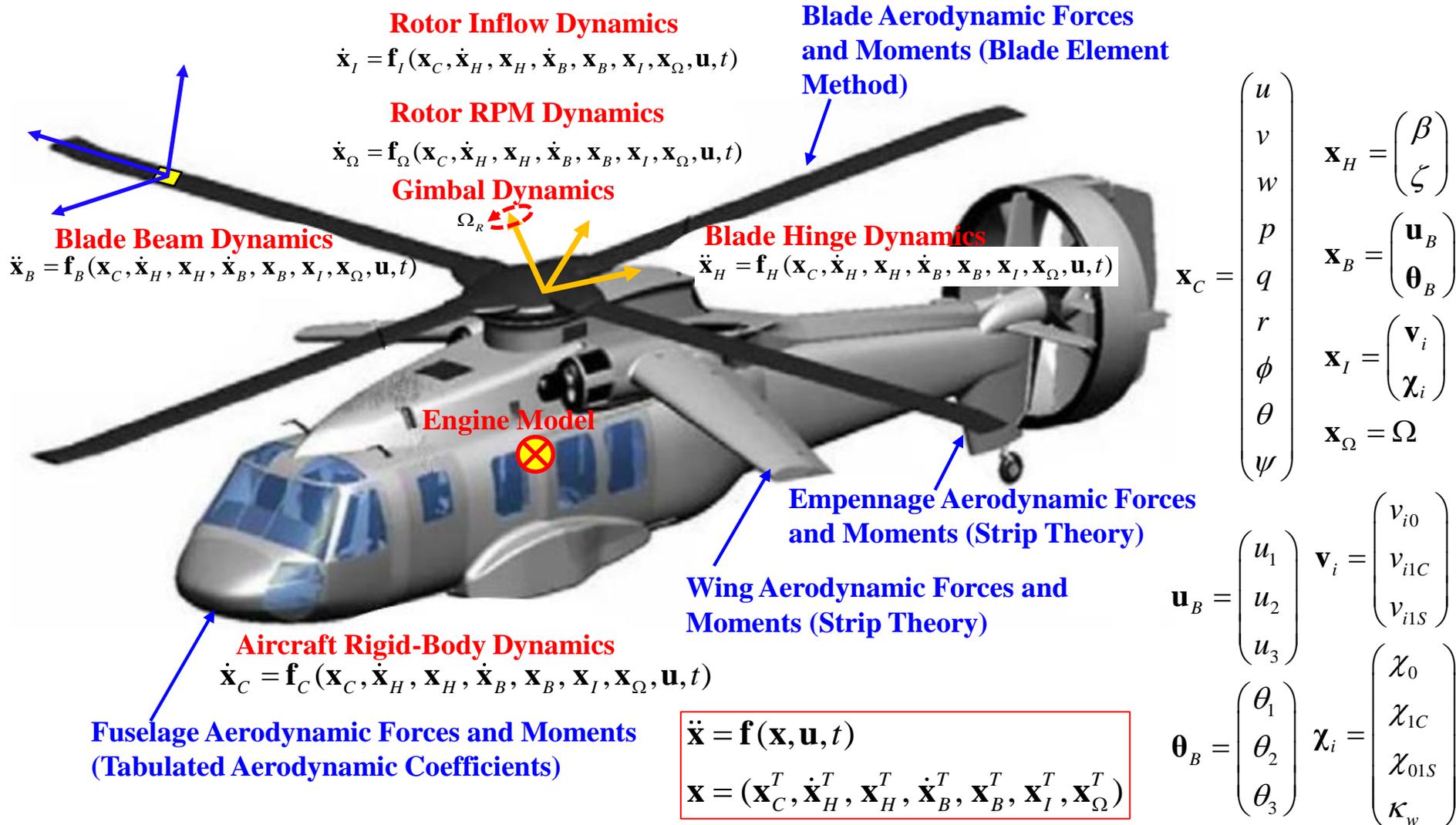
Wing-components modelling requirements

- Orientation of each wing can be defined with respect to the reference starboard main wing
- Many control surfaces can be allocated to the wing, some of which have the right or reversed deflection angles
- Airfoil can have the convectional orientation or the reversed one



Wing Type	Control surfaces	Location	Orientation
Conventional main wing (starboard)	Aileron / flap (2 control surfaces)	Fuselage center	Horizontal with dihedral, sweep, and twist distribution along the span
Conventional main wing (port)	Aileron (reversely coupled) Flap (rightly coupled)	Fuselage center	Symmetric in x-z plane with respect to starboard side main wing
Conventional horizontal stabilizer	Elevator (1 control surfaces, independent)	Rear fuselage	Same as the starboard main wing but airfoil may be upside down orientation with specified attachment angle
Conventional vertical stabilizer	Rudder (1 control surfaces, independent)	Rear fuselage	± 90 deg upward tilt from the reference airfoil may be upside down orientation with specified attachment angle
Wing with end plate	As specified	As specified	As specified
Others	As specified	As specified	As specified

Composition of Future Flight Dynamic Model (including Elastic Beam Model)



Trim Analysis Model

Trim Flight Category (for Trim Kinematical Equations)

- Rectilinear Flight : hover, vertical flight, side and rearward Flight, forward flight with sideslip and climb angle
- Turning Flight : coordinated/uncoordinated turn with flight path angle (Helical Turn)
- Auto-rotational Descent
- Bank-zero Trim (for Pilot's Attributes)
- Pull-up (instantaneous)
- Push-over (instantaneous)

Trim Methodology

- Harmonic Balance Method
- Periodic-Trimming Algorithm (PTA)
- Partial Periodic Trim Algorithm (PPTA)

Trim Equation (NAEs) Solvers

- Standard Newton Methods
- Quasi-Newton Methods
 - ✓ Broyden's good method
 - ✓ Broyden's bad method
 - ✓ Greensradt's 1st and 2nd method
 - ✓ Thomas optimal method
 - ✓ Martinez's column-updating method
 - ✓ Etc.

$$\mathbf{x}_{k+1} = \mathbf{x}_k - \mathbf{B}_k^{-1} \mathbf{f}(\mathbf{x}_k), \quad \mathbf{B}_k \approx \mathbf{J}(\mathbf{x}_k) = \frac{d\mathbf{f}(\mathbf{x}_k)}{d\mathbf{x}}$$

Linearization Analysis Model

Numerical Jacobean approximation using the Finite Difference Formula

Motion equations $\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}, t)$ Trim solution $\mathbf{f}_{Trim} = \mathbf{f}_{Trim}(\mathbf{x}_T, \mathbf{u}_T) = \mathbf{0}$

Derivation of Linear Model @ Trim Conditions $\dot{\mathbf{x}} = \mathbf{A}\mathbf{x} + \mathbf{B}\mathbf{u}$

$$\nabla_{x_j} \mathbf{f}(\mathbf{x}, \mathbf{u}) = \frac{\mathbf{f}(x_1, \dots, x_j + \Delta x_j, \dots, x_n, \mathbf{u}) - \mathbf{f}(x_1, \dots, x_j - \Delta x_j, \dots, x_n, \mathbf{u})}{2\Delta x_j}, \quad \mathbf{x}, \mathbf{f} \in R^n, \quad \mathbf{u} \in R^m$$

$$\nabla_{\mathbf{x}} \mathbf{f}(\mathbf{x}, \mathbf{u}) = (\nabla_{x_1} \mathbf{f}(\mathbf{x}, \mathbf{u}), \dots, \nabla_{x_n} \mathbf{f}(\mathbf{x}, \mathbf{u})) = \mathbf{A} \in R^{n \times n}$$

$$\nabla_{\mathbf{u}} \mathbf{f}(\mathbf{x}, \mathbf{u}) = (\nabla_{u_1} \mathbf{f}(\mathbf{x}, \mathbf{u}), \dots, \nabla_{u_m} \mathbf{f}(\mathbf{x}, \mathbf{u})) = \mathbf{B} \in R^{n \times m}$$

Reduced Order Model : Low-Order Equivalent (LOE) Model

Truncation method by ignoring the inter-axis coupling

$$\begin{pmatrix} \dot{\mathbf{x}}_1 \\ \dot{\mathbf{x}}_2 \end{pmatrix} = \begin{pmatrix} \mathbf{A}_{11} & \mathbf{A}_{12} \\ \mathbf{A}_{21} & \mathbf{A}_{22} \end{pmatrix} \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{pmatrix} + \begin{pmatrix} \mathbf{B}_{11} & \mathbf{B}_{12} \\ \mathbf{B}_{21} & \mathbf{B}_{22} \end{pmatrix} \begin{pmatrix} \mathbf{u}_1 \\ \mathbf{u}_2 \end{pmatrix} \rightarrow \begin{pmatrix} \dot{\mathbf{x}}_1 \\ \dot{\mathbf{x}}_2 \end{pmatrix} \cong \begin{pmatrix} \mathbf{A}_{11} & 0 \\ 0 & \mathbf{A}_{22} \end{pmatrix} \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{pmatrix} + \begin{pmatrix} \mathbf{B}_{11} & 0 \\ 0 & \mathbf{B}_{22} \end{pmatrix} \begin{pmatrix} \mathbf{u}_1 \\ \mathbf{u}_2 \end{pmatrix}$$

Residualization (time-scale separation) method

$$\begin{pmatrix} \dot{\mathbf{x}}_1 \\ 0 \end{pmatrix} = \begin{pmatrix} \mathbf{A}_{11} & \mathbf{A}_{12} \\ \mathbf{A}_{21} & \mathbf{A}_{22} \end{pmatrix} \begin{pmatrix} \mathbf{x}_1 \\ \mathbf{x}_2 \end{pmatrix} + \begin{pmatrix} \mathbf{B}_1 \\ \mathbf{B}_2 \end{pmatrix} \mathbf{u}$$

$$\rightarrow \dot{\mathbf{x}}_1 = \left\{ \mathbf{A}_{11} - \mathbf{A}_{12}(\mathbf{A}_{22})^{-1}\mathbf{A}_{21} \right\} \mathbf{x}_1 + \left\{ \mathbf{B}_1 - \mathbf{A}_{12}(\mathbf{A}_{22})^{-1}\mathbf{B}_2 \right\} \mathbf{u}$$

$$\mathbf{x}_1 = \mathbf{x}_R$$

$$\mathbf{x}_2 = (\mathbf{x}_F, \mathbf{x}_L, \mathbf{x}_I, \mathbf{x}_\Omega)^T$$

→ Residualization method is better suit for rotorcrafts due to high inter-axis coupling

Simulation Analysis Model

Standard Explicit Time Integrator

- RTAM-3 : 3rd order **Real-Time** Adams-Moulton integrator
- RK-4 : 4th order Runge-Kutta time integrator
- RKF-45 : 5th order Runge-Kutta time integrator with step size control

Standard Implicit Time Integrator

- Crank-Nicolson Algorithm : 2nd Order
- Backward Difference Method : 3rd/ 4th Order Algorithm

Pseudo Spectral (PS) Time Integrator coupled with Piccard Method

Motion equations $\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, t), \quad \mathbf{x}(0) = \mathbf{x}_0$

Nonlinear Algebraic Equations (NAEs)

$$0 = \mathbf{x}_0 + \frac{h}{2} \sum_{k=0}^{k=N} I_{jk} \mathbf{f}_k - \mathbf{x}_j \quad (j = 1, 2, \dots, N) \leftarrow \mathbf{x}_j = \mathbf{x}_0 + \frac{h}{2} \sum_{k=0}^{k=N} I_{jk} \mathbf{f}_k$$

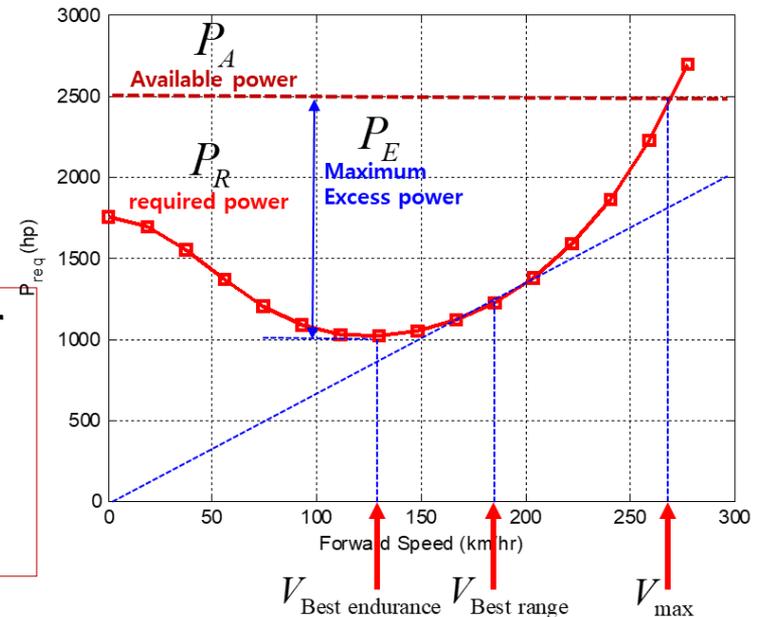
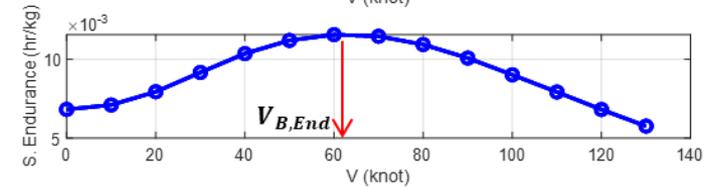
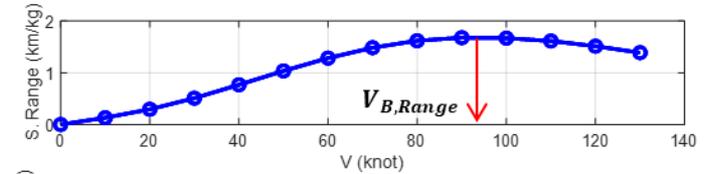
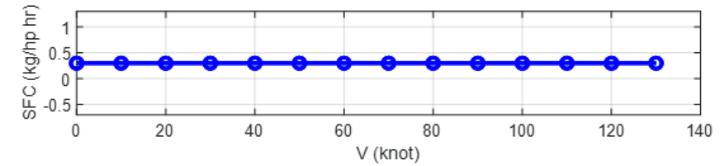
Applications of Piccard Fixed Point Iterative Method

$$\mathbf{x}_j^{(iter+1)} = \mathbf{x}_0 + \frac{h}{2} \sum_{k=0}^{k=N} I_{jk} \mathbf{f}_k^{(iter)} \leftarrow \mathbf{f}_k^{(iter)} = \mathbf{f}(\mathbf{x}_k^{(iter)}, t_k)$$

Point Performance (Fuel Independent) Analysis Model

- **Hovering & Vertical Flight Performance**
 - OGE Hovering Limits @MCP, TOP
 - IGE Hovering Limits @MCP, TOP
 - Max. Vertical Climb Rate @MCP, TOP

- **Forward Flight Performance**
 - Max. Climb Rate @MCP
 - OEI Service Ceiling @MCP
 - Max. Cruise Speed @ MCP
 - Never Exceed Speed Limits V_{ne}
 - Flight Envelope
 - Max. Load Factor
 - Service Ceiling (max. RoC < 100 ft/min)
 - Absolute Ceiling (max. RoC = 0 ft/min)



Engine Power

MCP: Maximum Continuous Power
TOP: Take-Off Power

Engine Failure

AEO: All Engine Inoperative
OEI : One Engine Inoperative

Ground Effect

IGE : In-Ground Effect
OGE: Out-of-Ground Effect

Point Performance (Fuel Independent) Analysis Model

Computer-Model Procedures for Point Performance Analysis

Subroutine Point Performance Analysis Routine

I: Input, O: Output

Call Aircraft Technical Data Preprocessing

Contain

Call Aerodynamic Table Reading

Call Aircraft Configuration Data

Call Analysis Parameter Setting

Call Engine Data Preprocessing

End Contain

Call Standard Day Performance Analysis (I: Analysis Option, O: Trim Results)

Contain

Call Atmospheric condition calculation (I: Analysis Option, O: Sea Level Condition)

Call Trim Analysis (I: Sea Level Condition, O: Trim Results)

End Contain

Call Performance Routine Main (I: Analysis Option)

Contain

Do I = 1, Number of Temperatures

Do J = 1, Number of Weight

Do K = 1, Number of Altitudes

Call Atmospheric condition calculation

Do L = 1, Number of Velocities

Call Trim Mover (I: Swept Flight condition)

(O: Near Trim Node)

Call Trim Analysis (I: Near Trim Node)

(O: Trim Results)

Call Engine Module (I: Trim Results, O: SFC)

Call Performance Analysis (I: SFC)

(O: Performance for L-th velocity)

End Do

Call Lagrange Interpolation (I: Performance data {1: L})

(O: Interpolated Function)

Call Find Maximum Parameter (I: Interpolated Function)

(O: Maximum Values)

End Do

End Do

End Do

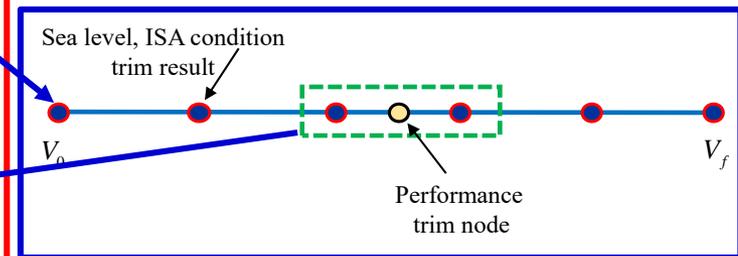
End Contain

End Subroutine

1. Aircraft Data Processing & Analysis Option Selection

2. Standard Day Performance Analysis for Initial Guess
(Generate Initial Values and Save it for 'Trim Mover')

Trim Mover Functions



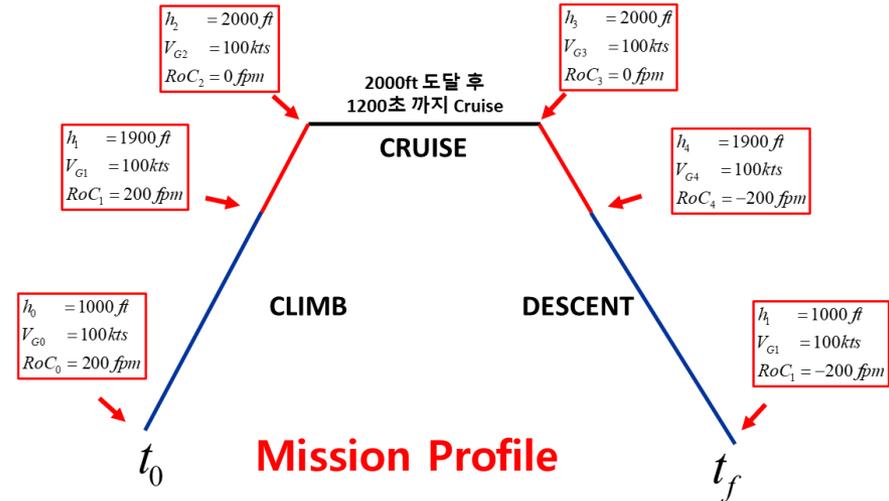
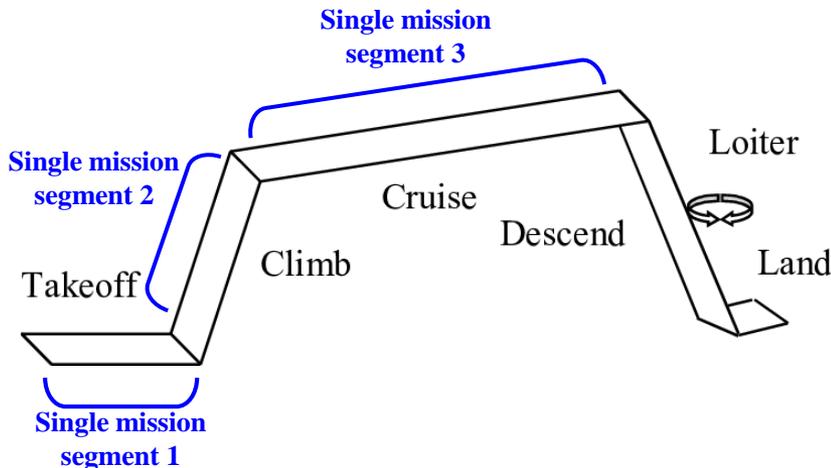
3. Performance Analysis with WAT Sweep
(WAT: Weight, Altitude, Temperature)

Trim Mover Functions are developed and implemented for Robustness in Analysis

Mission Performance (Fuel Dependent) Analysis Model: Mission Segments

- Range
- Endurance
- Payload-Range Performance
- Payload-Endurance Performance
- Max. range with zero payload

Mission Profile (Example)



Mission Performance (Fuel Dependent) Analysis Model: Mission Segments

□ Definition of Mission Segments using Way-point Data

$$\left\{ (t_j, h_j, V_{G,j}, V_{ROC,j}) \right\}_{j=0}^{j=N_{WP}}$$

Data for height, ground speed, and rate of climb

□ Trajectory Generation using spline interpolation of h, V_G, V_{ROC}

□ Time integration along the generated trajectory to get converged solutions of coupled mission-performance equations using PS-integrator

$\frac{dm}{dt} = -SFC \times P$ $\frac{dh}{dt} = V_{RoC}$ $\frac{dR}{dt} = V_G $		$m(t) = m(t_0) - \int_{t_0}^{t_f} (SFC \times P) dt$ $h(t) = h(t_0) + \int_{t_0}^{t_f} V_{RoC} dt$ $R(t) = R(t_0) + \int_{t_0}^{t_f} V_G dt$
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Mission Performance (Fuel Dependent) Analysis Model: Mission Segments

Computer-Model Procedures of Mission Performance Analysis

Subroutine Mission Performance Analysis Routine

I: Input, O: Output

Call Aircraft Technical Data Preprocessing

Contain

Call Aerodynamic Table Reading

Call Aircraft Configuration Data

Call Analysis Parameter Setting

Call Engine Data Preprocessing

End Contain

Call Mission Segment Definition (I: Number of Segment)
(O: Mission Segment {1: Number of Segment})

Do I=1, Number of Segment

If I=1 **Then** Weight initialization

Else initialize with previous segment's final node

Call Gaussian Quadrature Waypoint Generator (I: Mission Segments {I})
(O: Waypoints for Mission Segment {I})

Call linear interpolation (I: Velocity, Height of initial and final node)
(O: Waypoint information for Mission Segment {I})

Do J=1, Number of Max Iteration

Call Trim Analysis (I: Waypoint (WP) information, O: Trim Results)

Call Engine Module (I: Trim Results, O: SFC)

Do K=2, Number of Waypoint in Segment

Call Waypoint Performance Calculation (I: Trim Results, SFC)
(O: Derivatives of weight, height, range for J-th waypoint)

End Do

Call Pseudo Spectral integrator (I: Derivatives of weight, height, range |Segment)
(O: Updated weight, height, range for all WP)

Do K=2, Number of Waypoint in Segment
Error = Error + 2 norm of weight, rate of climb, velocity different

End Do

If Error < Tolerance **Then** Stop Current Segment Analysis, **Go to** Next Segment

End Do

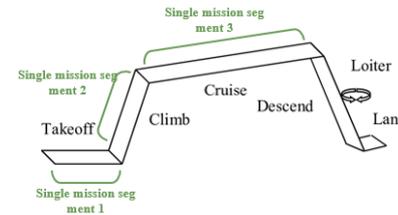
End Do

End Subroutine

1. Aircraft Data Processing & Analysis Option Selection

2. Define Mission Profiles and Divide into Segments

3. Mission Segment Generation for Actual Analysis



$$\phi_j(\tau) = \frac{g_{LGL}(\tau)}{(\tau - \tau_j)g'_{LGL}(\tau_j)}$$

4. Performance Analysis for i-th Segment

$$\mathbf{x}(\tau) \approx \sum_{k=0}^N \phi_k(\tau) \mathbf{x}(\tau_k)$$

$$\mathbf{x}_j^{i+1} = \mathbf{x}_0 + \frac{t_f - t_0}{2} \sum_{k=1}^N I_{j,k} \mathbf{f}_k^i$$

$$\mathbf{f}(\mathbf{x}(t), t) \approx \sum_{k=1}^N \phi_k(\tau) \mathbf{f}(\mathbf{x}_k, t_k)$$

$$\mathbf{f}_k^i = \mathbf{f}(\mathbf{x}_k^i)$$

$$\mathbf{x} = [W_F \quad h \quad R]^T$$

Iterative Pseudo-Spectral Integrator

Performance Analysis for Entire Mission Profiles

Validation Examples

Validation of HETLAS: Example Rotorcrafts

Reference Helicopter



V&V: Comparison with Flight Test
Criteria:

- 1) FAA AC-120-63
- 2) GENHEL (Sikorsky 社)
- 3) Boeing

Bo-105



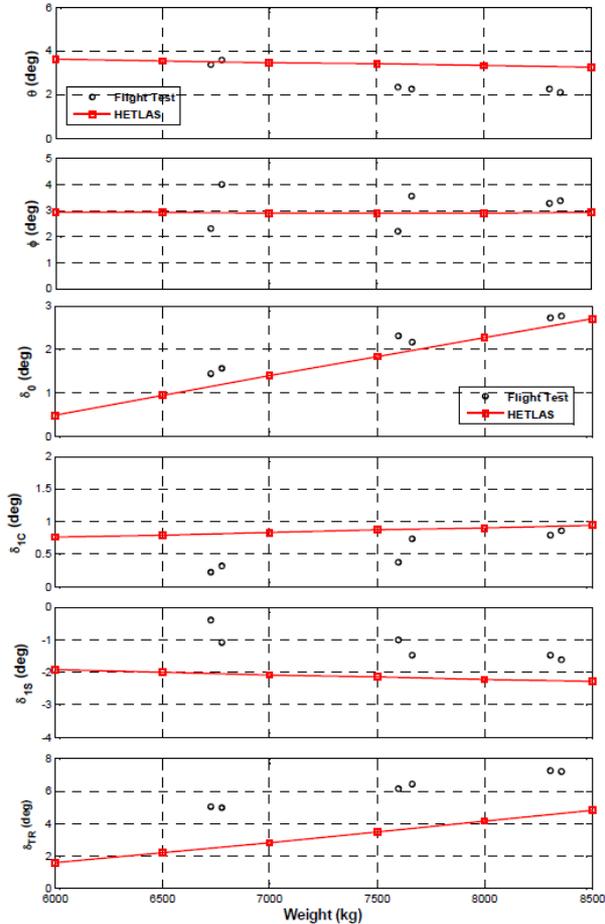
V&V: Using Ref. (Flight test/Analysis)
Criteria: FAA AC-120-63
Ref.:

- 1) AGARD GARTEUR Report
- 2) Published Papers

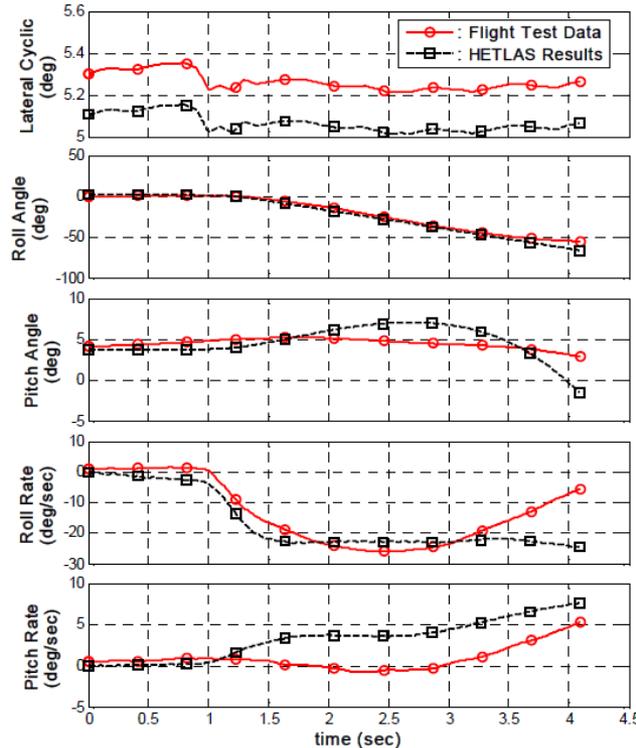
Comparison of Trim and Control Response for Reference Helicopter

C.-J. Kim, C.-D. Yang, C. Kim, Model-Fidelity Validation of the Helicopter Flight Dynamic Analysis Program, HETLAS, 213 (2019) 210–213.

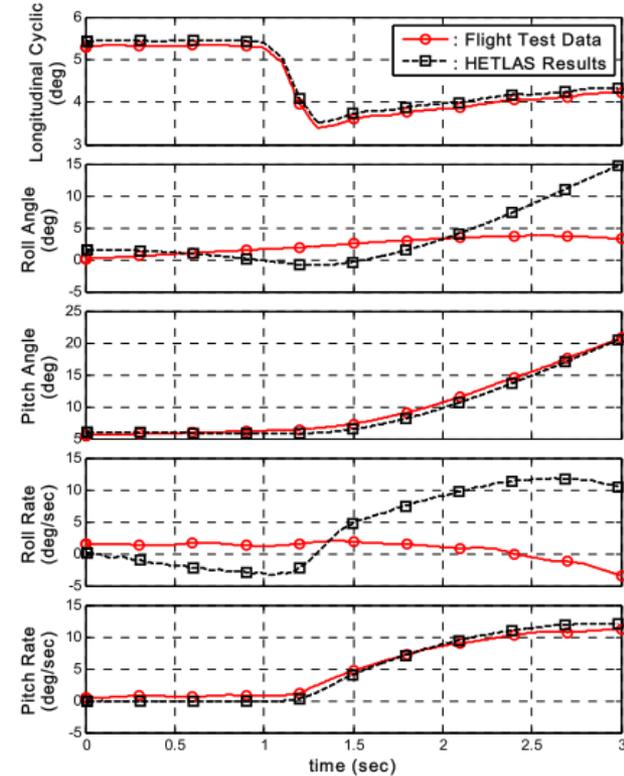
Hover-OGE



Trim Analysis

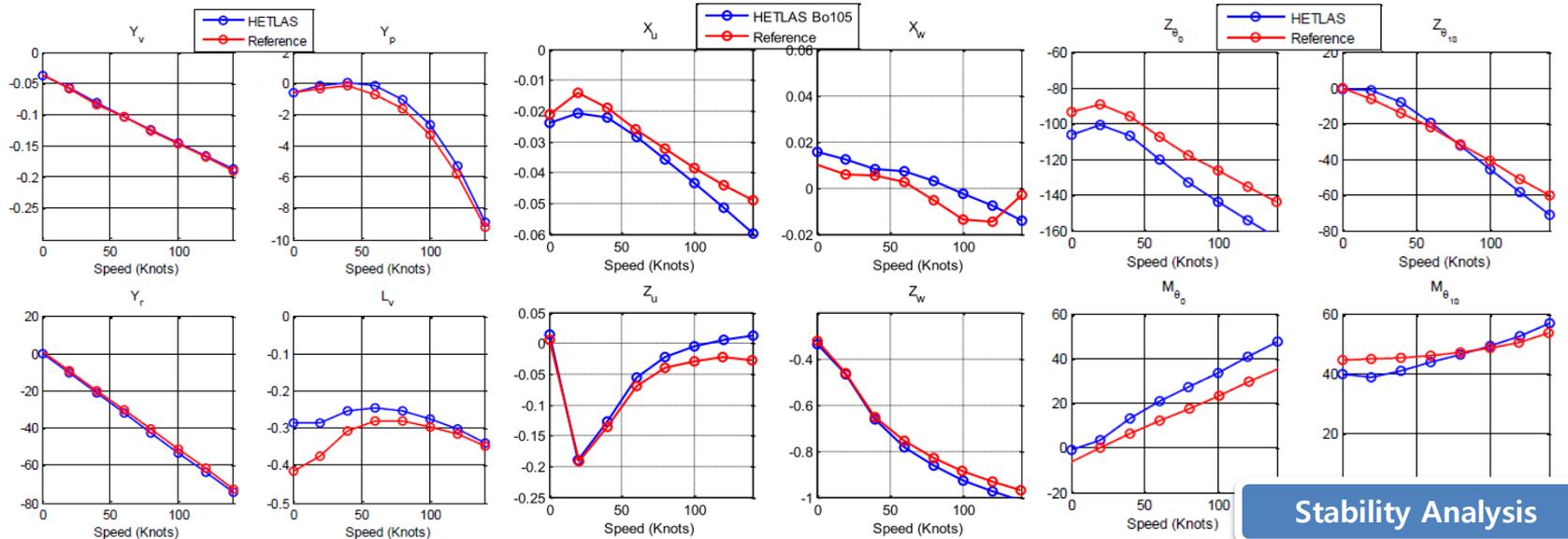
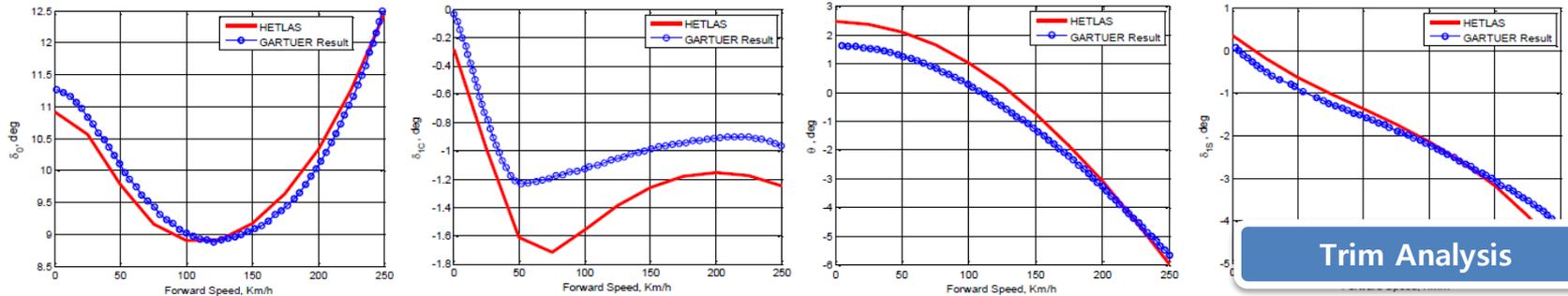


Simulation Results



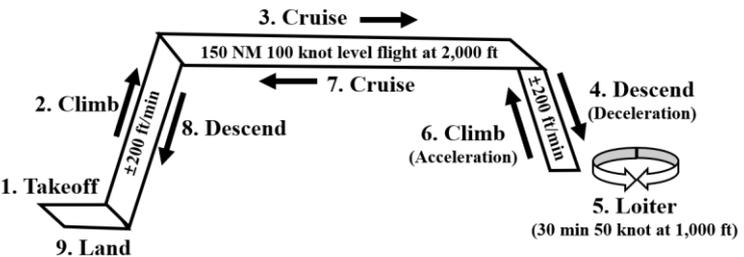
Comparison of Trim Results for Bo-105

C.-J. Kim, K.-C. Shin, C. Yang, I.-J. Cho, C.-D. and Yun, Y.-H., Kim, C.-J., Shin, K.-C., Yang, I.-J. Cho, Interface features of flight dynamic analysis program, HETLAS, for the development of helicopter FBW system, in: 1st Asian Australian Rotorcraft Forum and Exhibition 2012, 2012: pp. 12–15.

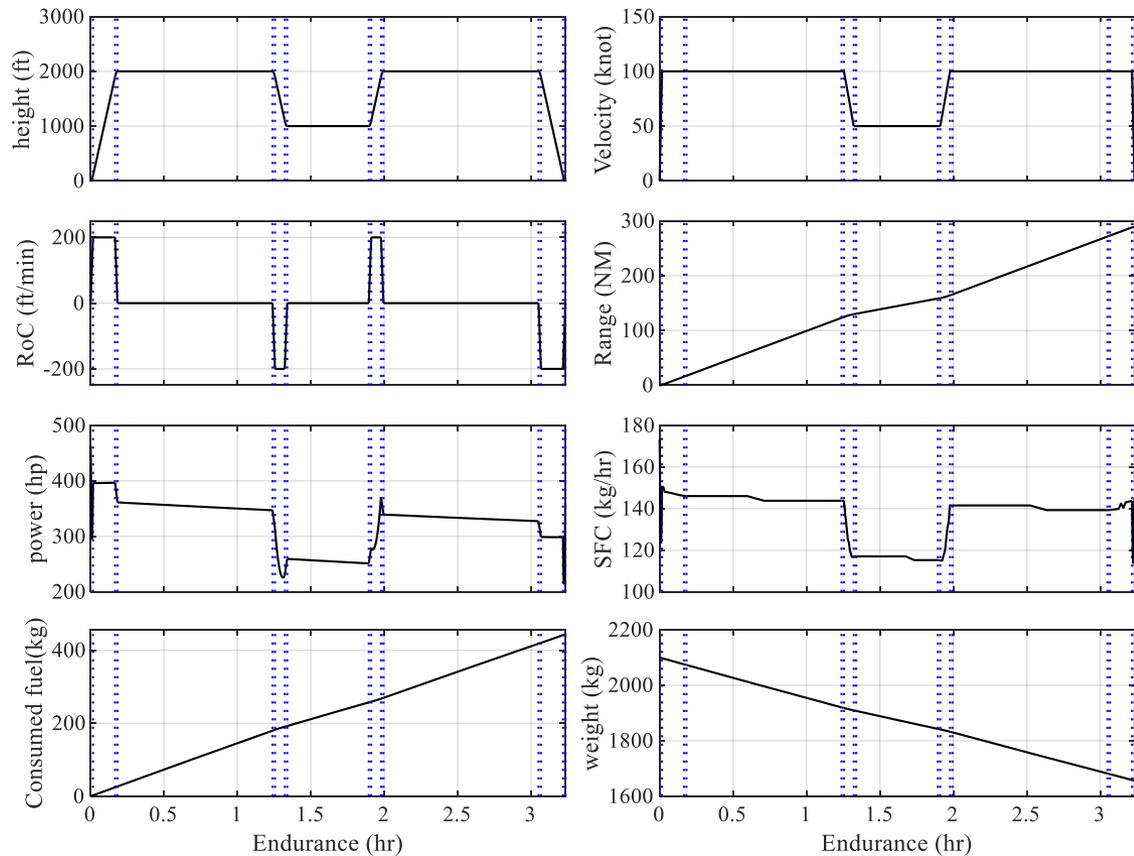


Comparison of Mission-Performance Analysis Results for Bo-105

J. An, Y.-S. Choi, I.-R. Lee, M. Lim, and C.-J. Kim, "Performance Analysis of a Conceptual Urban Air Mobility Configuration Using High-Fidelity Rotorcraft Flight Dynamic Model," International Journal of Aeronautical and Space Sciences, Jul. 2023, doi: 10.1007/s42405-023-00610-7.

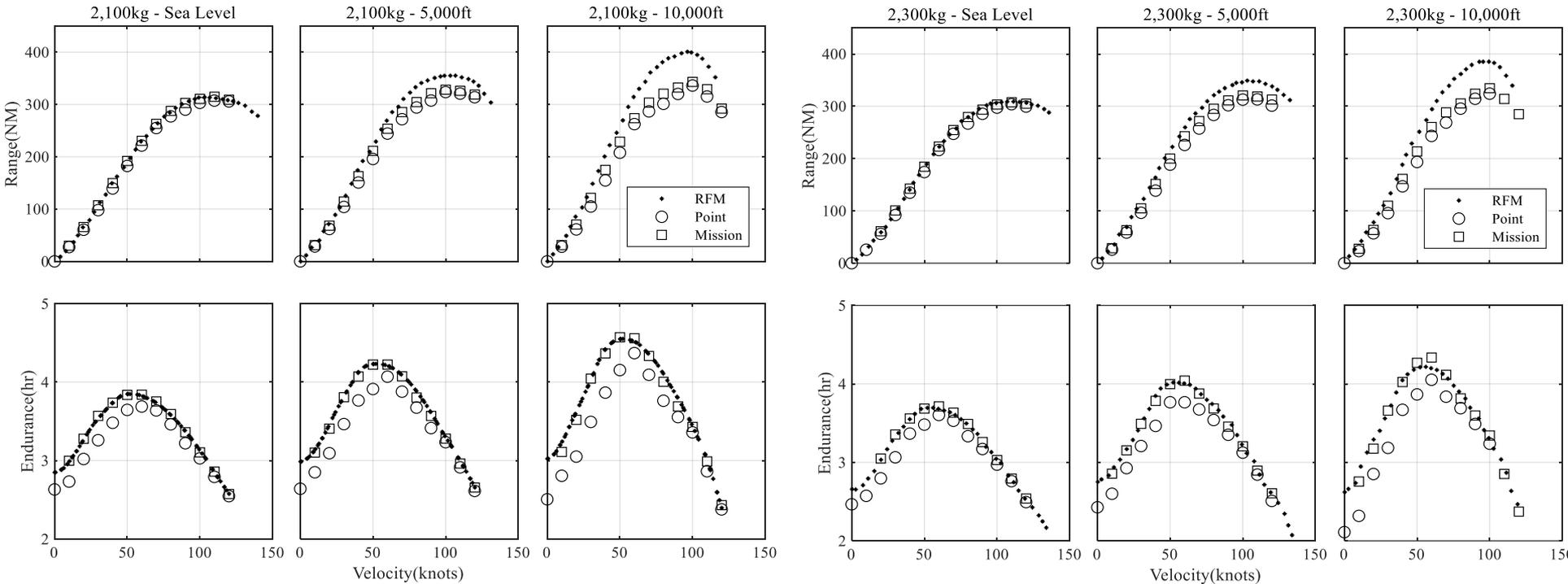


Mission Profile for Analysis



Comparison of Mission-Performance Analysis Results for Bo-105

J. An, Y.-S. Choi, I.-R. Lee, M. Lim, and C.-J. Kim, "Performance Analysis of a Conceptual Urban Air Mobility Configuration Using High-Fidelity Rotorcraft Flight Dynamic Model," *International Journal of Aeronautical and Space Sciences*, Jul. 2023, doi: 10.1007/s42405-023-00610-7.



Endurance and Range Prediction

Validation of Bo-105 Model based on AC 120-63 - Helicopter Simulator Qualification

Table. AC 120 63 – Tolerance of trimmed flight control position and handling qualities.

Test	Tolerance	Comment
Level flight Performance and Trimmed Flight Control Position	Torque : $\pm 3.0\%$ Pitch Attitude : $\pm 3.0^\circ$ Control Position : $\pm 5.0\%$	Forward Flight, Level (C, D)
Longitudinal Handling Qualities : Control Response	Pitch Rate : $\pm 5.0\%$ or $\pm 2.0^\circ/\text{sec}$ Pitch Attitude Change : $\pm 10.0\%$ or $\pm 1.5^\circ$	Collective & Longitudinal, Level (B, C, D)
Lateral Handling Qualities : Control Response	Roll Rate : $\pm 10.0\%$ or $\pm 3.0^\circ/\text{sec}$ Roll Attitude Change : $\pm 10.0\%$ or $\pm 3.0^\circ$	Level (B, C, D)
Directional Handling Qualities : Control Response	Yaw Rate : $\pm 10.0\%$ or $\pm 2.0^\circ/\text{sec}$ Yaw Attitude Change : $\pm 10.0\%$ or $\pm 2.0^\circ$	Level (B, C, D)

Validation of Bo-105 Model based on AC 120-63 - Helicopter Simulator Qualification

[Bo-105 Data from :Padfield, Gareth D, Helicopter flight dynamics: the theory and application of flying qualities and simulation modelling, John Wiley & Sons, 2008]

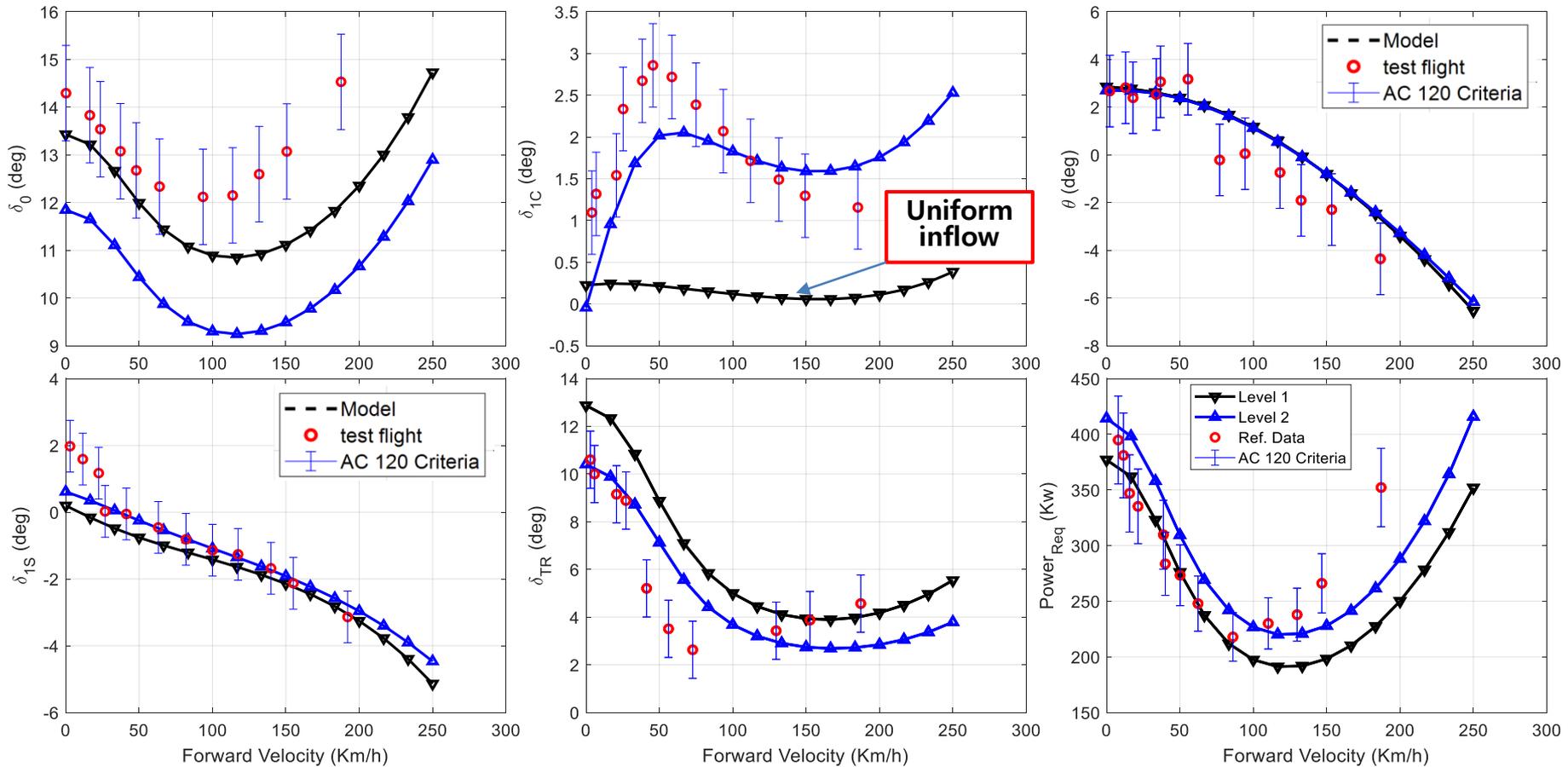


Fig. Forward flight trim result of BO-105 dynamic model

Validation of Bo-105 Model based on AC 120-63 - Helicopter Simulator Qualification

[Bo-105 Data from :Padfield, Gareth D, Helicopter flight dynamics: the theory and application of flying qualities and simulation modelling, John Wiley & Sons, 2008]

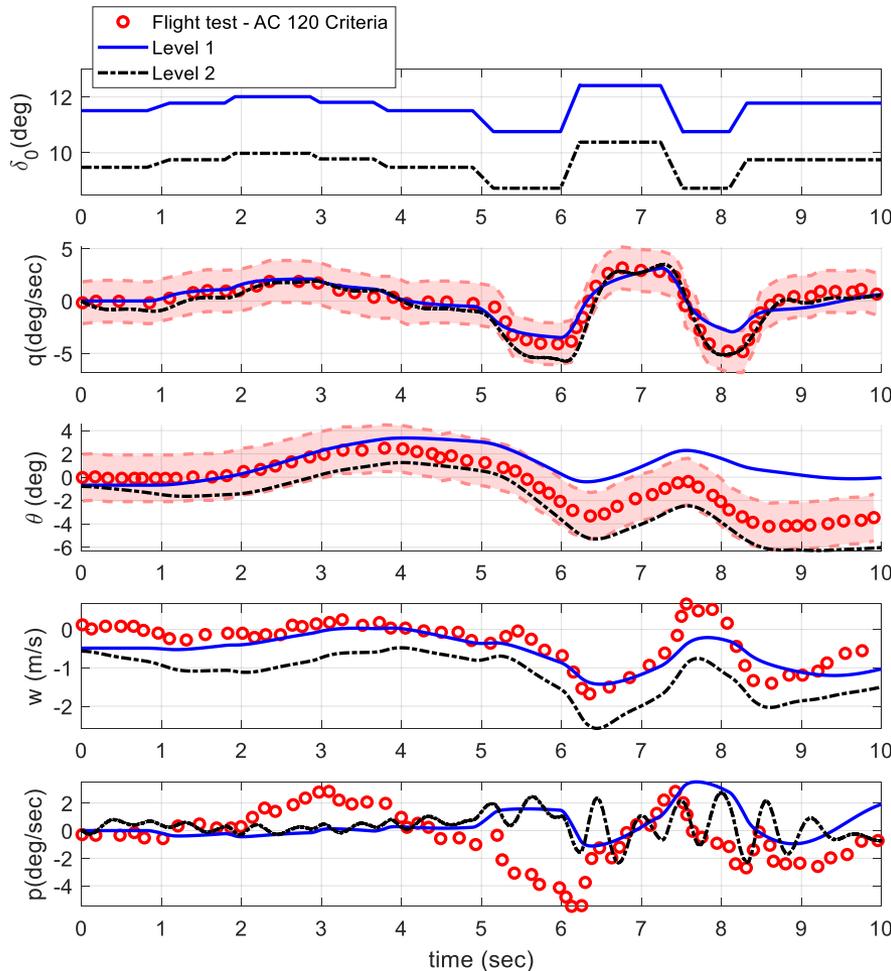


Fig. 80knot – collective input 3211 response

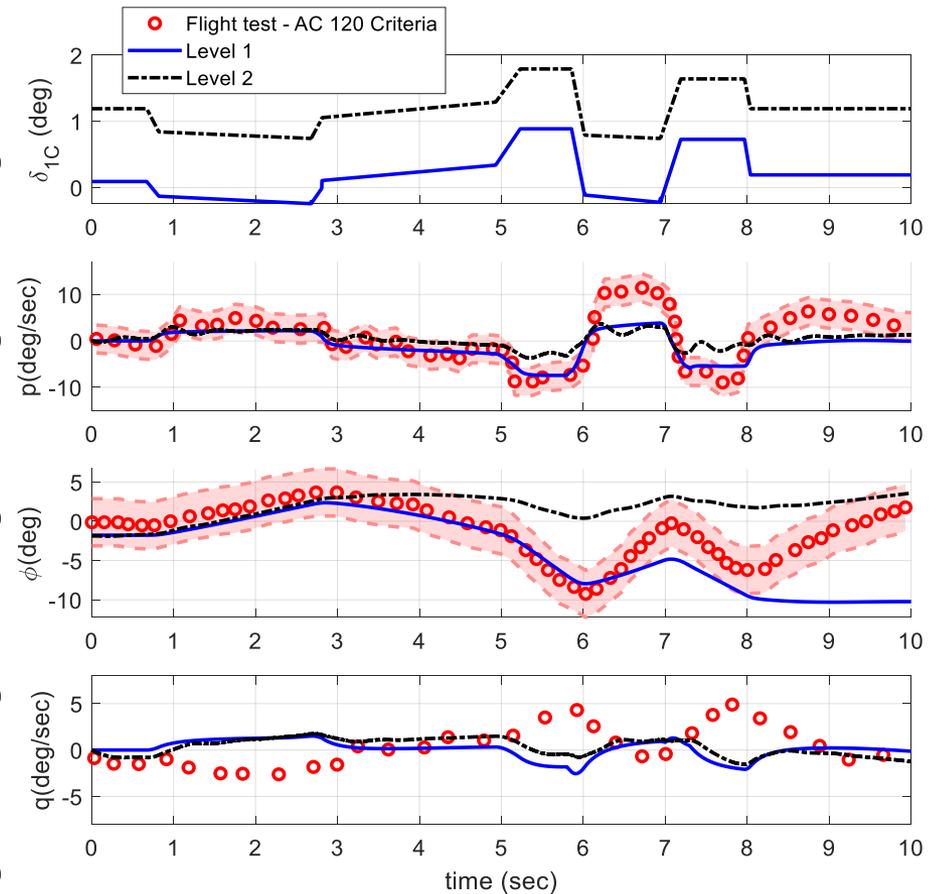


Fig. 80knot – Lateral input 3211 response

Validation of Bo-105 Model based on AC 120-63 - Helicopter Simulator Qualification

[Bo-105 Data from :Padfield, Gareth D, Helicopter flight dynamics: the theory and application of flying qualities and simulation modelling, John Wiley & Sons, 2008]

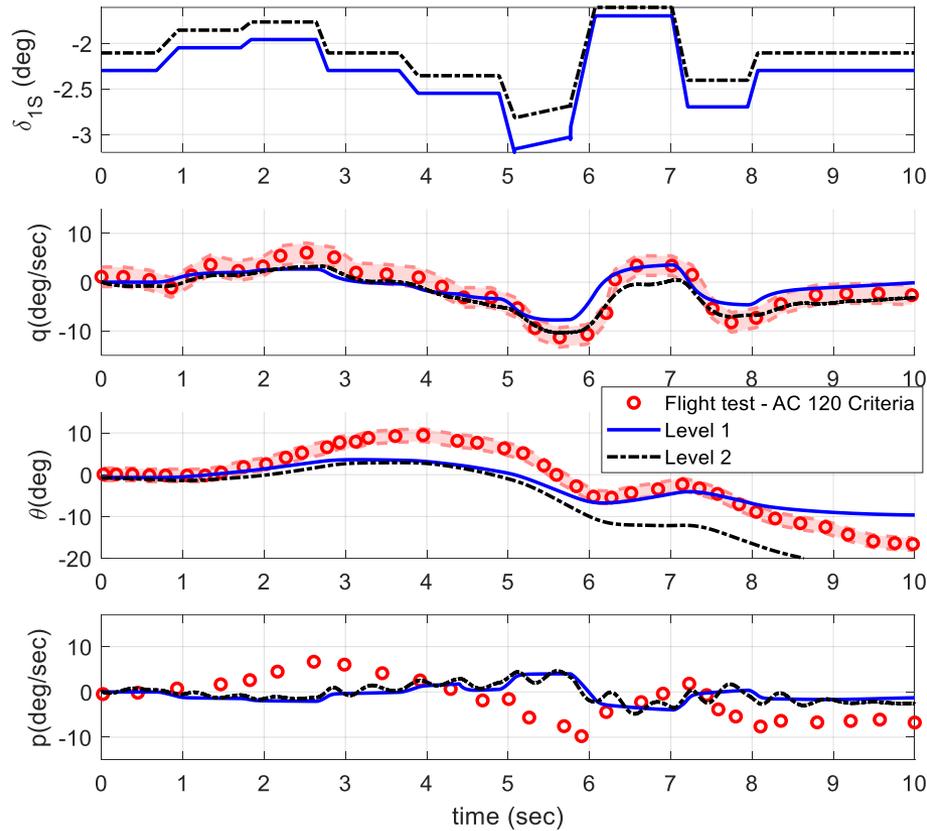


Fig. 80knot – Longitudinal input 3211 response

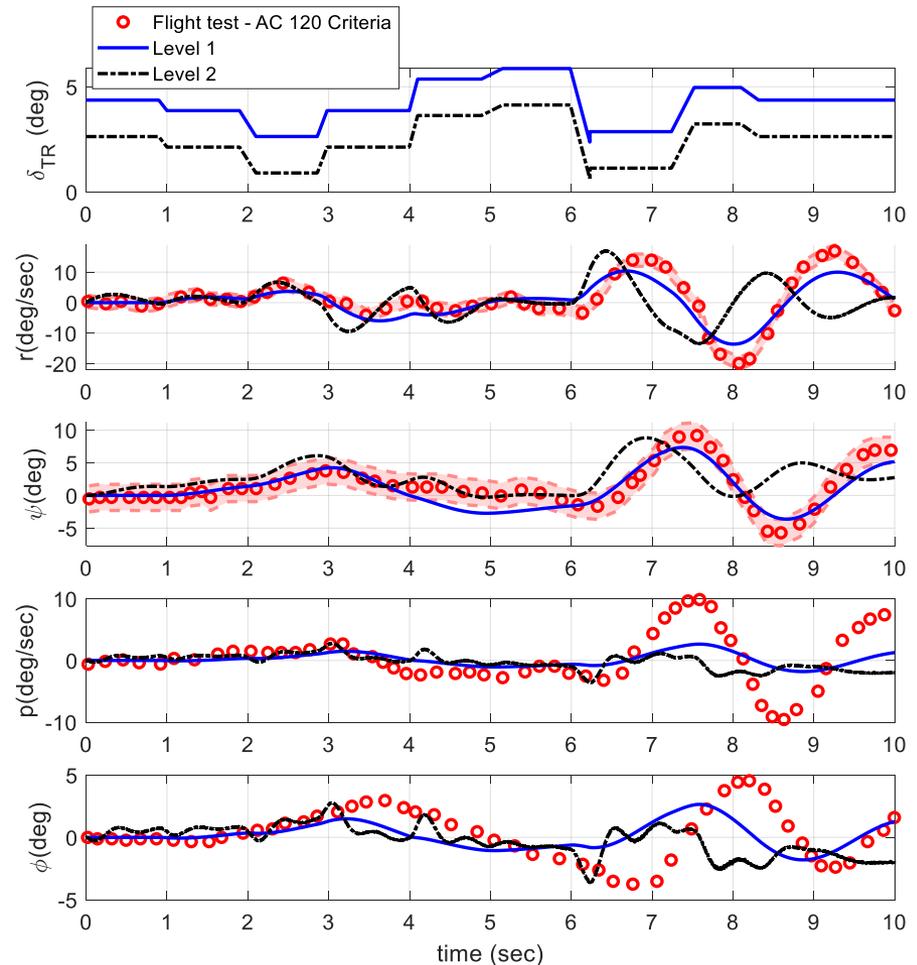
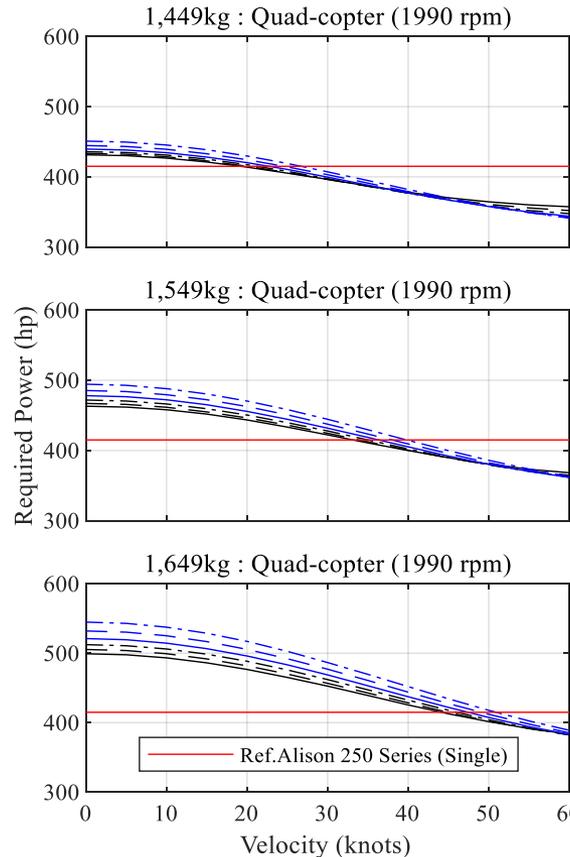
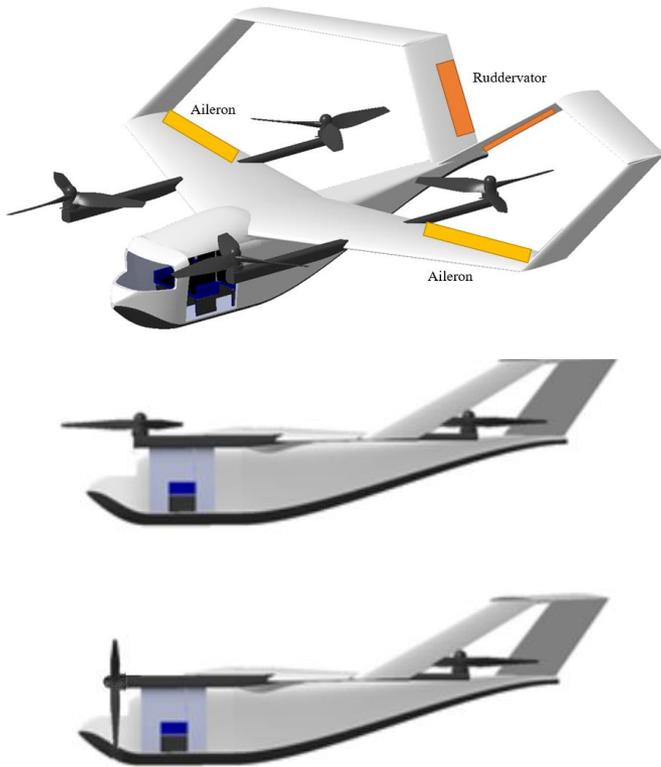


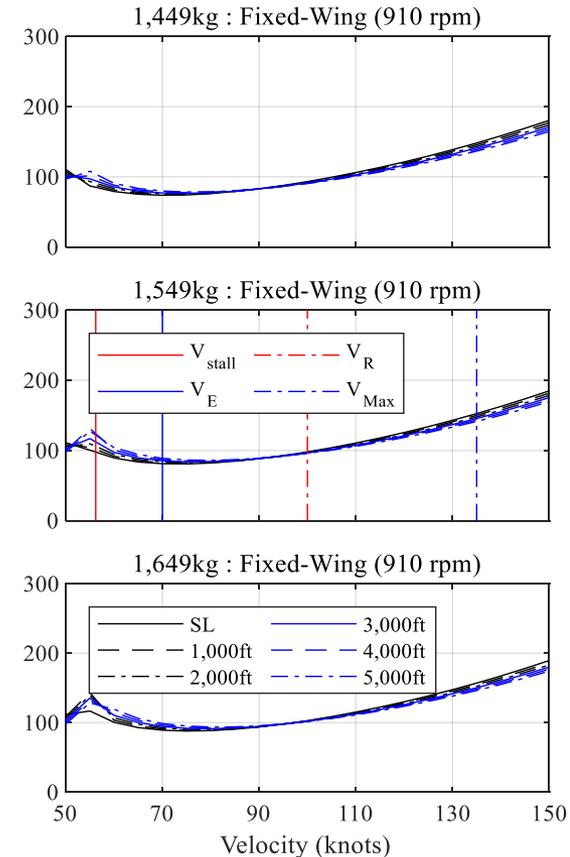
Fig. 80knot – tail collective input 3211 response

Application to KP-1 UAM (Urban Air Mobility) Model

J. An, Y.-S. Choi, I.-R. Lee, M. Lim, and C.-J. Kim, "Performance Analysis of a Conceptual Urban Air Mobility Configuration Using High-Fidelity Rotorcraft Flight Dynamic Model," International Journal of Aeronautical and Space Sciences, Jul. 2023, doi: 10.1007/s42405-023-00610-7.



Quad-copter Mode



Fixed-Wing Mode

1 Flight Dynamic Model (HETLAS)

2 Recent Progress in HETLAS Applications

Importance and Methodologies of MTE Analysis

Kinematically Exact Inverse Simulation Techniques

Direct Dynamic Simulation Approach to NOCP

3 Summary of Part 1

Definition and Verification Methods of Mission-Task-Elements

- MTEs provide a basis for an overall assessment of the rotorcraft's ability to perform certain critical tasks.
- One mission requires many of different flight tasks (MTEs)
- Mission success highly depends on the rotorcraft's performance for each MTEs
- ADS-33E-PRF defines 23 MTEs for Rotorcraft Handling Qualities Requirements

ADS-33E-PRF : Table XIV. Requirements/verification matrix

PARAGRAPH NO.	REQUIREMENT	VERIFICATION METHOD/EVENT				
		S F R	P D R	C D R	F F R	S V R
3.3	Hover and Low Speed					
3.3.1	Equilibrium Characteristics		A	A	A	F
3.3.2	Small-Amplitude Pitch (Roll) Attitude	A	A	A	A	F
3.11	Mission-Task-Elements			S	S	F

Methods of Verification:

A – Analysis

S - Piloted Simulation

F - Flight Test

T - Testing, miscellaneous

Events:

SFR - System Functional Review

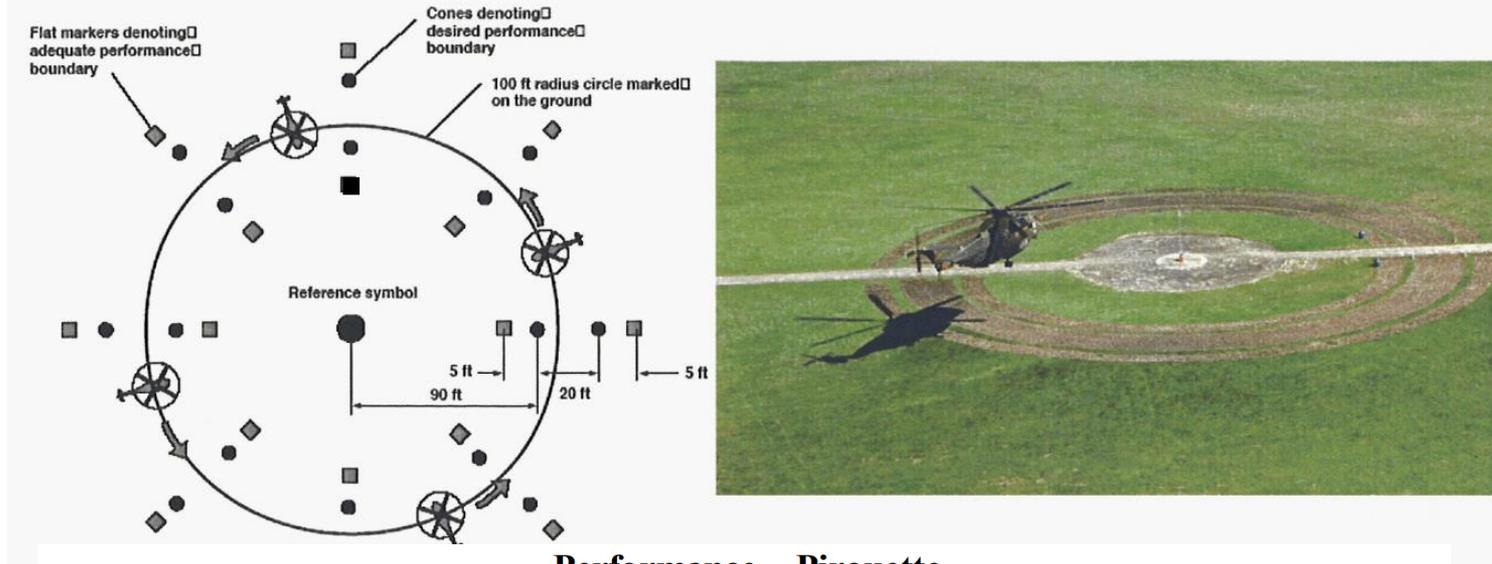
PDR - Preliminary Design Review

CDR - Critical Design Review

FFR - First Flight Readiness Review

SVR - System Verification Review

Example MTE: Pirouette in Test Guide for ADS-33E-PRF



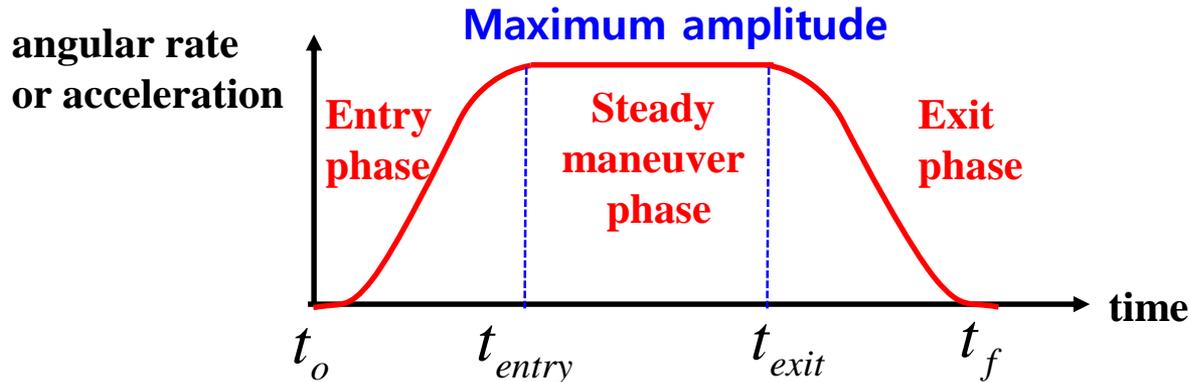
Performance – Pirouette

DESIRED PERFORMANCE

- Maintain a selected reference point on the rotorcraft within $\pm X$ ft of the circumference of the circle.
- Maintain altitude within $\pm X$ ft:
- Maintain heading so that the nose of the rotorcraft points at the center of the circle within $\pm X$ deg:
- Complete the circle and arrive back over the starting point within:
- Achieve a stabilized hover (within desired hover reference point) within X seconds after returning to the starting point.
- Maintain the stabilized hover for X sec

	GVE	DVE
	10 ft	10 ft
	3 ft	4 ft
	10 deg	10 deg
	45 sec	60 sec
	5 sec	10 sec
	5 sec	5 sec

Maneuver Phases in MTEs and Rotorcraft Maneuverability/Agility



- **Maneuver Aggressiveness** is defined by entry/exit times and the maximum amplitude

$$\Delta t_{entry} = t_{entry} - t_o \quad \dot{\phi}_{max}, \dot{\theta}_{max}, \dot{\psi}_{max}$$

$$\Delta t_{exit} = t_f - t_{exit} \quad \mathbf{a}_{max}$$

- **Maneuverability** is evaluated with **Agility**, which is defined with both
 - Maneuver **Aggressiveness** and Maneuver **Precision**
- **Maneuverability** is directly affected by the quantitative Handling-Qualities requirements which are defined in Para. 3.3~3.10 in ADS-33E PRF

Thus, MTE Analysis allows both direct evaluation of Rotorcraft maneuverability and indirect evaluation of quantitative (objective) requirements of ADS-33E PRF

Two General Approaches: Inverse Simulation / Nonlinear Optimal Control Analysis

(1) Inverse Simulation Approach

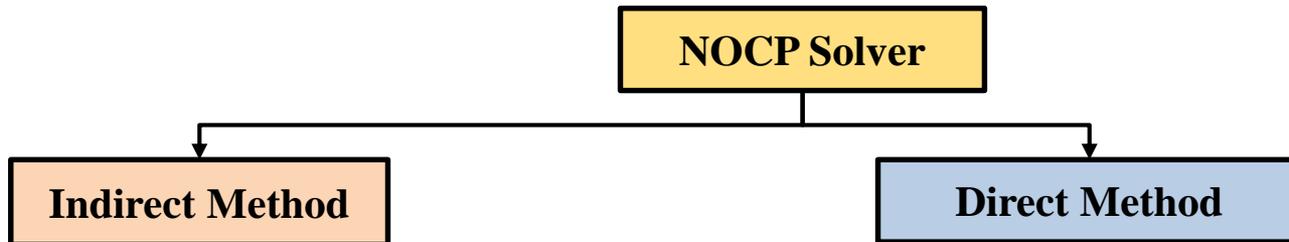
- Requires Accurate Prescription of Trajectory for a Specific MTE
- Only Applicable to Aircraft Maneuvers in Normal Operating States (no Engine Failure)
- Most of Available Algorithms suffer from Numerical Stability Problems
- You can refer to following papers for Historical Overview and Theoretical Details

- [1] Thomson, D.G., and Bradley, R., “Inverse simulation as a tool for flight dynamics research—Principles and applications,” *Progress in Aerospace Sciences*, Vol. 42, 2006, pp. 174–210.
- [2] Lu, L., Murray-Smith, D.J., and Thomson, D.G., “Issues of numerical accuracy and stability in inverse simulation,” *Simulation Modelling Practice and Theory*, Vol. 16, 2008, pp. 1350–1364.
- [3] Thomson, Douglas G.; Bradley, Roy, “Mathematical Definition of Helicopter Maneuvers,” *Journal of the American Helicopter Society*, Volume 42, Number 4, 1 October 1997, pp. 307-309.
- [4] R. Celi, “Optimization-Based Inverse Simulation of a Helicopter Slalom Maneuver,” *Journal of Guidance, Control, and Dynamics*, Vol. 23, No. 2, 2000, pp. 289-297
- [5] Giulio Avanzini, Guido de Matteis, and Luciano M. de Socio. "Two-Timescale-Integration Method for Inverse Simulation", *Journal of Guidance, Control, and Dynamics*, Vol. 22, No. 3 (1999), pp. 395-401.
- [6] R.A. Hess, C. Gao, S.H. Wang, “A generalized technique for inverse simulation applied to aircraft manoeuvres,” *J. Guidance, Control Dynamics* 14 (1991) 920–926.
- [7] Murray-Smith, D.J., “The inverse simulation approach: a focused review of methods and applications,” *Mathematics and Computers in Simulation*, Vol. 53, 2000, pp. 239–247.

Two General Approaches: Inverse Simulation / Nonlinear Optimal Control Analysis

(2) Nonlinear Optimal Control Theory (NOCP: Nonlinear Optimal Control Problem)

- Adopt Trajectory Tracking Control Law when Trajectory is prescribed
- Applicable to Rotorcraft Maneuvers under Failures such as Engine Malfunction
- Extremely High Computing Time is required
- No methods are available at Present time for applications using Rotorcraft Math Models with Rotor and Inflow Dynamics due to Large KKT (Karush-Kuhn-Tucker) System in Direct Methods and the extremely poor robustness with Indirect Methods



- Euler-Lagrange Equations
- TPBVP(Two-Point Boundary Value Problem)
- Single Shooting Method
- Multiple-Shooting Method

- Apply Transcription Method to get NLP
- Nonlinear Programming Problem (NLP)
- Sequential Quadratic Programming Algorithm to solve NLP

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Direct Dynamic Simulation Approach to NOCP

3 Summary of Part 1

Recent Research on Rotorcraft Inverse Simulation Techniques at KKU: PIST & KEIST

2019. Chang-Joo Kim, Do Hyeon Lee, and Sung Wook Hur, "Efficient and Robust Inverse Simulation Techniques Using Pseudo-Spectral Integrator with Applications to Rotorcraft Aggressive Maneuver Analyses," International Journal of Aeronautical and Space Sciences, March 2019.

2020 Chang-Joo Kim, Seong Han Lee, and Sung Wook Hur, "Kinematically Exact Inverse Simulation Techniques with Applications to Rotorcraft Aggressive-Maneuver Analyses," International Journal of Aeronautical and Space Sciences, March 2020.

Problem Definition of General Inverse Simulation Problem to Find Control

Motion equations

$$\dot{\mathbf{v}} = \mathbf{f} / m - \boldsymbol{\omega} \times \mathbf{v}$$

$$\dot{\boldsymbol{\omega}} = \mathbf{J}^{-1} \{ \mathbf{m} - \boldsymbol{\omega} \times (\mathbf{J}\boldsymbol{\omega}) \}$$

$$\mathbf{v} = \begin{pmatrix} u \\ v \\ w \end{pmatrix}, \quad \boldsymbol{\omega} = \begin{pmatrix} p \\ q \\ r \end{pmatrix}, \quad \boldsymbol{\varphi} = \begin{pmatrix} \phi \\ \theta \\ \psi \end{pmatrix}, \quad \mathbf{r} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Prescribed Trajectory: Typically by Position Vector and Heading Angle

$$\mathbf{p} = (\mathbf{r}^p, \psi^p)$$

$$\mathbf{J} = \begin{pmatrix} I_{xx} & -I_{xy} & -I_{xz} \\ -I_{yx} & I_{yy} & -I_{yz} \\ -I_{zx} & -I_{zy} & I_{zz} \end{pmatrix}, \quad \mathbf{f} = \begin{pmatrix} f_x \\ f_y \\ f_z \end{pmatrix}, \quad \mathbf{m} = \begin{pmatrix} m_x \\ m_y \\ m_z \end{pmatrix}$$

Inverse Simulation Problem: Find Flight Control to track the Prescribed Path

Kinematically Exact Motion Equations for Inverse Simulation in Inertial Frame

Using angular kinematics and navigation equations, we can get new form of motion equations

$$\begin{aligned}\boldsymbol{\omega} &= \mathbf{T}\dot{\boldsymbol{\phi}} & \dot{\boldsymbol{\omega}} &= \dot{\mathbf{T}}\dot{\boldsymbol{\phi}} + \mathbf{T}\ddot{\boldsymbol{\phi}} \\ \mathbf{v} &= \mathbf{C}\dot{\mathbf{r}} & \dot{\mathbf{v}} &= \dot{\mathbf{C}}\dot{\mathbf{r}} + \mathbf{C}\ddot{\mathbf{r}}\end{aligned}$$

$$\begin{aligned}\ddot{\mathbf{r}} &= \mathbf{C}^{-1} \left\{ \mathbf{f} / m - (\mathbf{T}\dot{\boldsymbol{\phi}}) \times (\mathbf{C}\dot{\mathbf{r}}) - \dot{\mathbf{C}}\dot{\mathbf{r}} \right\} \\ \ddot{\boldsymbol{\phi}} &= \mathbf{T}^{-1} \left[\mathbf{J}^{-1} \left\{ \mathbf{m} - (\mathbf{T}\dot{\boldsymbol{\phi}}) \times (\mathbf{J}\mathbf{T}\dot{\boldsymbol{\phi}}) \right\} - \dot{\mathbf{T}}\dot{\boldsymbol{\phi}} \right]\end{aligned}$$

Using the prescribed trajectory information $(\mathbf{r}^p, \dot{\mathbf{r}}^p, \ddot{\mathbf{r}}^p, \psi^p, \dot{\psi}^p, \ddot{\psi}^p)$

We can get kinematically exact motion equations in DAE (Differential-Algebraic-Equation) form

$$\ddot{\mathbf{x}} = \bar{\mathbf{m}}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}, t) \in R^2$$

$$\mathbf{0} = \bar{\mathbf{f}}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}, t) - \ddot{\mathbf{r}}^p \in R^3$$

$$0 = \bar{m}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}, t) - \ddot{\psi}^p \in R$$

: Two Ordinary differential equations

: Nonlinear algebraic equations

: Nonlinear algebraic equations

where $\mathbf{x} = \begin{pmatrix} \phi \\ \theta \end{pmatrix}$ $\mathbf{u} = \begin{pmatrix} \delta_0 \\ \delta_{1C} \\ \delta_{1C} \\ \delta_{TR} \end{pmatrix}$

Control Equations from 2nd and 3rd equations represents a Index 1 DAE system

$$\begin{pmatrix} \frac{\partial \bar{\mathbf{f}}}{\partial \mathbf{u}} \\ \frac{\partial \bar{m}}{\partial \mathbf{u}} \end{pmatrix} \dot{\mathbf{u}} = - \begin{pmatrix} \frac{\partial \bar{\mathbf{f}}}{\partial \mathbf{x}} \dot{\mathbf{x}} + \frac{\partial \bar{\mathbf{f}}}{\partial \dot{\mathbf{x}}} \dot{\mathbf{m}} + \frac{\partial \bar{\mathbf{f}}}{\partial t} - \ddot{\mathbf{r}}^p \\ \frac{\partial \bar{m}}{\partial \mathbf{x}} \dot{\mathbf{x}} + \frac{\partial \bar{m}}{\partial \dot{\mathbf{x}}} \dot{\mathbf{m}} + \frac{\partial \bar{m}}{\partial t} - \ddot{\psi}^p \end{pmatrix}$$

since the leading matrix is nonsingular in general rotorcraft flight dynamics

Solution using Pseudo-spectral (PS) time integrator and Quasi-Newton Method

$$\ddot{\mathbf{x}} = \bar{\mathbf{m}}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}, t) \in \mathbb{R}^2$$

: Ordinary differential equations (ODEs)

$$\mathbf{0} = \bar{\mathbf{f}}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}, t) - \ddot{\mathbf{r}}^p \in \mathbb{R}^3$$

: Nonlinear algebraic equations (NAEs)

$$0 = \bar{m}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}, t) - \ddot{\psi}^p \in \mathbb{R}$$

: Nonlinear algebraic equations (NAEs)

Direct Application of PS time integrator to 2nd order ODEs with Piccard Method

$$\dot{\mathbf{x}}_j^{(iter+1)} = \dot{\mathbf{x}}_0 + \frac{t_f - t_0}{2} \sum_{k=0}^{k=N} I_{jk} \bar{\mathbf{m}}_k^{(iter)}, \quad (j = 1, 2, \dots, N)$$

$$\mathbf{x}_j^{(iter+1)} = \mathbf{x}_0 + \frac{t_f - t_0}{2} \sum_{k=0}^{k=N} I_{jk} \dot{\mathbf{x}}_j^{(iter)}$$

Quasi-Newton Method for NAEs

$$\mathbf{g}_{1,j} = \bar{\mathbf{f}}_j - \ddot{\mathbf{r}}_j^p = \mathbf{0}$$

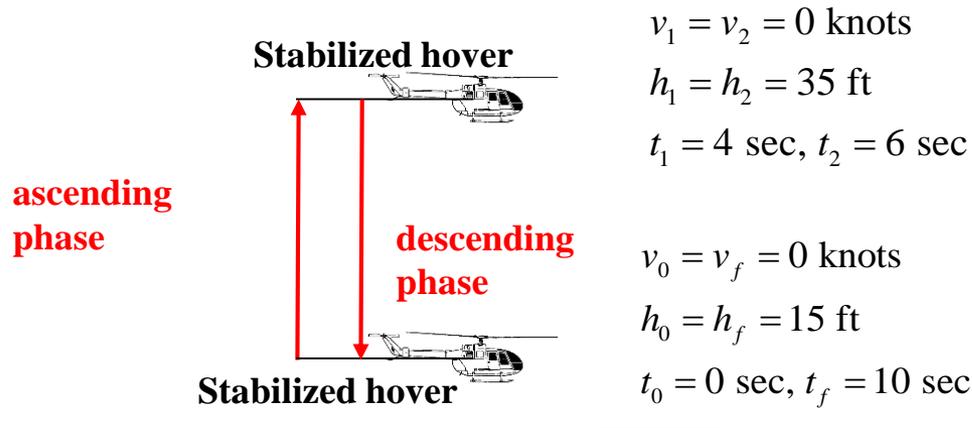
$$g_{2,j} = \bar{m}_j - \ddot{\psi}_j^p = 0$$

To find unknowns

$$\mathbf{x} = \begin{pmatrix} \phi \\ \theta \end{pmatrix} \quad \mathbf{u} = \begin{pmatrix} \delta_0 \\ \delta_{1C} \\ \delta_{1C} \\ \delta_{TR} \end{pmatrix}$$

You can refer to Reference 2020 for a detailed implementation in computer model.

Application to Bop-up MTE

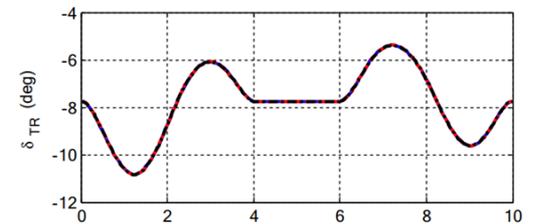
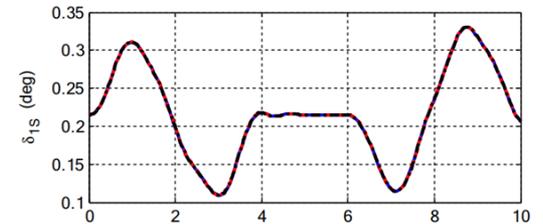
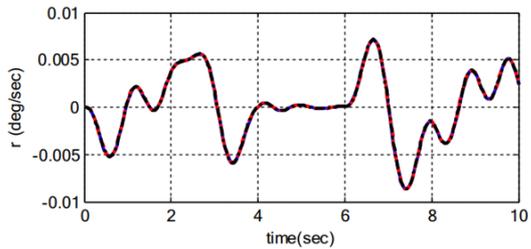
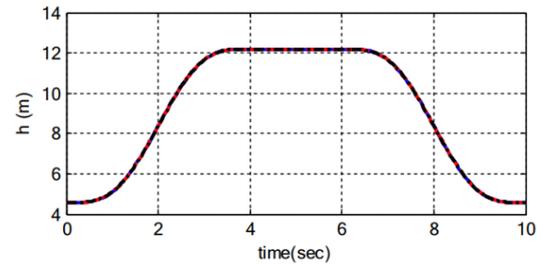
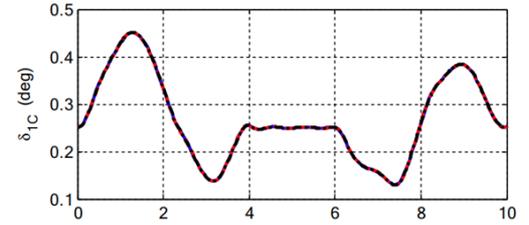
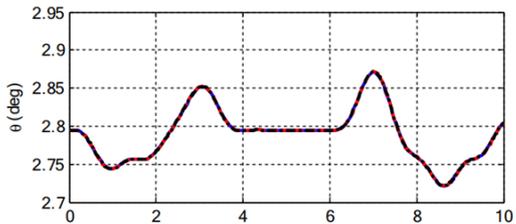
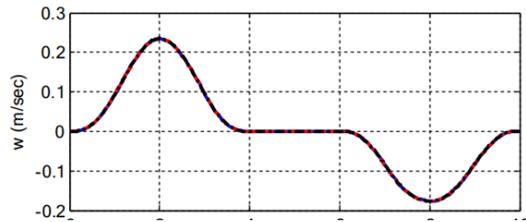
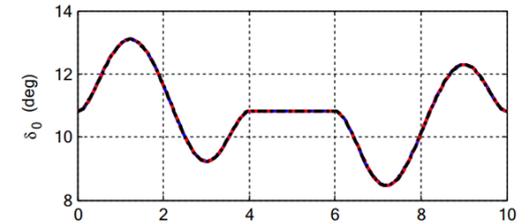
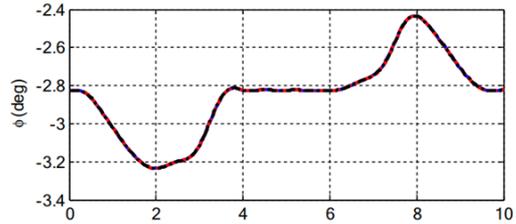
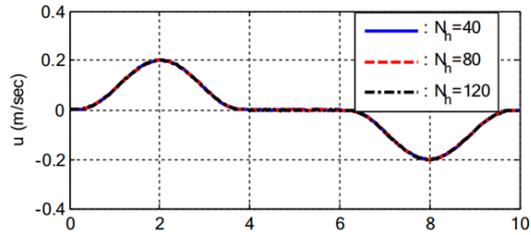


Case	N	N_h	Δt_{avg} (s)	CPU time (Intel i7)/ t_{avg}	
				KEIST formulation	Conventional formulation
1	4	20	0.1250	Failed at first segment	
2	4	40	0.0625	203/8.6	223/10.7
3	4	60	0.0417	141/7.7	170/9.8
4	4	80	0.0313	147/7.4	183/8.1
5	4	100	0.0250	155/6.5	201/8.1
6	4	120	0.0208	186/7.2	211/7.7

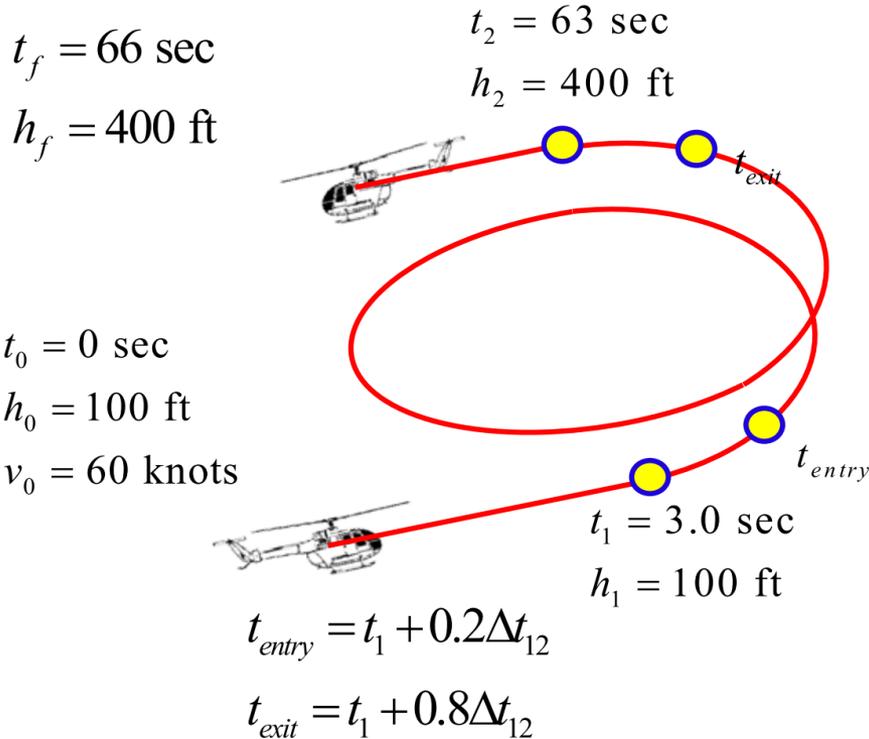
N = number of quadrature points

N_h = number of time horizon segments

Application to Bop-up MTE



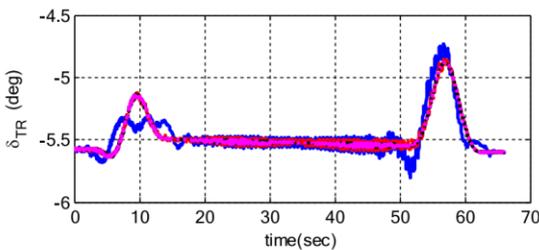
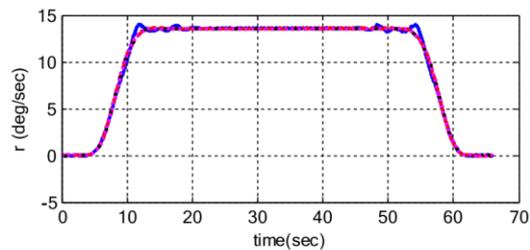
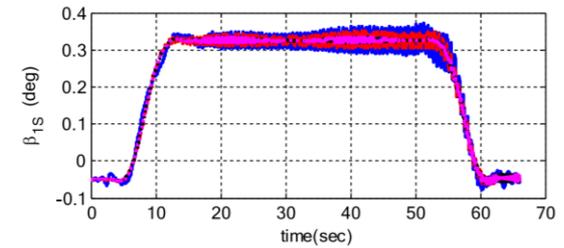
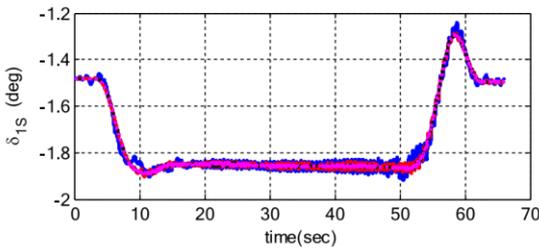
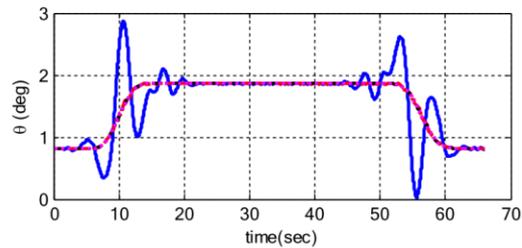
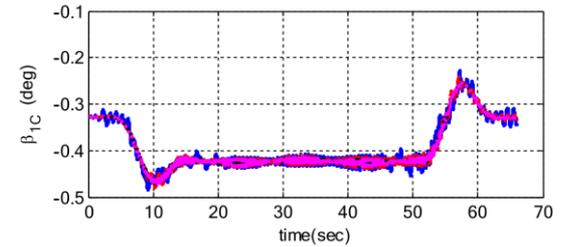
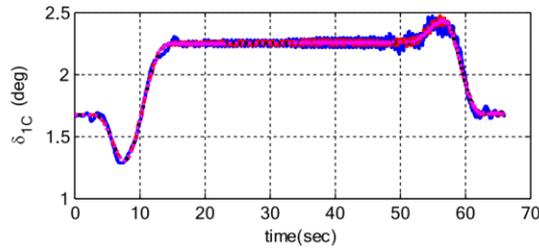
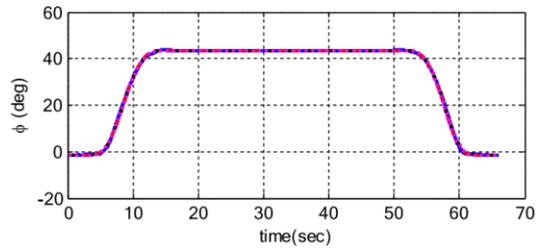
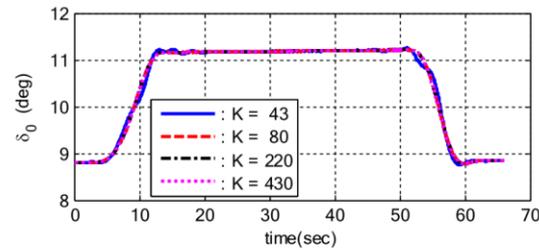
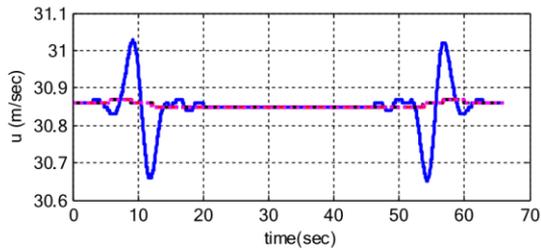
Application to Helical Turn MTE



K = number of waypoint data

Case	K_0	K_{entry}	K_{steady}	K_{exit}	K_f	$K = K_{total}$
1	5	4	25	4	5	43
2	5	10	50	10	5	80
3	5	30	150	30	5	220
4	5	60	300	60	5	430

Application to Helical Turn MTE



1

Flight Dynamic Model (HETLAS)

2

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3

Summary of Part 1

Publications on Nonlinear Optimal Control Approaches to Rotorcraft MTE Analysis

- [1] CJ Kim, J Lee, YH Byun, and YH Yu, “Nonlinear Optimal Control Analysis of Helicopter Maneuver Problems Using the Indirect Method,” *Transactions of the Japan Society for Aeronautical and Space Sciences*, 2008.
- [2] Chang-Joo Kim, Sang Kyung Sung, Soo Hyung Park, Sung-Nam Jung and Kwanjung Yee, “Selection of Rotorcraft Models for Application to Optimal Control Problems,” *Journal of Guidance Control and Dynamics*, Vol. 31, No. 5, September–October 2008
- [3] Chang-Joo Kim, Chang-Deok Yang, Seung-Ho Kim, and Changjeon Hwang, “The Analysis of Helicopter Maneuvering Flight Using the Indirect Method - Part II. Applicability of High Fidelity Helicopter Models,” *Journal of the Korean Society for Aeronautical & Space Sciences* 36(1), 2008
- [4] Chang-Joo Kim, Chang-Deok Yang, Seung-Ho Kim, and Changjeon Hwang, “Analysis of Helicopter Maneuvering Flight Using the Indirect Method - Part I. Optimal Control Formulation and Numerical Methods,” *Journal of the Korean Society for Aeronautical & Space Sciences* January 2008.
- [5] Min-Jae Kim, Ji-Seung Hong, and Chang-Joo Kim, “Finding Optimal Controls for Helicopter Maneuvers Using the Direct Multiple-Shooting Method,” *International Journal of Aeronautical and Space Sciences*, March 2010.
- [6] Chang-Joo Kim, Sangkyung Sung, Soo Hyung Park, et al., “Numerical Time-Scale Separation for Rotorcraft Nonlinear Optimal Control Analyses,” *Journal of Guidance Control and Dynamics*. 2014, Vol.37, No.2, p.658.
- [7] Kim C-J, Sung SK, “A comparative study of transcription techniques for nonlinear optimal control problems using a pseudo-spectral method,” *International Journal of Aeronautical and Space Sciences*, Vol.16, No.2, pp264–277, 2015
- [8] Jun-young An, Chang-Joo Kim, Sungwook Hur, and Seong han Lee, “Category A Takeoff and Landing Trajectory Optimization for Transport Category Rotorcraft Certification,” *Journal of Institute of Control Robotics and Systems*, December 2019
- [9] Yong Hyeon Nam, Chang-Joo Kim, Seong Han Lee, and Yi Young Kwak, “**Direct Dynamic-Simulation Approach to Trajectory Optimization for Rotorcraft Category-A Maneuver Procedures**,” *International Journal of Aeronautical and Space Sciences*, Vol.22, pp.648–662, November 2021

Nonlinear Optimal Control Problem (NOCP)

$$\min_{\mathbf{x}, \mathbf{u}} J(\mathbf{x}, \mathbf{u}, t) = \phi(\mathbf{x}(t_0), t_0, \mathbf{x}(t_f), t_f) + \int_{t_0}^{t_f} f_{obj}(\mathbf{x}(t), \mathbf{u}(t), t) dt$$

subject to $\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}, t)$

$$\mathbf{h}(\mathbf{x}) = \mathbf{0}$$

$$\mathbf{g}(\mathbf{x}) \leq 0$$

J : total cost function

ϕ : cost function for Initial and final conditions

f_{obj} : integral cost function

t_0 : initial time

t_f : final time

\mathbf{h} : equality constraint function

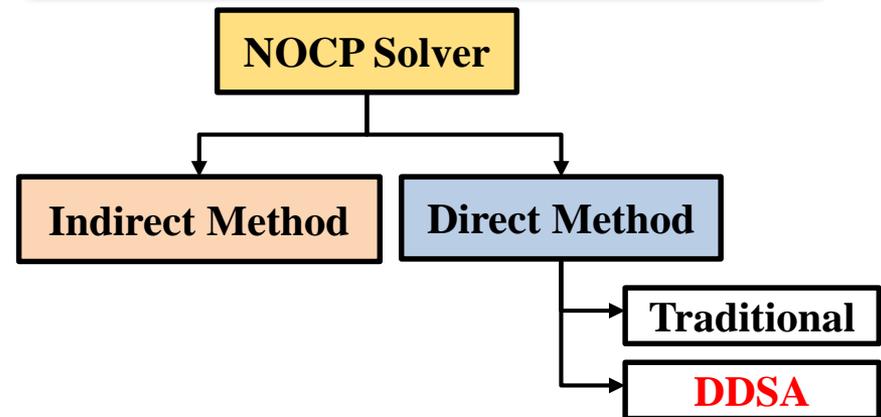
\mathbf{g} : inequality constraint function

\mathbf{x} : system states

\mathbf{u} : system control

\mathbf{f} : system forcing function

Two Typical Methods for NOCP Solution



[Remark] Direct Method typically has much higher robustness than Indirect Method

Typical Procedures in Direct Method

NOCP

$$\begin{aligned} \min \quad & J(\mathbf{x}, \mathbf{u}, t) = \phi(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) + \int_{t_0}^{t_f} f_{obj}(\mathbf{x}, \mathbf{u}, t) dt \\ \text{s.t.} \quad & \dot{\mathbf{x}}(t) = \mathbf{f}(\mathbf{x}, \mathbf{u}, t), \quad t \in [t_0, t_f], \quad \mathbf{x} \in R^n, \quad \mathbf{u} \in R^m \\ & \mathbf{h}(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) = 0, \quad \mathbf{h} \in R^{L_e} \\ & \mathbf{g}(\mathbf{x}, \mathbf{u}, t) \leq 0, \quad t \in [t_0, t_f], \quad \mathbf{g} \in R^{L_i} \end{aligned}$$

Transcription of NOCP

Parametrization of both Controls & States
(Pseudo Spectral Method Using LGL Points)

**NLP Solving Algorithm for KKT
(Karush-Kuhn-Tucker) System**
Sequential Quadratic Programming
rSQP

NLP

$$\begin{aligned} \min \quad & f(\mathbf{x}) \quad \mathbf{x} \in R^n \\ \text{s.t.} \quad & h_j(\mathbf{x}) = 0 \quad \text{for } j \in E = \{1, 2, \dots, m\} \\ & g_j(\mathbf{x}) \leq 0 \quad \text{for } j \in I = \{1, 2, \dots, p\} \end{aligned}$$

The transcription (Discretization) intends to convert NOCP into NLP (Nonlinear Programming Problem) by applying time integrator over all computational time nodes like

$$\begin{aligned} J &= \phi(\mathbf{x}_0, t_0, \mathbf{x}_f, t_f) + \frac{t_f - t_0}{2} \sum_{j=0}^{j=N} w_j f_{obj}(\mathbf{x}_j, \mathbf{u}_j, t_j) \\ \mathbf{x}_j &= \mathbf{x}_0 + \frac{t_f - t_0}{2} \sum_{j=0}^{j=N} I_{jk} \mathbf{f}(\mathbf{x}_j, \mathbf{u}_j, t_j) \end{aligned}$$

Thus, the system dynamics are converted into equality constraints at NLP. In addition, the NLP solver must compute unknowns design variables consisting of system states and controls at all time nodes.

$$\left\{ \mathbf{x}_j, \mathbf{u}_j \right\}_{j=0}^{j=N}$$

Conventional direct methods suffer from serious curse of dimensionality when using a high-fidelity rotorcraft math model due to

- Rotor dynamics (even for flap and lead-lag dynamics in rigid-blade models
- Inflow dynamics

Since the discretization of these dynamics typically require over 36 time nodes per one rotor revolution to obtain accurate time integrations of dynamical equations. Thus, the size of KKT systems is dramatically increased as the time horizon of NOCP is increased

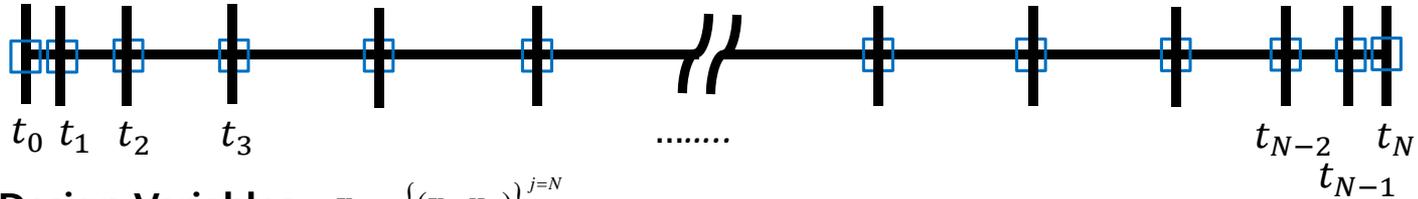
Two Baseline concepts in developing DDSA

- (1) **The system states are uniquely determined by the control inputs. Thus, the states are possibly excluded from the design variables in NLP during the transcription process. In addition, the system dynamics are simply satisfied using an accurate time integrator**
- (2) **Computational efficiency can be enhanced through the control parametrization using Hermit spline interpolation.**

Thus, the KKT system can be derived only for system controls, which can dramatically reduced the number of both design variables and constraint functions.

Comparison of Pseudo-Spectral transcription methods : Traditional method vs DDSA

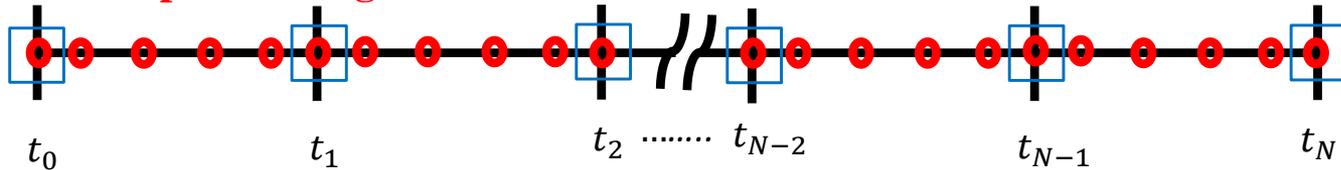
Traditional method over a single time segment



Design Variables $\mathbf{x}_D = \{(\mathbf{x}_j, \mathbf{u}_j)\}_{j=0}^{j=N}$

\mathbf{x}_0	\mathbf{x}_1	\mathbf{x}_2	\mathbf{x}_3	\mathbf{x}_{N-2}	\mathbf{x}_{N-1}	\mathbf{x}_N
\mathbf{u}_0	\mathbf{u}_1	\mathbf{u}_2	\mathbf{u}_3	\mathbf{u}_{N-2}	\mathbf{u}_{N-1}	\mathbf{u}_N

DDSA over multiple time segments



Design Variables $\mathbf{x}_D = \{\mathbf{u}_j\}_{j=0}^{j=N}$

\mathbf{x}_0	$\mathbf{u}_{0,1}$	$\mathbf{u}_{0,2}$	$\mathbf{u}_{0,3}$	$\mathbf{u}_{0,4}$	\mathbf{u}_1	$\mathbf{u}_{1,1}$	$\mathbf{u}_{1,2}$	$\mathbf{u}_{1,3}$	$\mathbf{u}_{1,4}$	\mathbf{u}_2	\mathbf{u}_{N-2}	$\mathbf{u}_{N-2,2}$	$\mathbf{u}_{N-2,3}$	$\mathbf{u}_{N-2,4}$	\mathbf{u}_{N-1}	$\mathbf{u}_{N-1,1}$	$\mathbf{u}_{N-1,2}$	$\mathbf{u}_{N-1,3}$	$\mathbf{u}_{N-1,4}$	\mathbf{u}_N
\mathbf{u}_0																					

Integrated State Variables																					
	\mathbf{x}_1			\mathbf{x}_2		\mathbf{x}_{N-2}			\mathbf{x}_{N-1}											\mathbf{x}_N

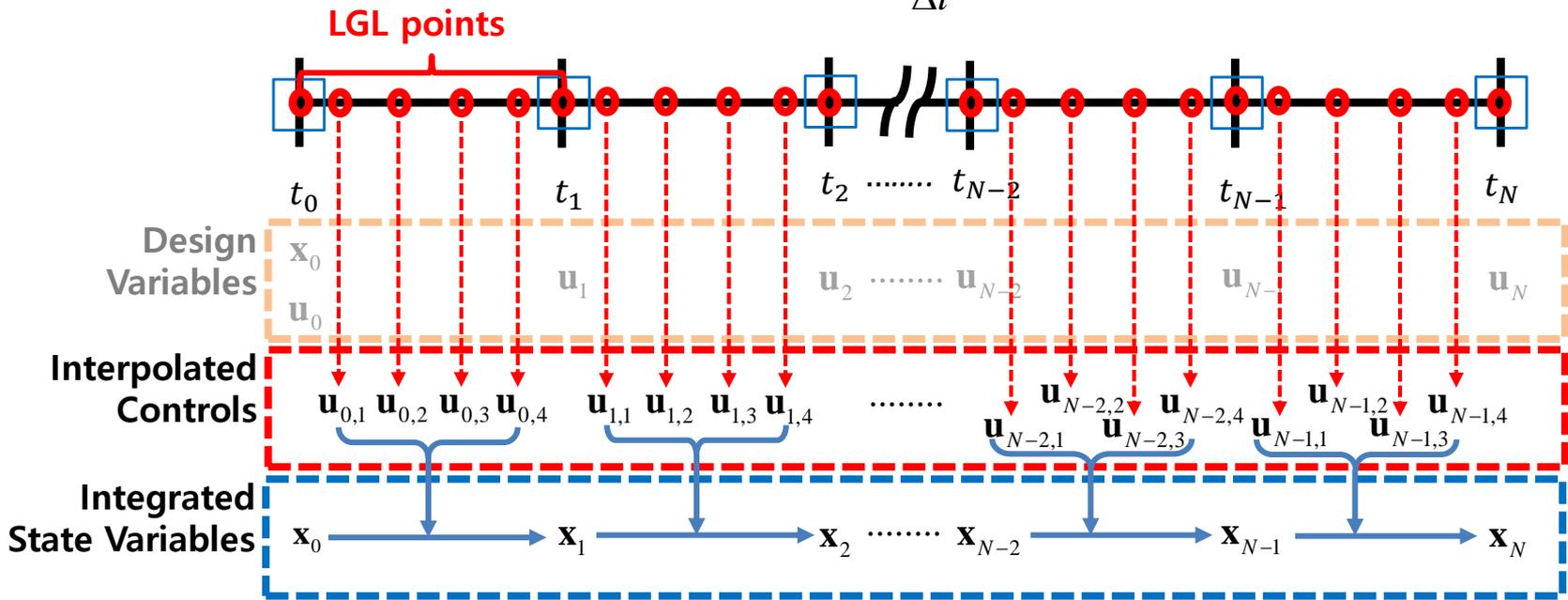
Control Interpolation using Hermit Spline Interpolation for DDSA

Interpolation of control inputs over $t \in [t_j, t_{j+1}]$

$$\mathbf{u}(\tau) = \sum_{l=0}^{l=L} (\Delta t_j)^l \{ \alpha_l(\tau) \mathbf{u}_j^{(l)} + \beta_l(\tau) \mathbf{u}_{j+1}^{(l)} \}$$

$$\begin{aligned} \alpha_k^{(j)}(0) &= \delta_{jk} & \alpha_k^{(j)}(1) &= 0 \\ \beta_k^{(j)}(0) &= 0, & \beta_k^{(j)}(1) &= \delta_{jk} \end{aligned} \quad \text{with} \quad \delta_{jk} = \begin{cases} 1 & (j = k) \\ 0 & (j \neq k) \end{cases}$$

$$\tau = \frac{t - t_j}{\Delta t} \in [0, 1], \quad \Delta t_j = t_{j+1} - t_j$$



You can refer to Reference 2021[9] for a detailed implementation in computer model.

Comparison of Computational Efficiency for Simple Problem : Traditional method vs DDSA

NOCP: Soft lunar landing of a spacecraft

$$\begin{aligned}
 \min \quad & J = \int_0^{t_f} u dt \\
 \text{s.t.} \quad & \dot{x}_1 = x_2, \\
 & \dot{x}_2 = -1.5 + u \\
 & x_1(0) = 10, x_2(0) = -2 \\
 & x_1(t_f) = 0, x_2(t_f) = 0 \\
 & 0 \leq u \leq 3
 \end{aligned}$$

Exact solution

$$u = \begin{cases} 0 & t < t_s^* \\ 3 & t_s^* < t \end{cases}, \quad \left(t_s^* = \frac{t_f^*}{2} + \frac{v_0}{3} \right)$$

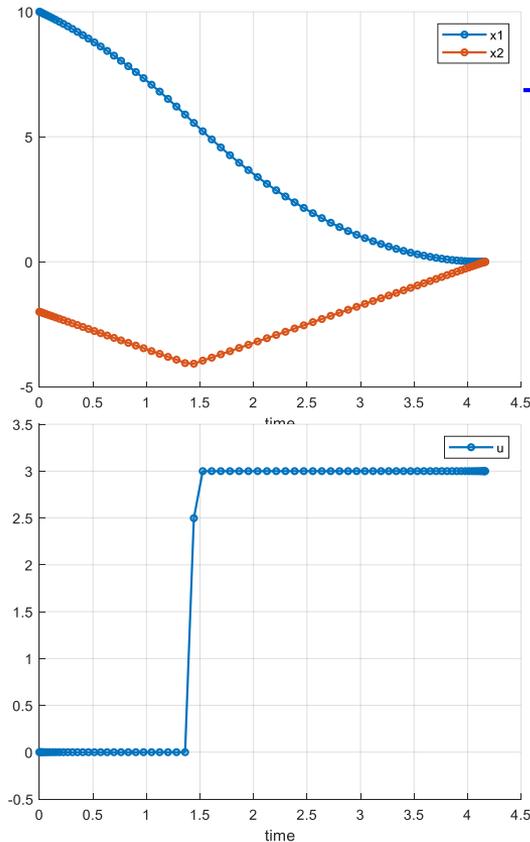
Computational Nodes

	PS Method	DDSA
Computational Nodes	-	4
Collocation Nodes	76	26
Size of the KKT system	536	234

**Ratio of KKT
System Sizes: 2.29**

Comparison of Computational Efficiency for Simple Problem : Traditional method vs DDSA

Pseudo Spectral Method

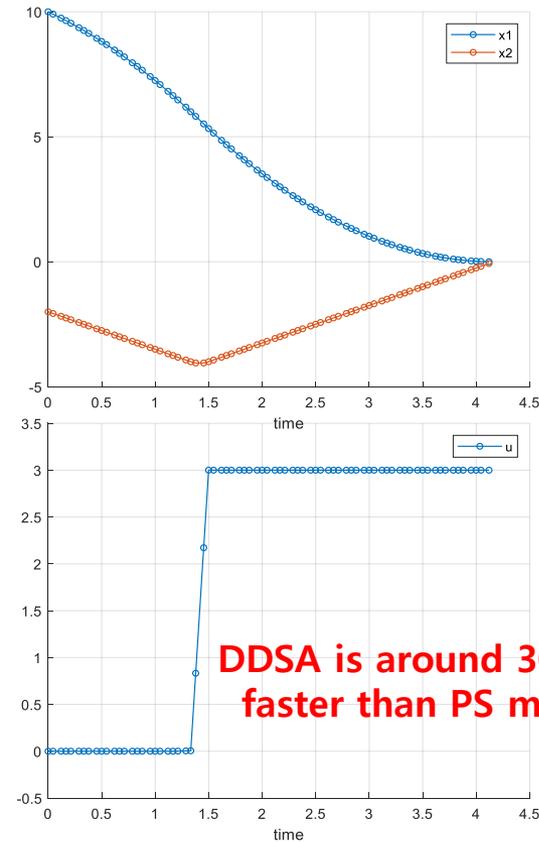


Termination Condition

$$\begin{aligned} \mathcal{E}_{KKT} &: 0.1E-5 \\ \mathcal{E}_{\text{constraints}} &: 0.1E-9 \end{aligned}$$

Cost Function: 0.8246E+1
CPU Time : 601.0 [sec]

Direct Dynamic Simulation Approach



DDSA is around 30 times faster than PS method

Cost Function: 0.8246E+1
CPU Time : 22.0 [sec]

Applications of DDSA using Point-Mass Model

Motion equations

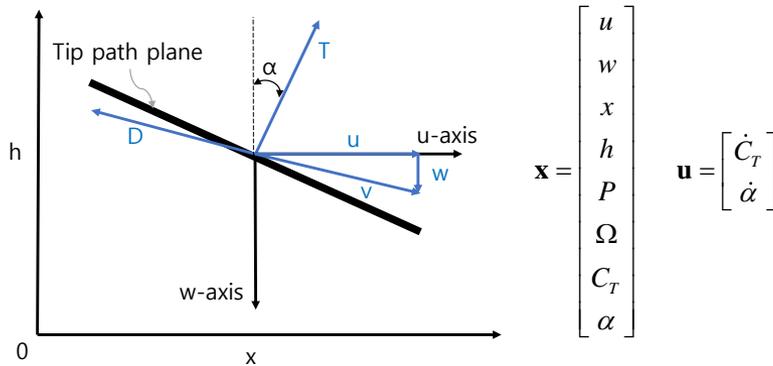


Fig. Rotorcraft point mass model.

- Motion equation & RPM dynamics

$$m\dot{u} = C_T \rho (\pi R^2) (\Omega R)^2 \sin \alpha - \frac{1}{2} \rho f_e u \sqrt{u^2 + w^2}$$

$$m\dot{w} = mg - C_T \rho (\pi R^2) (\Omega R)^2 \cos \alpha - \frac{1}{2} \rho f_e w \sqrt{u^2 + w^2}$$

$$I_R \Omega \dot{\Omega} = P_S - \frac{1}{\eta} \rho (\pi R^2) (\Omega R)^3 C_P$$

- Kinematics

$$\dot{x} = u$$

$$\dot{h} = -w$$

- Control equation

$$C_T = u_1$$

$$\dot{\alpha} = u_2$$

- Power supply model

$$\dot{P}_{fail} = -\frac{1}{\tau_p} P_S$$

$$\dot{P}_{avail} = 0 \quad (t \leq \text{Pilot Delay})$$

$$\dot{P}_{avail} = \frac{1}{\tau_p} (P_{avail_ref} - P_{avail}) \quad (t > \text{Pilot Delay})$$

$$P_{avail_ref} = \min\{P_{ref} - G(\Omega - \Omega_{ref}), P_{OEI}\}$$

Model parameter

parameter	OH-58A	UH-60
Image		
Engine model	Single engine	Twin engines
f_e (equivalent flat plate area)	1.2077m ²	2.7871 m ²
m (helicopter mass)	1360.8 kg	7484.27 kg
R (main rotor radius)	5.3736 m	8.1777 m
σ (solidity)	0.048	0.0821
C_{d0} (drag coef.)	0.0087	0.012
a (lift curve)	5.73	5.73
I_R (main rotor MOI)	911.10 kg.m ³	9572.07 kg.m ³
H_R (main rotor height)	2.0 m	5.13 m
Ω_0 (ref, rotor RPM)	353 RPM	257.1 RPM
g (gravity coef.)	9.836 kg.m/s ²	9.836 kg.m/s ²
P_{ref} (ref. power)	354hp	-
P_{OEI} (OEI power)	-	1656hp
τ_p (time const.)	1.3	1.5

Applications of DDSA using Point-Mass Model

Autorotational Landing Problem : NOCP Problem to minimize Touchdown speed

- Objective function

$$J = q_{fw} w_f + \frac{1}{2} Q_{fw} w_f^2 + \frac{1}{2} Q_{fu} u_f^2$$

where $q_{fw} = 40, Q_{fw} = 80, Q_{fu} = 80$

- Initial/final constraints

$$\mathbf{x}_0 = \mathbf{x}_{trim}$$

$$h_f = 0$$

$$0m/s \leq u_f \leq 7.3152m/s$$

$$0m/s \leq w_f \leq 0.9144m/s$$

$$-10.0\text{deg} \leq \alpha_f \leq 3.65\text{deg}$$

- Global inequality constraint

$$w \leq 9.144m/s$$

$$0m \leq h$$

$$0 \leq C_T \leq 0.15\sigma$$

$$-30\text{deg} \leq \alpha \leq 30\text{deg}$$

$$-25\sigma\text{deg/sec} \leq \dot{C}_T \leq 25\sigma\text{deg/sec}$$

$$-16\text{deg/sec} \leq \dot{\alpha} \leq 16\text{deg/sec}$$

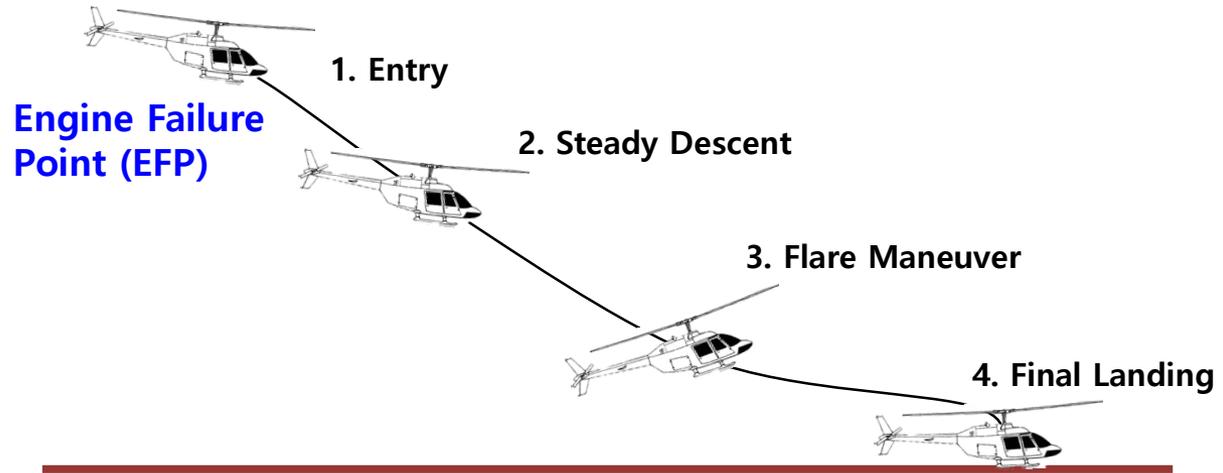


Fig. 7 Trajectory of autorotation procedure.

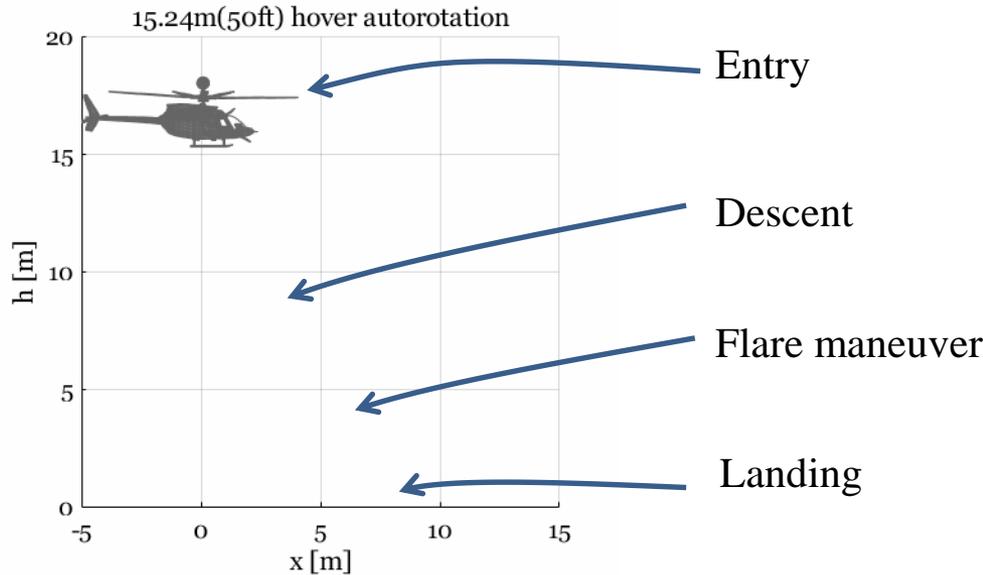
Aim of each phase

1. Entry : Recover 100% RPM while Stabilizing the aircraft
2. Steady Descent : increase the translation kinetic energy as much as possible.
3. Flare maneuver : reduce the speed and sink rate by increasing the collective pitch and by tilting rotor disc backward
4. Final landing : safe landing while keeping the attitude suitable for landing

[Ref. : Edward N. Bachelder, Bimal L.Aponso, "An Autorotation Flight Director for Helicopter Training," the American Helicopter Society 59th Annual Forum proceedings, Phoenix, Arizona, May 6-8, 2003.]

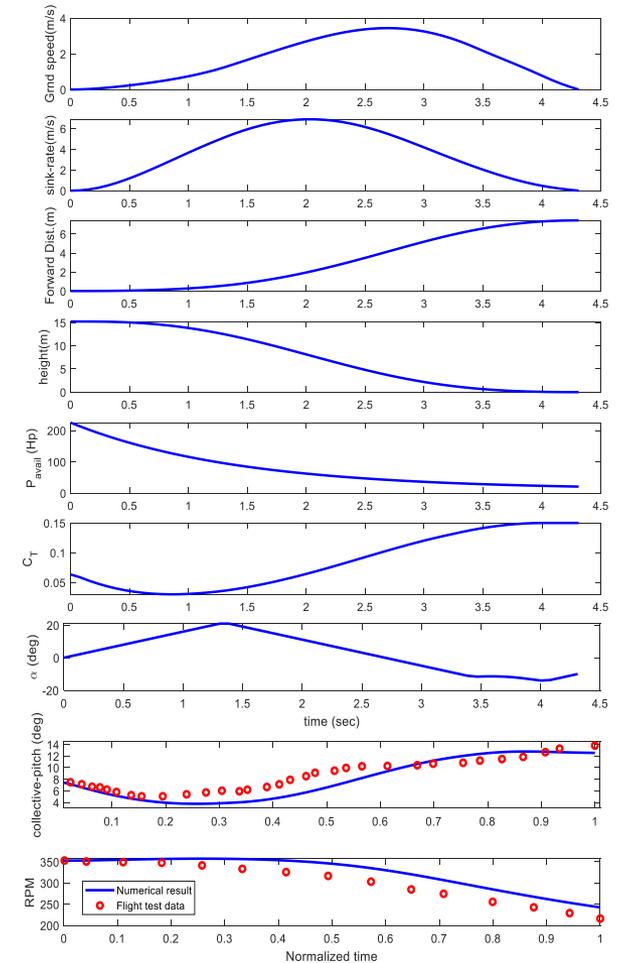
Applications of DDSA using Point-Mass Model

Autorotational Landing Problem : OH-58A, at low altitude hover point



Comparison of numerical results with flight test data.

	Numerical result	Flight test data
Gross weight	1360.777 kg	1382.55 kg
Wind condition	0 knots	<3 knots
Flight time	4.3107 sec	8.1 sec
Max. sink rate	6.8796 m/s	6.096 m/s
Vertical Speed at T.D	0 m/s	0 m/s
Rotor RPM at T.D	242RPM	217 RPM
Min. Collective pitch	3.7665 deg	5.05 deg
Collective pitch at T.D	12.5375 deg	14.8 deg
Computation time	18.60 sec	.

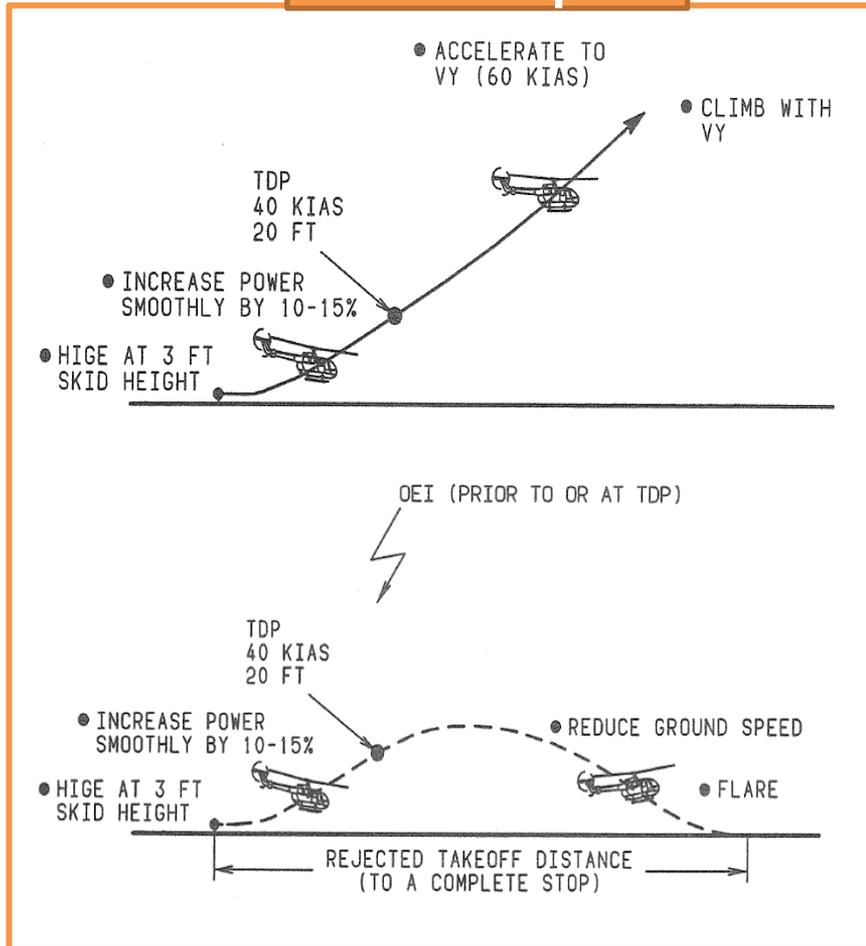


[Ref. of flight test data: L. W. Dooley and R. D. Yeary, "Flight Test Evaluation of the High Inertia Rotor System," Technical report, U.S. Army Research and Technology Laboratories (AVRADCOM), 1979]

Applications of DDSA using Point-Mass Model

Rejected Take-Off (RTO) Procedure after One Engine Failure [Bo-105 Flight Manual]

Clear heliport



Elevated heliport

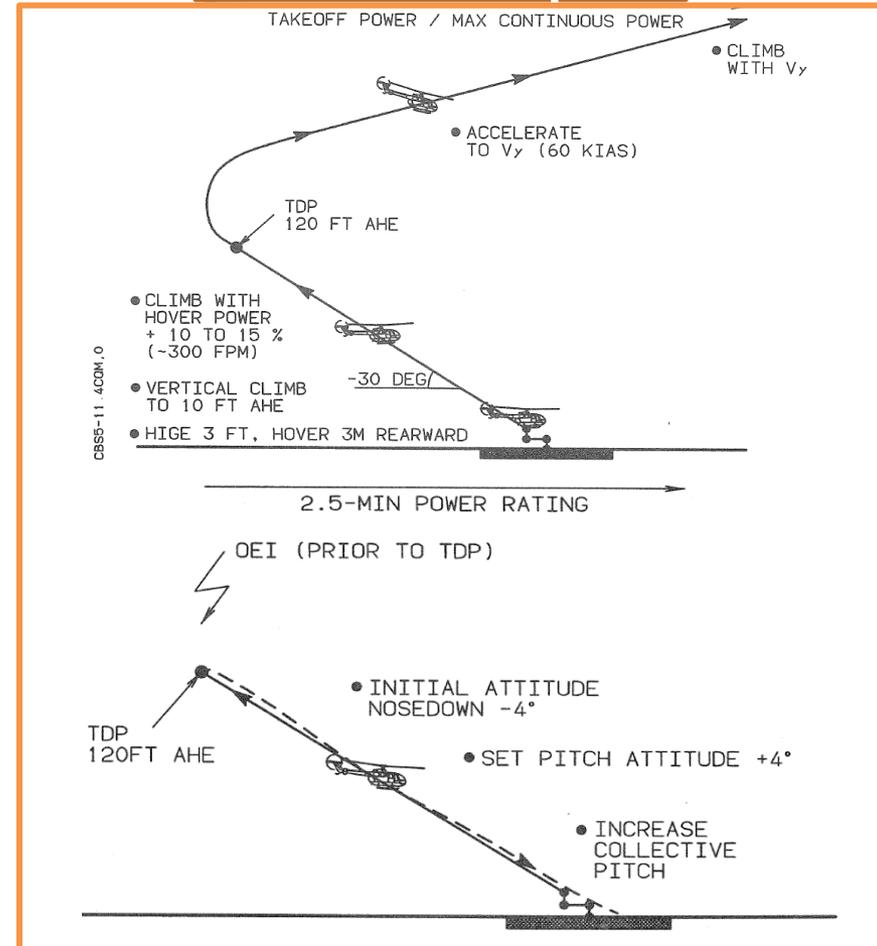


Fig. Trajectory of normal take-off procedure (Up) and RTO procedure (Down).

Applications of DDSA using Point-Mass Model

RTO (Rejected Take-Off) Performance : Clear Heliport, 1sec Pilot delay, V=40 knots

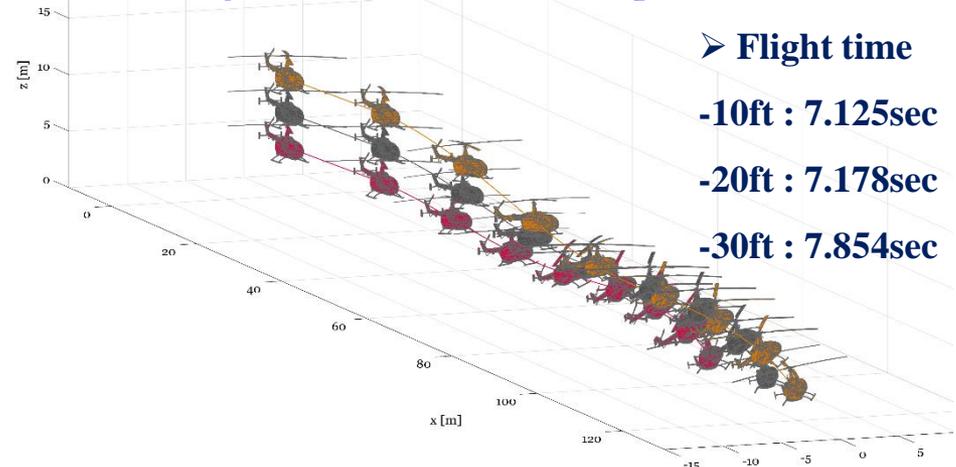
$$J = \frac{1}{2} \mathbf{x}_f^T \mathbf{Q}_f \mathbf{x}_f + \int_{t_0}^{t_f} \frac{1}{2} \mathbf{x}^T \mathbf{Q}_i \mathbf{x} dt$$

$$\mathbf{x}_f = [V_N, V_E, \dot{h}, \theta, \psi]^T, \mathbf{Q}_f = \text{Dig}[2, 2, 2, 2, 10]$$

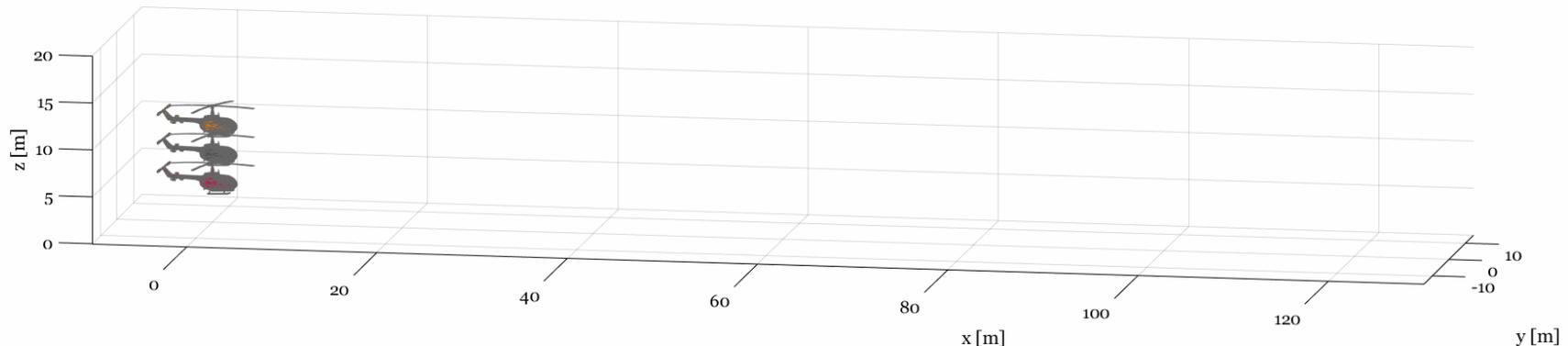
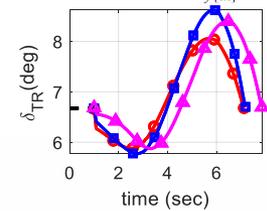
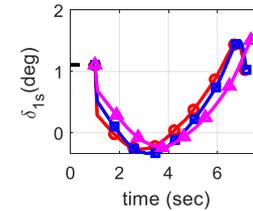
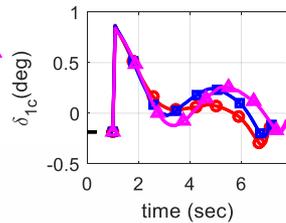
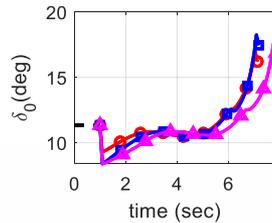
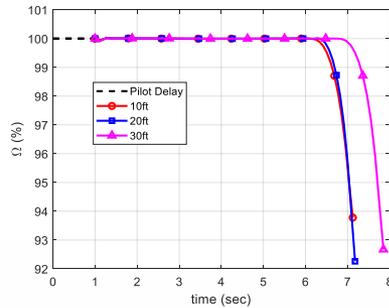
$$\mathbf{x} = [p, q, r, y, \Omega, \mathbf{u}]^T, \mathbf{Q}_i = \text{Dig}[2, 2, 10, 0.2, 0.2, 2]$$

$$\mathbf{u} = [\delta_0, \delta_{1c}, \delta_{1s}, \delta_{TR}]$$

$$V_{initial} = 40 \text{ knot}, ROC = 100 \text{ fpm}$$



- Flight time
- 10ft : 7.125sec
- 20ft : 7.178sec
- 30ft : 7.854sec



Applications of DDSA using Point-Mass Model

RTO (Rejected Take-Off) Performance : Elevated Heliport, 1sec Pilot delay, height variation

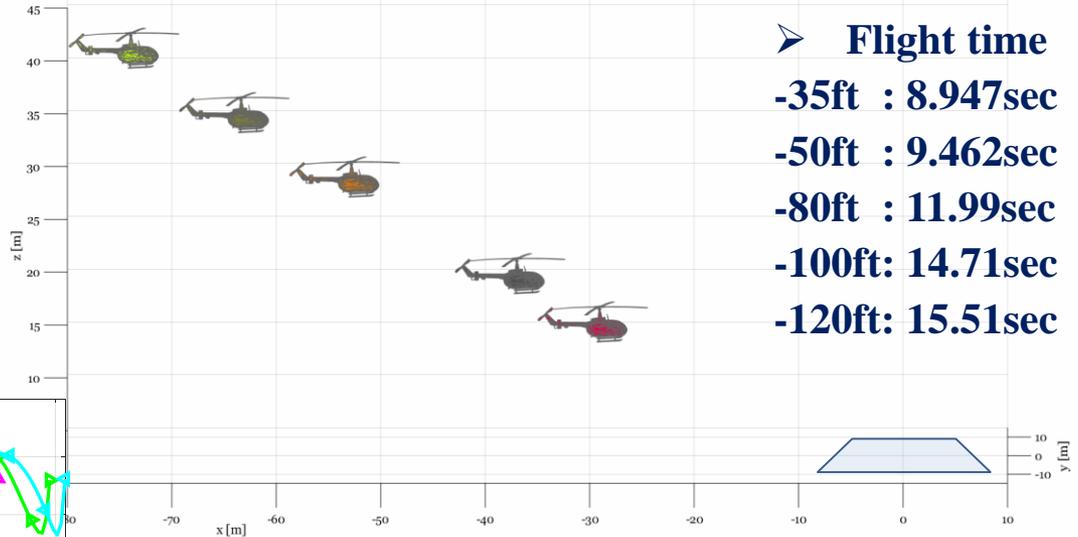
$$J = \frac{1}{2} \mathbf{x}_f^T \mathbf{Q}_f \mathbf{x}_f + \int_{t_o}^{t_f} \frac{1}{2} \mathbf{x}^T \mathbf{Q}_i \mathbf{x} dt$$

$$\mathbf{x}_f = [V_N, V_E, \dot{h}, \psi, x]^T, \mathbf{Q}_f = \text{Dig}[2, 2, 2, 16, 1]$$

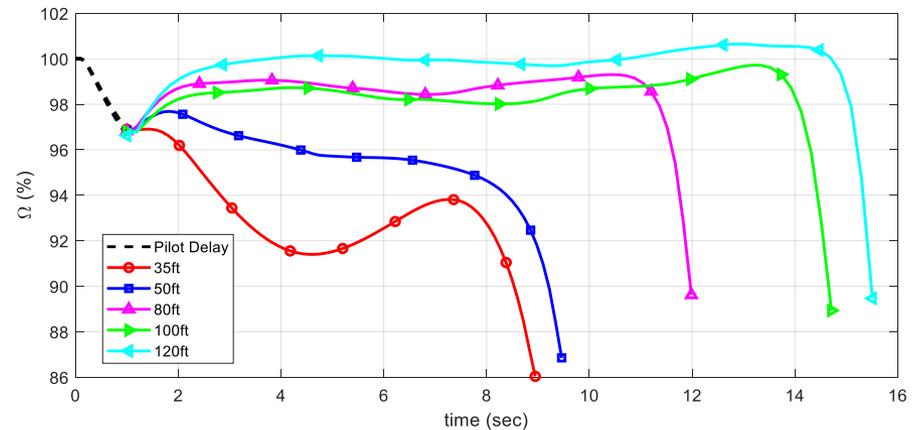
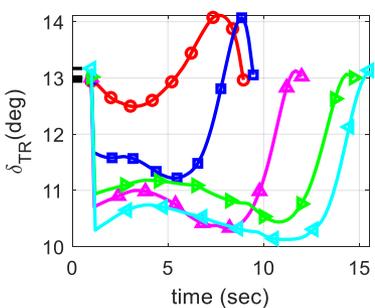
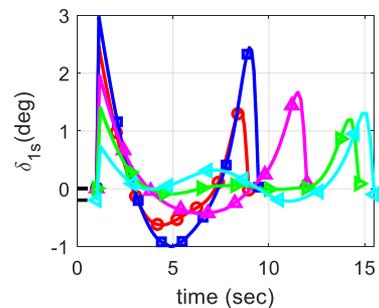
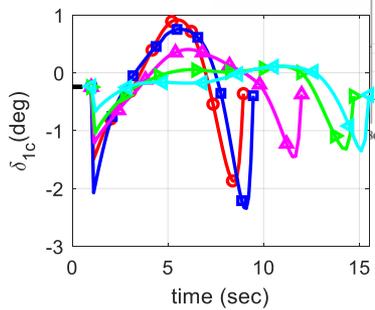
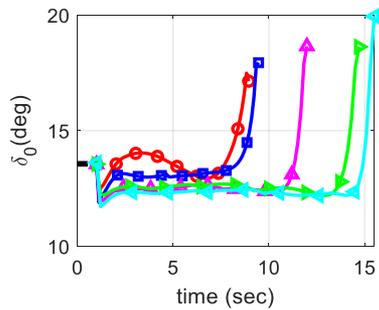
$$\mathbf{x} = [p, q, r, y, \Omega, \mathbf{u}]^T, \mathbf{Q}_i = \text{Dig}[2, 2, 10, 0.2, 0.2, 2]$$

$$\mathbf{u} = [\delta_0, \delta_{1c}, \delta_{1s}, \delta_{TR}]$$

$$V_{initial} = -5 \text{ knot}, ROC = 300 \text{ fpm}$$



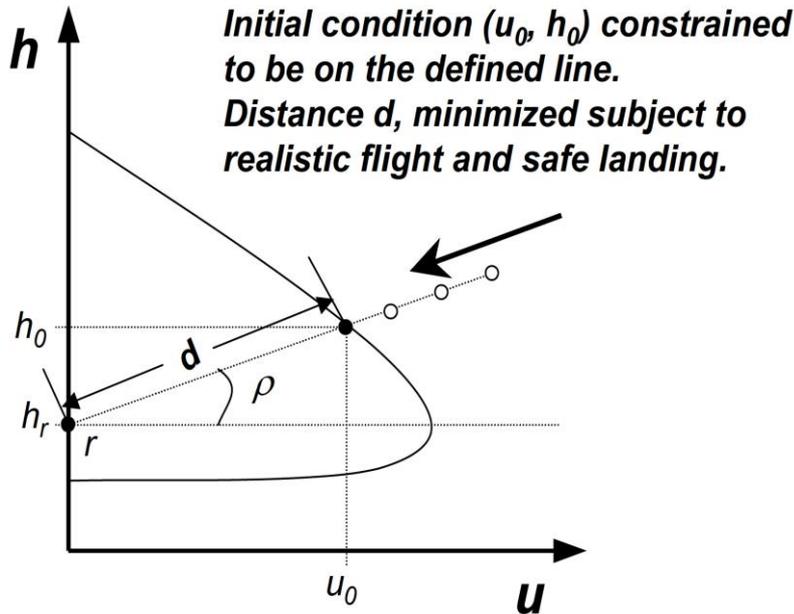
- Flight time
- 35ft : 8.947sec
- 50ft : 9.462sec
- 80ft : 11.99sec
- 100ft: 14.71sec
- 120ft: 15.51sec



Applications of DDSA using Point-Mass Model

Height-Velocity (H-V) Diagram (Dead-Man Curve)

NOCP formulation for H-V Diagram



$$\min \quad d = u_0 \cos \rho_r + (h_0 - h_r) \sin \rho_r \quad \text{Cost Function}$$

subject to

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}, \mathbf{t}) \quad \text{Motion Equations}$$

$$\mathbf{x}_0 = \mathbf{x}_{trim} \quad \text{Initial Constraints}$$

$$u_0 \sin \rho_r - (h_0 - h_r) \cos \rho_r = 0$$

$$w_f \leq w_{\max} \quad \text{Final Constraints}$$

$$u_f \leq u_{\max}$$

$$\alpha_{f_{\min}} \leq \beta_f \leq \alpha_{f_{\max}}$$

$$\Omega_{\min} \leq \Omega(t) \leq \Omega_{\max}$$

$$C_{T_{\min}} \leq C_T(t) \leq C_{T_{\max}} \quad \text{Operational Limits}$$

$$\alpha_{\min} \leq \alpha(t) \leq \alpha_{\max}$$

$$-\dot{C}_{T_{\max}} \leq \dot{C}_T(t) \leq \dot{C}_{T_{\max}}$$

$$-\dot{\alpha}_{\max} \leq \dot{\alpha}(t) \leq \dot{\alpha}_{\max}$$

[Ref :Harris, M. J., Kunz, D. L., & Hess, J. A. (2018). Analytical Determination of a Helicopter Height-Velocity Curve. 2018 Modeling and Simulation Technologies Conference.]

Applications of DDSA using Point-Mass Model

Prediction H-V Diagram for OH-58A Model

NOCP formulation (Minimize avoid zone)

$$\min J = 10.0d + \int_0^{t_f} (\Omega - \Omega_{ref})^2 dt$$

$$d = \cos \rho (u_o - u_{ref}) + \sin \rho (h_o - h_{ref})$$

$$u_{ref} = 0 \text{ knots}$$

$$h_{ref} = 150 \text{ ft}$$

$$-80^\circ \leq \rho \leq 80^\circ$$

Constraints

$$u_f \leq 35 \text{ ft/s(ref)}$$

$$h_f = 0$$

$$-30^\circ \leq \alpha \leq 30^\circ$$

$$0 \text{ ft/s} \leq u_f \leq 20 \text{ ft/s}$$

$$-16^\circ/\text{s} \leq \dot{\alpha} \leq 16^\circ/\text{s}$$

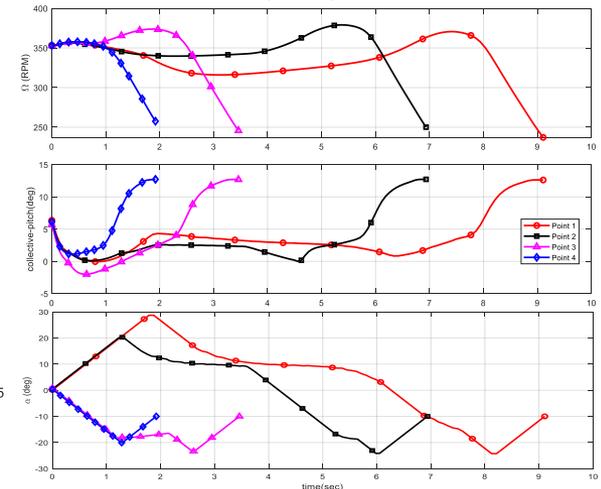
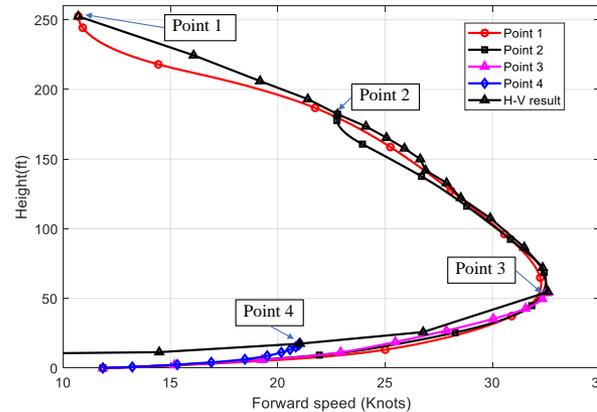
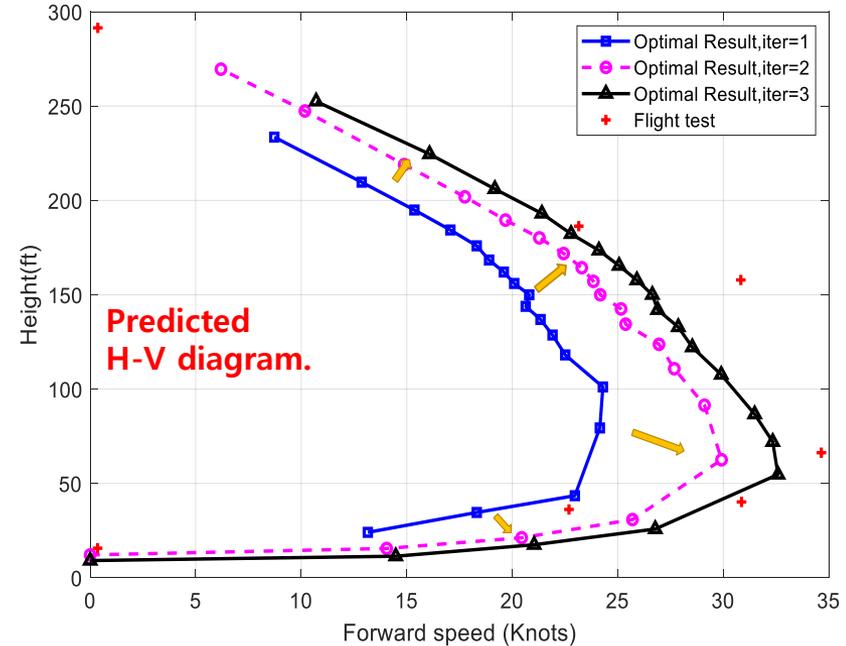
$$0 \text{ ft/s} \leq w_f \leq 5 \text{ ft/s}$$

$$0.2 \leq \frac{C_T}{\sigma} \leq 0.15$$

$$-10^\circ \leq \alpha_f \leq 3.65^\circ$$

$$-0.43/\text{s} \leq \dot{C}_T \leq 0.43/\text{s}$$

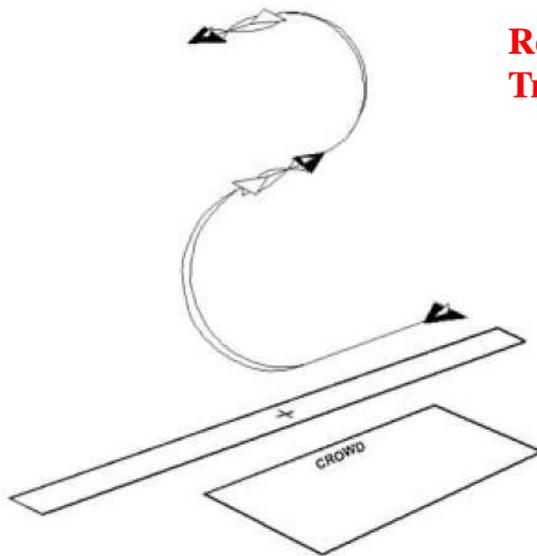
$$\sin \rho (u_o - u_{ref}) = \cos \rho (h_o - h_{ref})$$



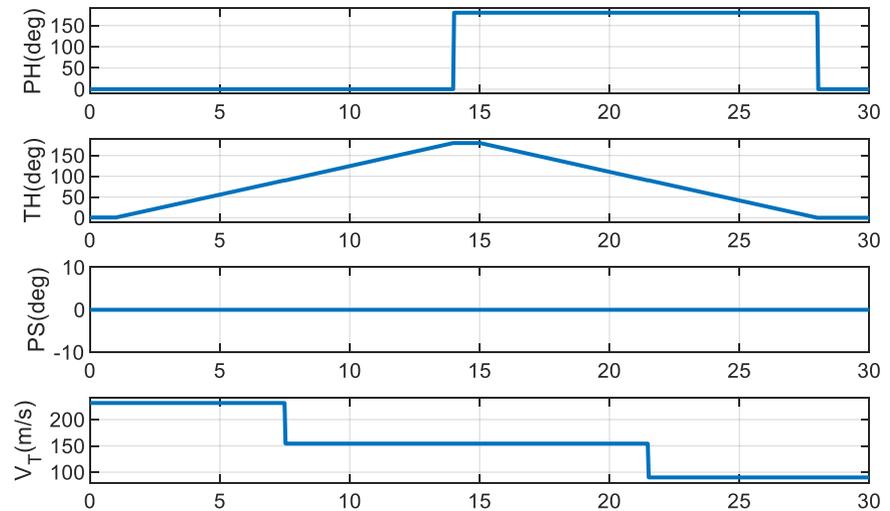
Applications of DDSA using High-Fidelity F-16 Model

Double Immelmann Turn (Ref: US Air Force Aircraft Demonstrations)

- Entry phase: 450knots Level flight
- 180 deg Heading change through Longitudinal loop maneuver
- 180 deg bank change
- Repeat above procedure
- Use 100 % throttle after entry and use throttle greater than 77% after Apex.



Reference Trajectory



[Ref 1] Brian L. Stevens, *Aircraft Control and Simulation 3rd Edition*, WILEY, November 2015

[Ref 2] Nguyen L. T, *Simulator Study of Stall/Post-Stall Characteristics of a Fighter Airplane With Relaxed Longitudinal Static Stability*, NASA Technical Paper 1538.

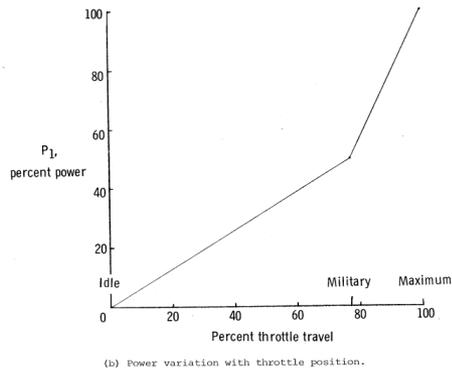
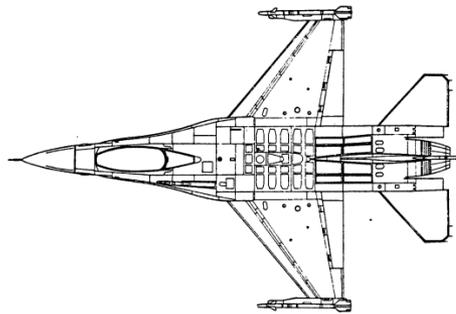
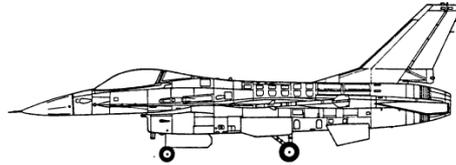
[Ref 3] Misawa Airbase U.S. Air Force, (2021). PACAF F-16 Demonstration Team Maneuvers Package 2021, U.S Air Force, 23 October 2014, AIR FORCE AIRCRAFT DEMONSTRATIONS (A-10, F-15, F-16, F-22)

Applications of DDSA using High-Fidelity F-16 Model

High-Fidelity F-16 Model: opened at NASA Homepage

$C_x(a, \delta, \delta_h = -25^\circ)$

BETA	-30.0	-25.0	-20.0	-15.0	-10.0	- 5.0	- 0.0	5.0	2.0
ALPHA	0.0	2.0	4.0	6.0	8.0	10.0	15.0	20.0	30.0
-10.0	-10370	-10630	-10900	-11180	-11460	-11740	-12020	-12300	-12580
-15.0	-17140	-17400	-17670	-17940	-18210	-18480	-18750	-19020	-19290
-10.0	-10370	-10630	-10900	-11180	-11460	-11740	-12020	-12300	-12580
- 5.0	-17870	-17930	-17990	-18050	-18110	-18170	-18230	-18290	-18350
0.0	-11920	-11920	-11920	-11920	-11920	-11920	-11920	-11920	-11920
5.0	-14330	-14290	-14250	-14210	-14170	-14130	-14090	-14050	-14010
10.0	-09740	-09680	-09620	-09560	-09500	-09440	-09380	-09320	-09260
15.0	-11330	-11290	-11250	-11210	-11170	-11130	-11090	-11050	-11010
20.0	-09740	-09680	-09620	-09560	-09500	-09440	-09380	-09320	-09260
25.0	-08150	-08090	-08030	-07970	-07910	-07850	-07790	-07730	-07670
30.0	-06560	-06500	-06440	-06380	-06320	-06260	-06200	-06140	-06080
35.0	-04970	-04910	-04850	-04790	-04730	-04670	-04610	-04550	-04490
40.0	-03380	-03320	-03260	-03200	-03140	-03080	-03020	-02960	-02900
45.0	-01790	-01730	-01670	-01610	-01550	-01490	-01430	-01370	-01310
50.0	-00200	-00140	-00080	-00020	0.0000	0.0000	0.0000	0.0000	0.0000
55.0	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000
60.0	16330	16290	16250	16210	16170	16130	16090	16050	16010
65.0	10740	10680	10620	10560	10500	10440	10380	10320	10260
70.0	09150	09090	09030	08970	08910	08850	08790	08730	08670
75.0	07560	07500	07440	07380	07320	07260	07200	07140	07080
80.0	05970	05910	05850	05790	05730	05670	05610	05550	05490
85.0	04380	04320	04260	04200	04140	04080	04020	03960	03900
90.0	02790	02730	02670	02610	02550	02490	02430	02370	02310
95.0	01200	01140	01080	01020	00960	00900	00840	00780	00720
100.0	00000	00000	00000	00000	00000	00000	00000	00000	00000



F-16 Configuration Data

Weight	20,494 lb
WingSpan	32 ft 8 in
Wing area	300 ft ²
Airfoil	NACA 64A204
MTOW	42,300 lb
XCG	35.0% MGC
MAC	11.32 ft
I_{xx}	9496 Slug ft ²
I_{yy}	55814 Slug ft ²
I_{zz}	63100 Slug ft ²
I_{zx}	982 Slug ft ²
Elevator Deflection	-25 deg to 25 deg
Aileron Deflection	-21.5 deg to 21.5 deg
Rudder Deflection	-30 deg to 30 deg

[Ref 1] Brian L. Stevens, *Aircraft Control and Simulation 3rd Edition*, WILEY, November 2015

[Ref 2] Nguyen L. T, *Simulator Study of Stall/Post-Stall Characteristics of a Fighter Airplane With Relaxed Longitudinal Static Stability*, NASA Technical Paper 1538.

[Ref 3] Misawa Airbase U.S. Air Force, (2021). PACAF F-16 Demonstration Team Maneuvers Package 2021, U.S Air Force, 23 October 2014, AIR FORCE AIRCRAFT DEMONSTRATIONS (A-10, F-15, F-16, F-22)

Applications of DDSA using High-Fidelity F-16 Model

NOCP Formulation of Double Immelmann Turn

$$\min \quad J = \frac{1}{2} \int_0^{t_f} [\mathbf{x}_{diff}^T(t) \mathbf{Q}(t) \mathbf{x}_{diff}(t) + \mathbf{u}_{diff}^T(t) \mathbf{R}(t) \mathbf{u}_{diff}(t)] dt$$

s.t.

$$\text{Dyanmic Constraints:} \quad \dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u})$$

$$\text{Inequality Constraints:} \quad \mathbf{u} \leq \mathbf{u}_{\max}, \quad \mathbf{u} \geq \mathbf{u}_{\min}$$

$$\text{Equality Constraints:} \quad \mathbf{x}(0) = \mathbf{x}_{trim}, \quad \mathbf{u}(0) = \mathbf{u}_{trim}$$

where

t_f is fixed

$$\mathbf{x}_{diff}(t) = \mathbf{x}(t) - \mathbf{x}_{ref}(t), \quad \mathbf{u}_{diff} = \mathbf{u}(t) - \mathbf{u}_{ref}$$

$$\mathbf{x} = (u, v, w, p, q, r, \phi, \theta, \psi, V_T, \alpha, \beta)^T$$

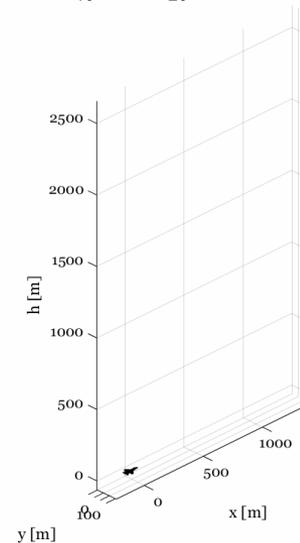
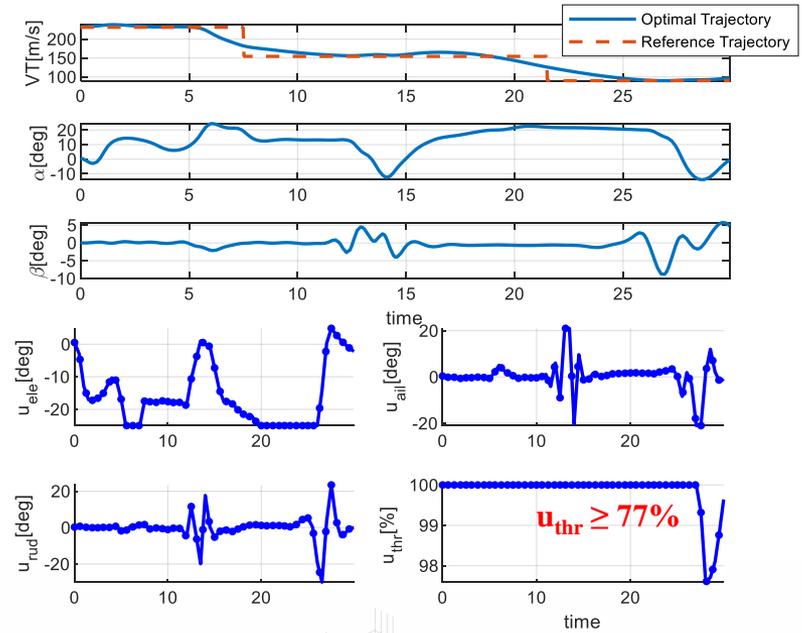
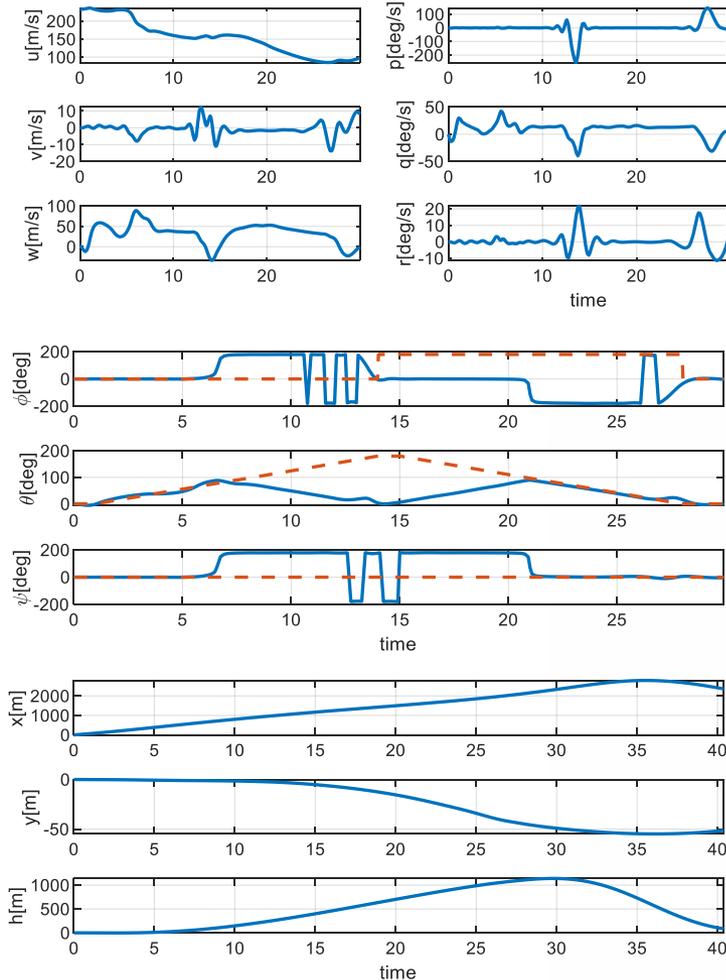
$$\mathbf{u} = (u_{ele}, u_{ail}, u_{rud}, u_{thr})^T$$

$$\mathbf{Q} = \text{diag}(0, 0, 0, w_p, w_q, w_r, w_\phi, w_\theta, w_\psi, w_{V_T}, 0, 0)$$

$$\mathbf{R} = \text{diag}(w_{ele}, w_{ail}, w_{rud}, w_{thr})$$

Applications of DDSA using High-Fidelity F-16 Model

DDSA Results for Double Immelmann Turn



1 Flight Dynamic Model (HETLAS)

2 Recent Progress in HETLAS Applications

Importance and Methodologies of MTE Analysis

Kinematically Exact Inverse Simulation Techniques

Direct Dynamic Simulation Approach to NOCP

3 Summary of Part 1

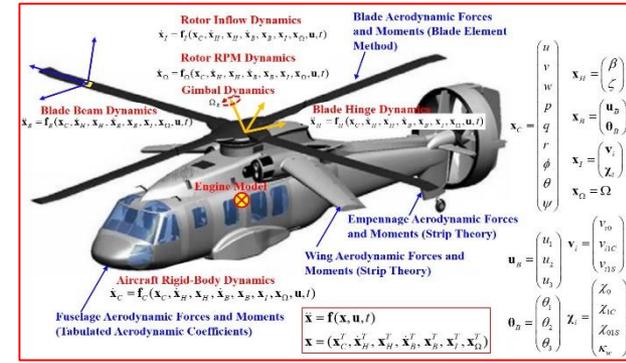
Recent Research Progresses in Rotorcraft Flight Dynamics and Autonomous Flight Control at KCU

Part 1: Rotorcraft Flight Dynamics

Summary

HETAS Math Model

- The rotor and wing models are generalized for HETLAS applications to Advanced rotorcraft configuration like the tilt-rotor aircraft and coaxial-prop rotorcraft.
- As primary functions of HETLAS, the trim, linearization and simulation routines are addressed.
- The trim mover function has been introduced for robust point and mission performance analyses.
- The coupled mission-performance-equation has been effectively solved using the pseudo-spectral integrator for the mission segment approach.
- The validation results for the fidelity of HETLAS has been presented.



Definition of Mission Segments using Way-point Data

$$\left\{ (t_j, h_j, V_{G,j}, V_{ROC,j}) \right\}_{j=0}^{j=N_{seg}} \quad \text{Data for height, ground speed, and rate of climb}$$

Trajectory Generation using spline interpolation of h, V_G, V_{ROC}

Time integration along the generated trajectory to get converged solutions of coupled mission-performance equations using PS-integrator

$$\frac{dm}{dt} = -SFC \times P \quad \rightarrow \quad m(t) = m(t_0) - \int_{t_0}^t (SFC \times P) dt$$

$$\frac{dh}{dt} = V_{ROC} \quad \rightarrow \quad h(t) = h(t_0) + \int_{t_0}^t V_{ROC} dt$$

$$\frac{dR}{dt} = |V_G| \quad \rightarrow \quad R(t) = R(t_0) + \int_{t_0}^t |V_G| dt$$

Validation of HETLAS: Example Rotorcrafts

Reference Helicopter

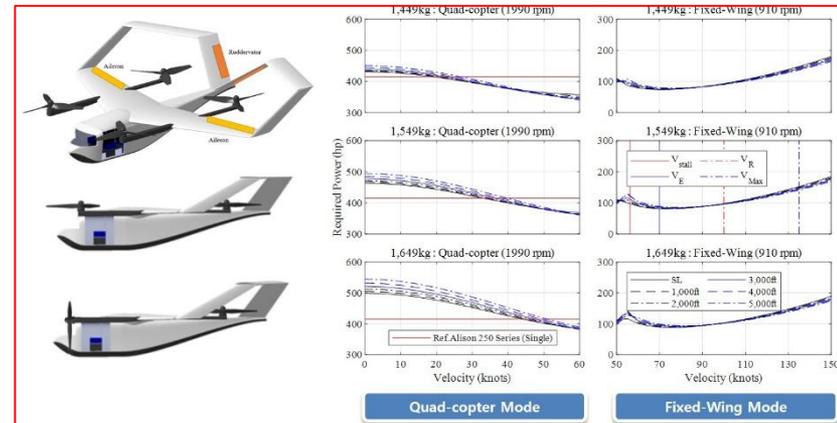


V&V: Comparison with Flight Test
Criteria:
1) FAA AC-120-63
2) GENHEL (Sikorsky 註)
3) Boeing

Bo-105



V&V: Using Ref. (Flight test/Analysis)
Criteria:
1) FAA AC-120-63
Ref.:
1) AGARD GARTEUR Report
2) Published Papers

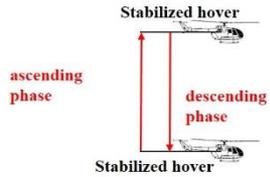


Kinematically Exact Inverse Simulation Techniques (KEIST)

- The importance of maneuver analyses during the rotorcraft development has been emphasized.
- Two different approaches were introduced
 - (1) Inverse simulation approach
 - (2) Nonlinear optimal control approach
- Index 1 DAE (Differential-Algebraic Equation) systems have been derived by using
 - (1) Motion equations represented using the inertial states
 - (2) Exact trajectory information obtained using the 7-th order spline interpolation
- KEIST has effectively solved the DAE system by using
 - (1) Quasi-Newton method for algebraic equations
 - (2) the PS integrator coupled with the Piccard method
- A series of applications showed efficiency and robustness of KEIST

Kinematically Exact Inverse Simulation Techniques (KEIST)

Application to Pop-up MTE

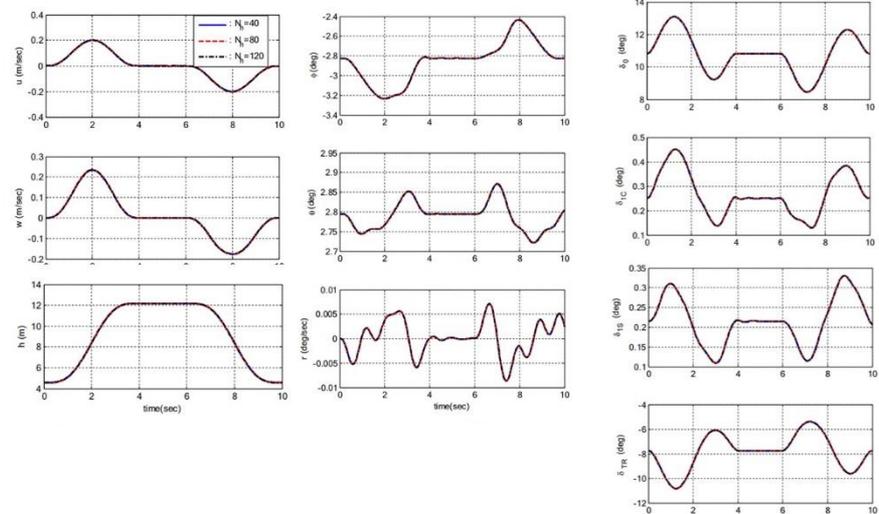


$v_1 = v_2 = 0$ knots
 $h_1 = h_2 = 35$ ft
 $t_1 = 4$ sec, $t_2 = 6$ sec

 $v_0 = v_f = 0$ knots
 $h_0 = h_f = 15$ ft
 $t_0 = 0$ sec, $t_f = 10$ sec

Case	N	N _h	Δt _{avg} (s)	CPU time (Intel i7)/t _{avg}	
				KEIST formulation	Conventional formulation
1	4	20	0.1250	Failed at first segment	
2	4	40	0.0625	203/8.6	223/10.7
3	4	60	0.0417	141/7.7	170/9.8
4	4	80	0.0313	147/7.4	183/8.1
5	4	100	0.0250	155/6.5	201/8.1
6	4	120	0.0208	186/7.2	211/7.7

N = number of quadrature points
N_h = number of time horizon segments

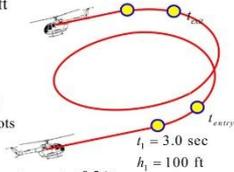


Application to Helical Turn MTE

$t_f = 66$ sec
 $h_f = 400$ ft

$t_2 = 63$ sec
 $h_2 = 400$ ft

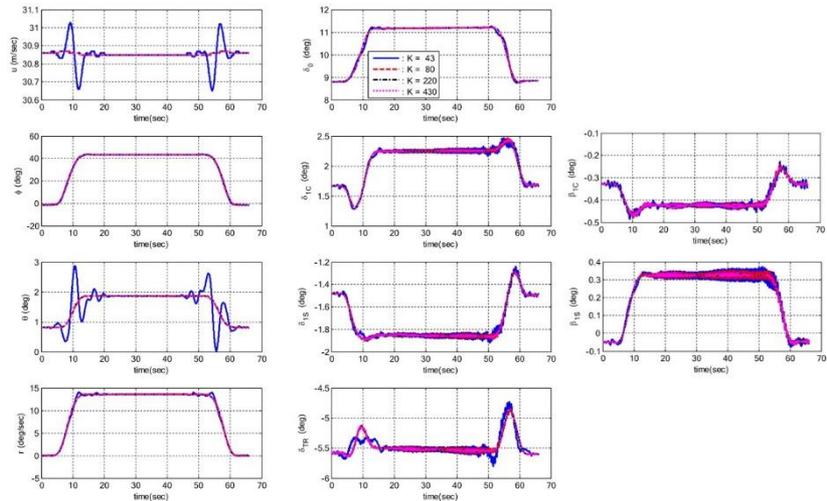
$t_0 = 0$ sec
 $h_0 = 100$ ft
 $v_0 = 60$ knots



$t_{entry} = t_1 + 0.2Δt_{12}$
 $t_{exit} = t_1 + 0.8Δt_{12}$

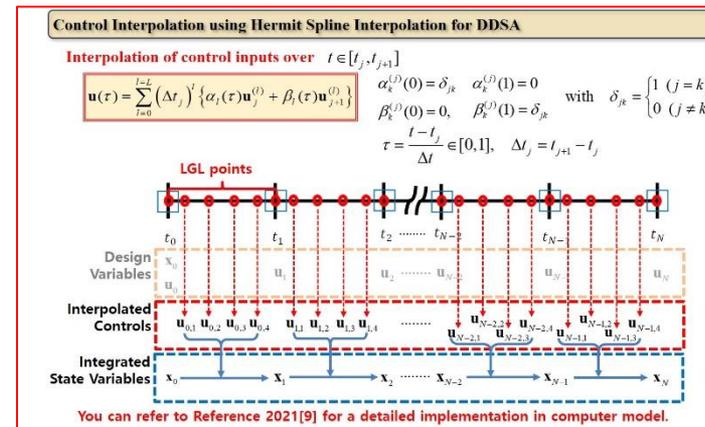
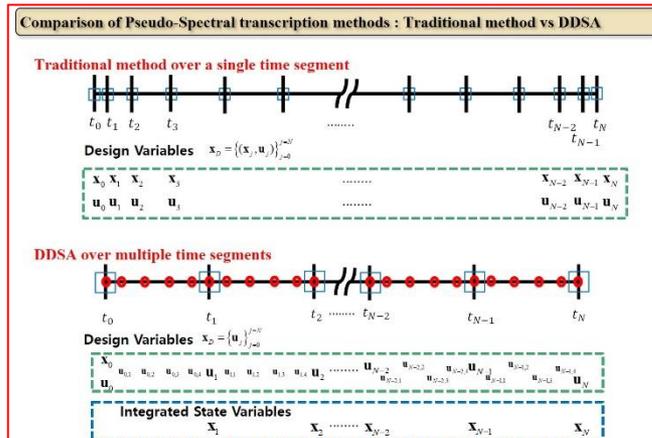
K = number of waypoint data

Case	K ₀	K _{entry}	K _{steady}	K _{exit}	K _f	K = K _{total}
1	5	4	25	4	5	43
2	5	10	50	10	5	80
3	5	30	150	30	5	220
4	5	60	300	60	5	430



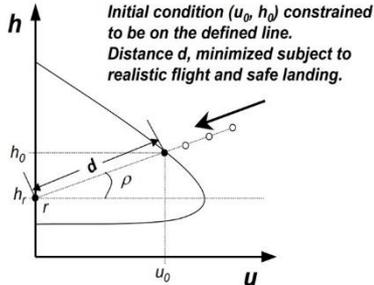
Direct Dynamic Simulation Approach (DDSA) to Rotorcraft Aggressive Maneuver Analysis

- The efficient DDSA has been developed using the following two basic concepts.
 - (1) The system states are uniquely determined by the control inputs.
 - (2) Computational efficiency can be enhanced using controls interpolated with Hermit spline.
- The effectiveness of DDAS has been proved through a series of applications.
 - ✓ Soft lunar landing problem of a spacecraft
 - ✓ Autorotational Landing Problem using a point-mass model
 - ✓ Rejected Take-Off (RTO) Procedure after One Engine Failure
 - ✓ Estimation of Height-Velocity (H-V) Diagram (Dead-Man Curve)
 - ✓ Double Immelmann Turn analysis using the high-fidelity F-16 model



Direct Dynamic Simulation Approach (DDSA) to Rotorcraft Aggressive Maneuver Analysis

Height-Velocity (H-V) Diagram (Dead-Man Curve)



NOCP formulation for H-V Diagram

$$\begin{aligned} \min \quad & d = u_0 \cos \rho_r + (h_0 - h_r) \sin \rho_r && \text{Cost Function} \\ \text{subject to} \quad & \dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}, \mathbf{t}) && \text{Motion Equations} \\ & \mathbf{x}_0 = \mathbf{x}_{ref} && \text{Initial Constraints} \\ & u_0 \sin \rho_r - (h_0 - h_r) \cos \rho_r = 0 && \\ & w_f \leq w_{max} && \text{Final Constraints} \\ & u_f \leq u_{max} && \\ & \alpha_{fmin} \leq \beta_f \leq \alpha_{fmax} && \\ & \Omega_{min} \leq \Omega(t) \leq \Omega_{max} && \\ & C_{Tmin} \leq C_T(t) \leq C_{Tmax} && \\ & \alpha_{min} \leq \alpha(t) \leq \alpha_{max} && \\ & -\dot{C}_{Tmax} \leq \dot{C}_T(t) \leq \dot{C}_{Tmax} && \\ & -\dot{\alpha}_{max} \leq \dot{\alpha}(t) \leq \dot{\alpha}_{max} && \end{aligned}$$

Operational Limits

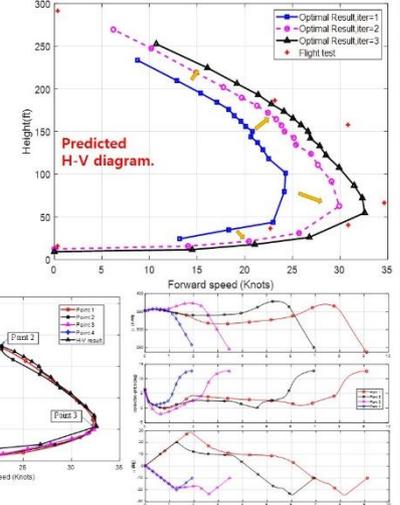
[Ref :Harris, M. J., Kunz, D. L., & Hess, J. A. (2018). Analytical Determination of a Helicopter Height-Velocity Curve. 2018 Modeling and Simulation Technologies Conference.]

Prediction H-V Diagram for OH-58A Model

NOCP formulation (Minimize avoid zone)

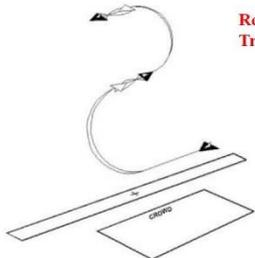
$$\begin{aligned} \min J = 10.0d + \int_0^t (\Omega - \Omega_{ref})^2 dt \\ d = \cos \rho (u_0 - u_{ref}) + \sin \rho (h_0 - h_{ref}) \\ u_{ref} = 0 \text{ knots} \\ h_{ref} = 150 \text{ ft} \\ -80^\circ \leq \rho \leq 80^\circ \\ \text{Constraints} \quad u_f \leq 35 \text{ ft/s (ref)} \\ h_f = 0 \quad -30^\circ \leq \alpha \leq 30^\circ \\ 0 \text{ ft/s} \leq u_f \leq 20 \text{ ft/s} \quad -16^\circ/\text{s} \leq \dot{\alpha} \leq 16^\circ/\text{s} \\ 0 \text{ ft/s} \leq w_f \leq 5 \text{ ft/s} \quad 0.2 \leq \frac{C_T}{\sigma} \leq 0.15 \\ -10^\circ \leq \alpha_f \leq 3.65^\circ \quad -0.43/\text{s} \leq \frac{\dot{C}_T}{\sigma} \leq 0.43/\text{s} \end{aligned}$$

$$\sin \rho (u_0 - u_{ref}) = \cos \rho (h_0 - h_{ref})$$

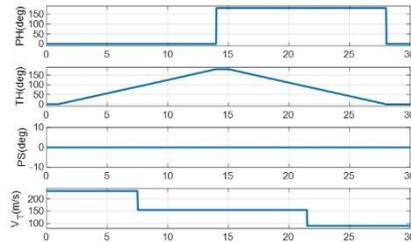


Double Immelmann Turn (Ref: US Air Force Aircraft Demonstrations)

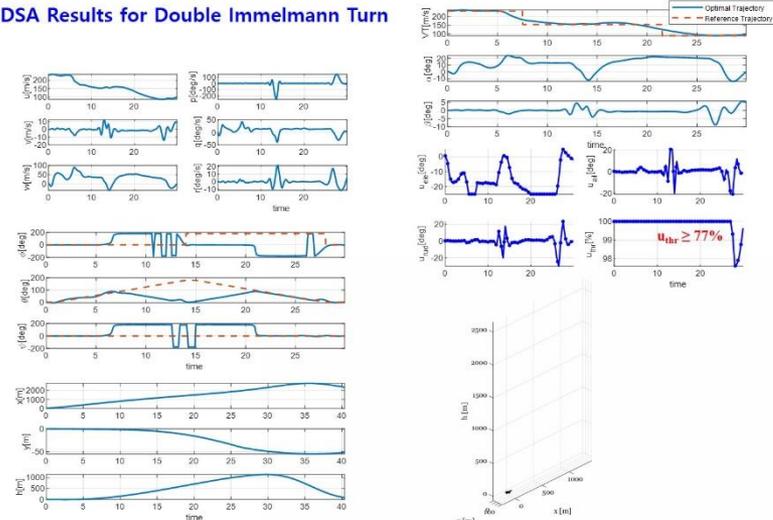
- Entry phase: 450knots Level flight
- 180 deg Heading change through Longitudinal loop maneuver
- 180 deg bank change
- Repeat above procedure
- Use 100 % throttle after entry and use throttle greater than 77% after Apex.



Reference Trajectory

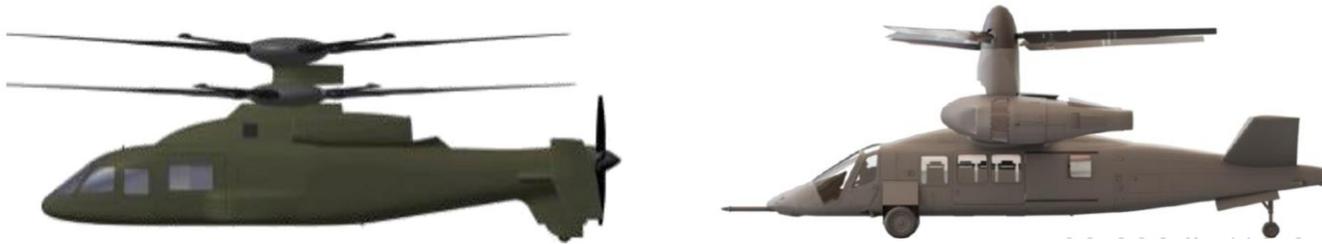


DDSA Results for Double Immelmann Turn



End of Part 1
Thank You !!

Recent Research Progresses in Rotorcraft Flight Dynamics and Autonomous Flight Control at KKKU



2024. 02

Prof. Chang-Joo Kim (Konkuk University, Seoul, Korea)

Recent Research Progresses in Rotorcraft Flight Dynamics and Autonomous Flight Control at KJU

Part 2: Rotorcraft Autonomous Flight Control System

2024. 02

Prof. Chang-Joo Kim (Konkuk University, Seoul, Korea)

1

Initial Motivation for Autonomous FCS Research

2

First-Stage Activities in Autonomous FCS Research

3

Recent Progress in Autonomous FCS Research

Development of IBS Trajectory-Tracking Control

Integration of Path-Planning, Flyable Trajectory Generation, and Trajectory Tracking Control

4

Summary of Part 2

Questions to be Answered

What is the Autonomous FCS ?

What is the required Autonomous FCS Structure?

What is the Functional Requirements for the Autonomous FCS ?

What we have for the Design and Development of the Autonomous FCS ?

What is the Best KKU Approach to the Autonomous FCS ?

We spent around one year finding answers to these questions !!

Good References

[Ref 1] Farid Kendoul, "Survey of advances in guidance, navigation, and control of unmanned rotorcraft systems," *Journal of Field Robotics*, 2012, No. 29, Vol. 2, pp 315-378.

[Ref 2] Takahashi, Marc D., et al. "Autonomous Rotorcraft Flight Control with Multilevel Pilot Interaction in Hover and Forward Flight." *Journal of the American Helicopter Society* 62.3 (2017): 1-13.

Kendoul's Classifications of 11 Autonomy Levels (ALs)

LEVEL	LEVEL DESCRIPTOR	GUIDANCE	NAVIGATION	CONTROL	ESI	EC	MC
10	Fully Autonomous	Human-level decision-making, accomplishment of most missions without any intervention from ES (100% ESI), cognizant of all within the operation range.	Human-like navigation capabilities for most missions, fast SA that outperforms human SA in extremely complex environments and situations.	Same or better control performance as for a piloted aircraft in the same situation and conditions.	approaching 100% ESI	extreme environment	highest complexity, all missions
9	Swarm Cognizance and Group Decision Making	Distributed strategic group planning, selection of strategic goals, mission execution with no supervisory assistance, negotiating with team members and ES.	Long track awareness of very complex environments and situations, inference and anticipation of other agents intents and strategies, high-level team SA.	Ability to choose the appropriate control architecture based on the understanding of the current situation/context and future consequences.	approaching 100% ESI	extreme environment	highest complexity, all missions
8	Situational Awareness and Cognizance	Reasoning and higher level strategic mission planning, most of supervision by RUAS, choose strategic goals, cognizance.	Conscious knowledge of complex environments and situations, inference of self/others intent, anticipation of near-future events and consequences (high fidelity SA).	Ability to change or switch between different control strategies based on the understanding of the current situation/context and future consequences.	high level ESI	difficult environment	high complexity, high complexity missions
7	RT Collaborative Mission Planning	Collaborative mission planning and execution, evaluation and optimization of multi-vehicle mission performance, allocation of tactical tasks to each agent.	Combination of capabilities in levels 5 and 6 in highly complex, adversarial and uncertain environment, collaborative mid fidelity SA.	same as in previous levels (no-additional control capabilities are required)	high level ESI	difficult environment	high complexity, high complexity missions
6	Dynamic Mission Planning	Reasoning, high-level decision making, mission driven decisions, high adaptation to mission changes, tactical task allocation, execution monitoring.	Higher-level of perception to recognize and classify detected objects/events and to infer some of their attributes, mid fidelity SA.	same as in previous levels (no-additional control capabilities are required)	mid level ESI	moderate environment	mid complexity, multi-functional missions
5	RT Cooperative Navigation and Path Planning	Collision avoidance, cooperative path planning and execution to meet common goals, swarm or group optimization.	Relative navigation between RUAS, cooperative perception, data sharing, collision detection, shared low fidelity SA.	Distributed or centralised flight control architectures, coordinated maneuvers.	mid level ESI	moderate environment	mid complexity, multi-functional missions
4	RT Obstacle/Event Detection and Path Planning	Hazard avoidance, RT path planning and re-planning, event driven decisions, robust response to mission changes.	Perception capabilities for obstacle, risks, target and environment changes detection, RT mapping (optional), low fidelity SA.	Accurate and robust 3D trajectory tracking capability is desired.	mid level ESI	moderate environment	mid complexity, multi-functional missions
3	Fault/Event Adaptive RUAS	Health diagnosis, limited adaptation, onboard conservative and low-level decisions, execution of pre-programmed tasks.	Most health and status sensing by the RUAS, detection of hardware and software faults.	Robust flight controller, reconfigurable or adaptive control to compensate for most failures, mission and environment changes.	mid level ESI	moderate environment	mid complexity, multi-functional missions
2	ESI Navigation (e.g., Non-GPS)	Same as in Level 1	All sensing and state estimation by the RUAS (no ES such as GPS), all perception and situation awareness by the human operator.	Same as in Level 1	low level ESI	simple environment	low level tasks
1	Automatic Flight Control	Pre-programmed or uploaded flight plans (waypoints, reference trajectories, etc.), all analyzing, planning and decision-making by ES.	Most sensing and state estimation by the RUAS, all perception and situational awareness by the human operator.	Control commands are computed by the flight control system (automatic control of the RUAS 3D pose).	low level ESI	simple environment	low level tasks
0	Remote Control	All guidance functions are performed by external systems (mainly human pilot or operator)	Sensing may be performed by the RUAS, all data is processed and analyzed by an external system (mainly human).	Control commands are given by a remote ES (mainly human pilot).	0% ESI	lowest EC	lowest MC

Autonomy

The condition or quality of being self governing

Autonomy Level (AL)

A set of progressive indices, typically numbers and/or names, identifying a UAS capability of performing autonomously assigned mission.

AL characteristics

ALs 1-4: Single Vehicle

ALs 5-7: Multi Vehicles

ALs 8-10: High-level/Fully Autonomous

Required Functions

Guidance Function

Real-time Path Planning : Rapidly Exploring Random Trees(RRT) / PRM (Probability Road Map) (AL 4)

Navigation Function

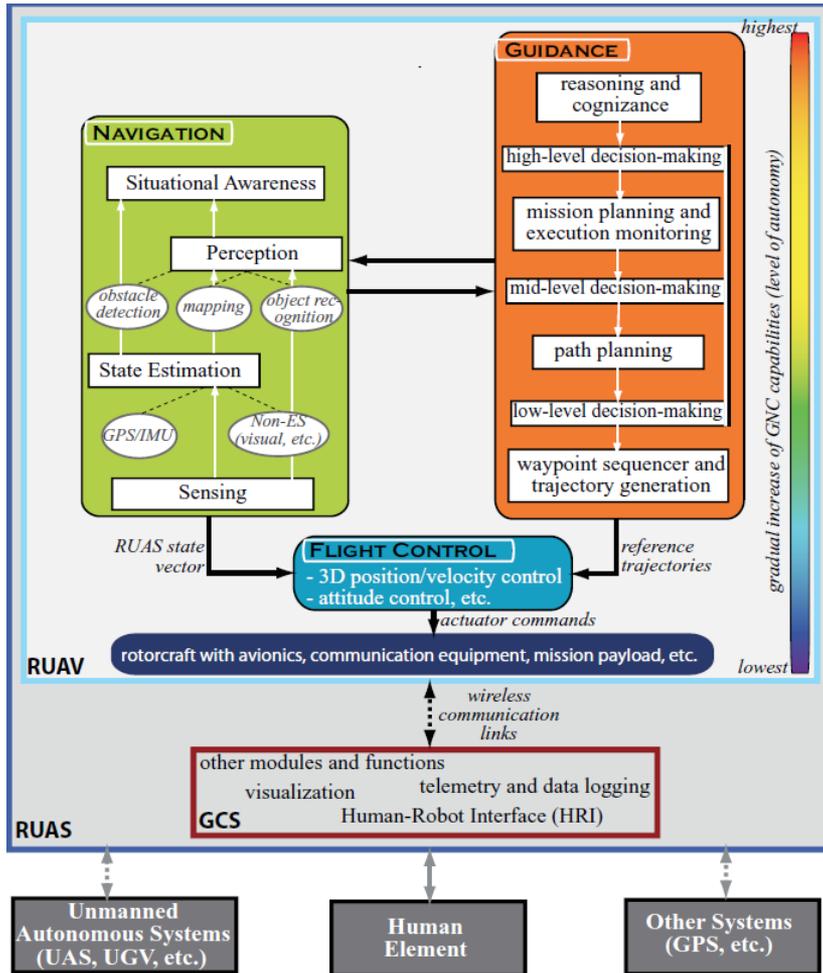
IMU/GPS integrated with Digital map-based / Use environmental information from outside sources(AL 3~4)

Control Function

Real-time Trajectory-Tracking Control (AL 3~4)

[Ref 1] Farid Kendoul, "Survey of advances in guidance, navigation, and control of unmanned rotorcraft systems,"

Kendoul's Proposition for UAS Autonomous FCS Structure



- **Rotorcraft Unmanned Aerial Vehicle(RUAV)**

A powered rotorcraft that does not require an onboard crew, can operate with some degree of autonomy, and can be expendable or reusable.

- **Rotorcraft Unmanned Aerial or Aircraft System(RUAS)**

A RUAS is a physical system that includes a RUAV, communication architecture, and a ground control station with no human element aboard any component.

- **Navigation System(NS): Perception & State Estimation**

The process of monitoring and controlling the movement of a craft or vehicle from one place to another.

- **Guidance System(GS)**

The "driver" of a RUAS that exercises **Mission/Path planning and decision-making functions** to achieve assigned missions or goals.

- **Autonomous Flight Control System(AFCS)**

The process of manipulating the inputs to a dynamic system to obtain a desired effect on its outputs without a human in the control loop.

Autonomous FCS Structure of RASCAL JUH-60A Black Hawk (US Army)

- Multi-Level Autonomy

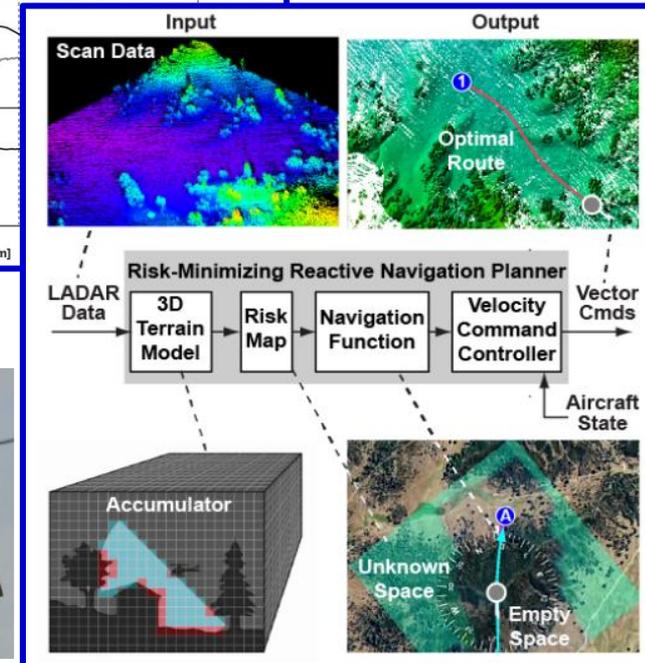
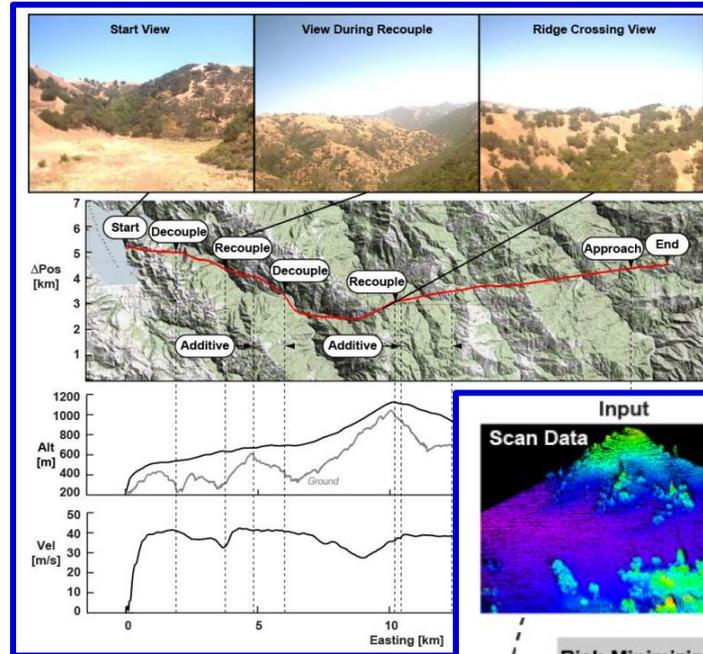
- ✓ Fully Coupled Autonomous Mode
- ✓ Additive Control Mode
- ✓ Decoupled ACAH Mode
- ✓ Pilot Interaction with Mode
- ✓ Control System Design with Mode Transitions

- Mission S/W

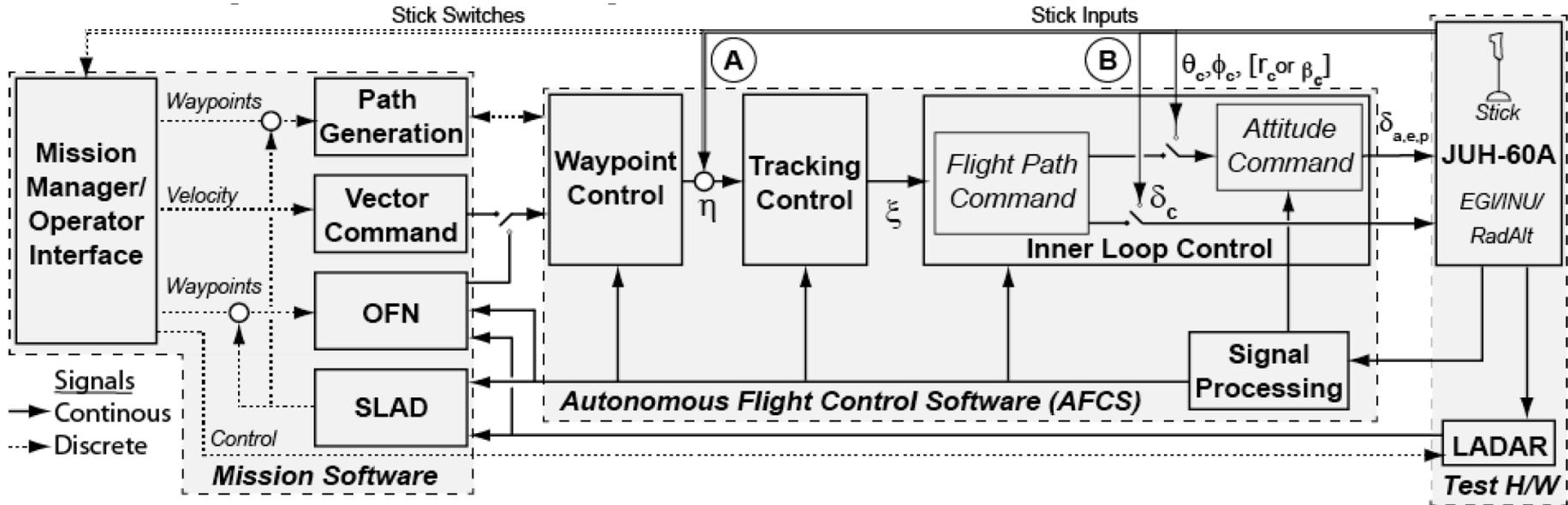
- ✓ Mission Manager/Operator Interface
- ✓ Obstacle Field Navigation (OFN)
- ✓ Safe Landing Area Determination (SLAD)
- ✓ Path Generation
- ✓ Vector Command

- Autonomous Flight Control S/W (AFCS)

- ✓ Waypoint Control
- ✓ Tracking Control
- ✓ Inner-Loop Control

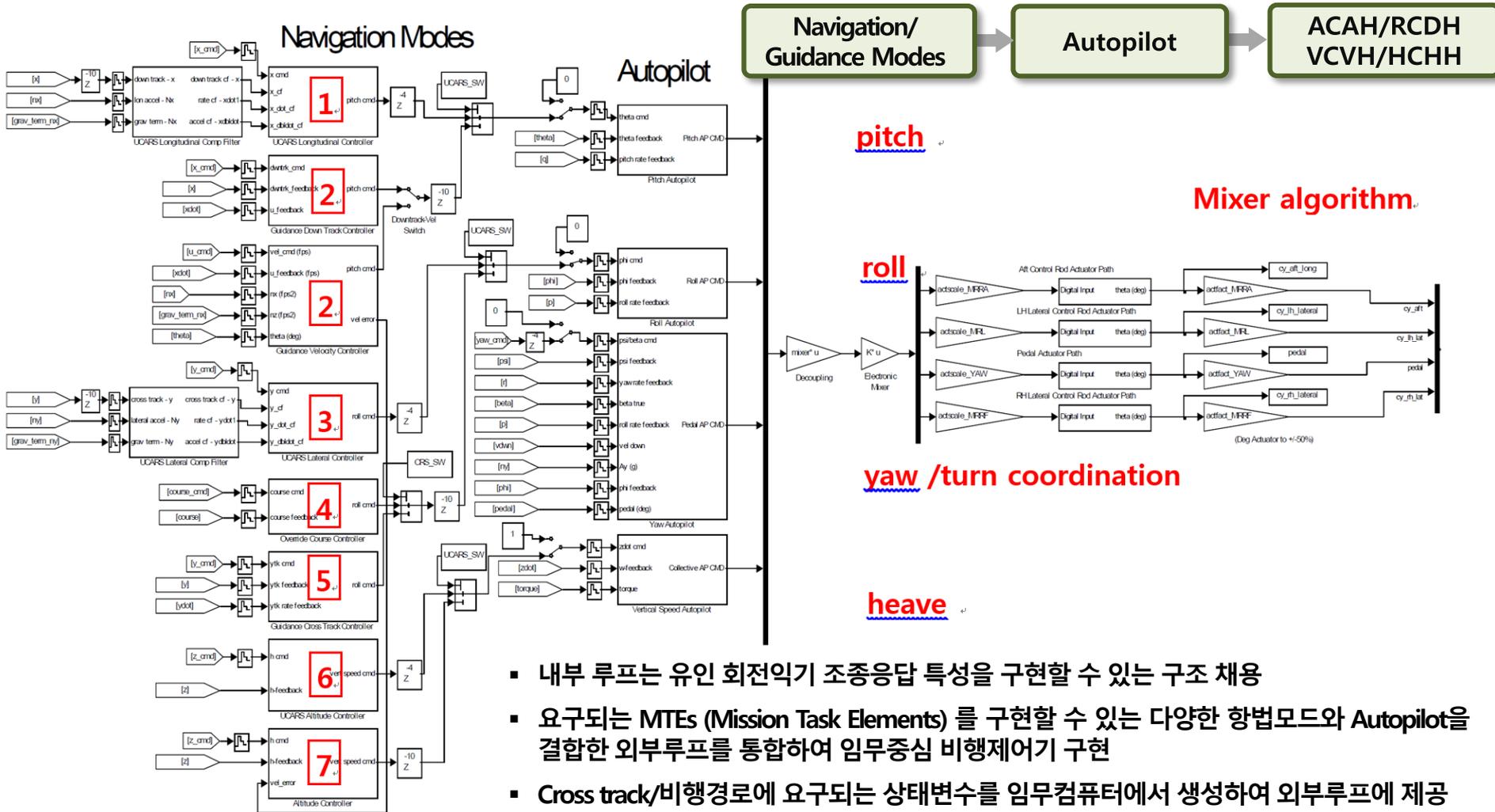


Autonomous FCS Structure of RASCAL JUH-60A Black Hawk (US Army)



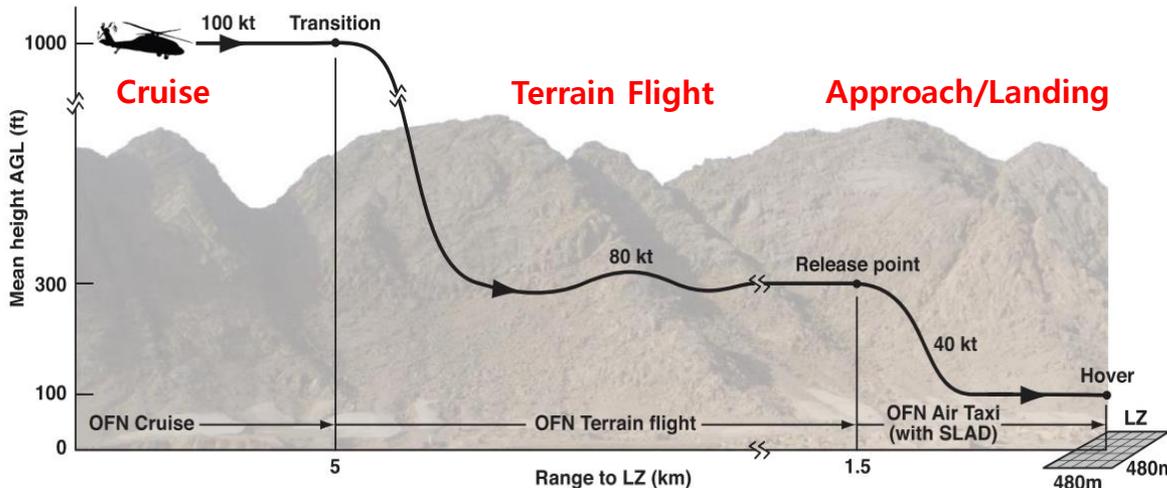
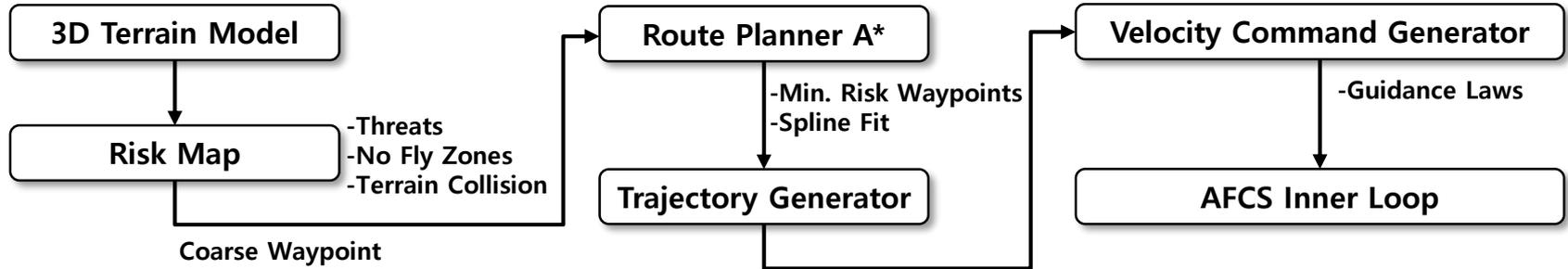
- OFN: Obstacle Field Navigation, 조종사가 지정한 목적지까지 지형/장애물 회피가 가능한 비행경로를 LADAR 를 이용생성 후 AFCS에 제공
- SLAD: Safe Landing Area Determination, 3차원 지형 정보로부터 착륙지 요구조건을 충족하는 착륙지점 결정
- Waypoint Control: 속도, heading 및 glide slope 제어. 경로점 정보 (위치, 속도, 가속도, 시간)로 부터 속도명령 생성
- Tracking control outer loop: 비행경로 추종을 위한 autopilot (AFCS)
- Tracking control inner loop: 비행경로 추종을 위한 조종응답 (command response types) 특성=ACAH, RCDH, heave RCHH)

Autonomous FCS Structure of RASCAL JUH-60A Black Hawk (US Army)



Autonomous FCS Structure of RASCAL JUH-60A Black Hawk (US Army)

OFN (Obstacle Field Navigation)



Aircraft Parameters

maximum allowed speed	(18 m/s)
maximum climb rate	(2.5 m/s)
maximum descent rate	(2.0 m/s)
maximum normal acceleration	(2.0 m/s ²)
maximum forward acceleration	(0.75 m/s ²)
maximum backward acceleration	(0.75 m/s ²)
maximum turn rate	(0.262 r/sec)
width of spline corridor 4	(10 m)
horizontal obstacle clearance limit	(40 m)
vertical obstacle clearance limit	(30 m)

Path Plan Parameters

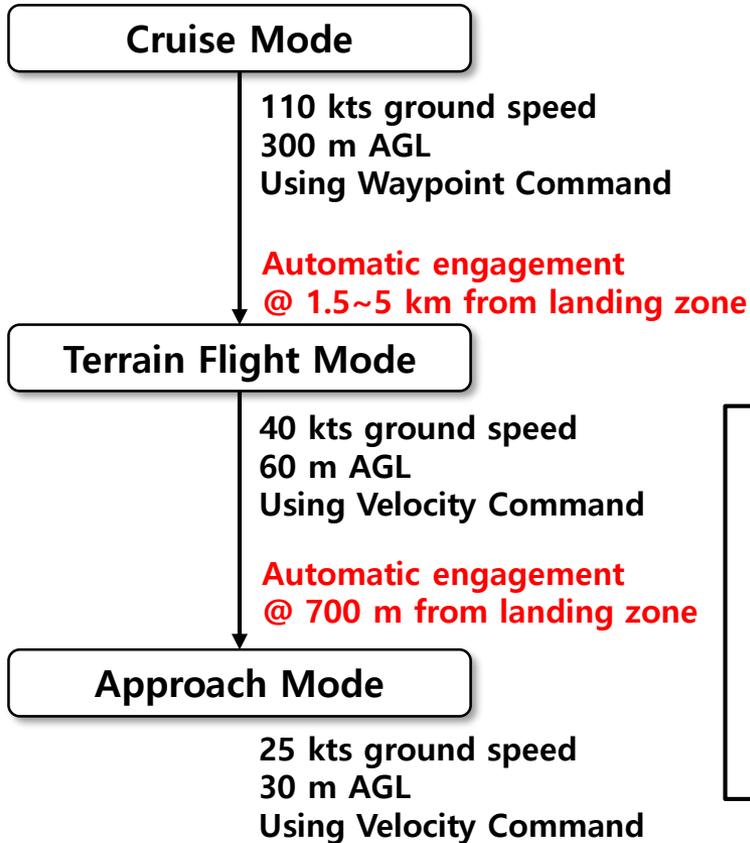
maximum time between replans	(30 sec)
time between obstacle checks	(0.5 sec)
time to update trajectories(constant)	5.0 sec
time to update trajectories(linear)	0.5 sec

Ref 2: Autonomous Rotorcraft Flight Control with Multilevel Pilot Interaction in Hover and Forward Flight

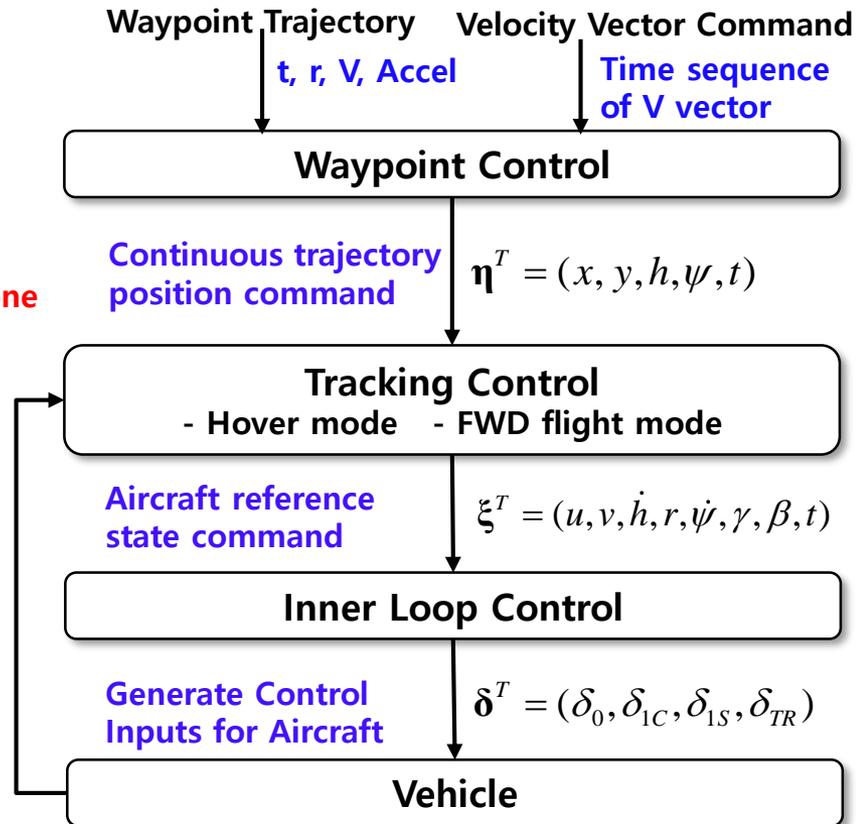
Ref 3: Autonomous Black Hawk in Flight: Obstacle Field Navigation and Landing-site Selection on the RASCAL JUH-60A

Autonomous FCS Structure of RASCAL JUH-60A Black Hawk (US Army)

Autonomous Flight Modes



Autonomous FCS Structure

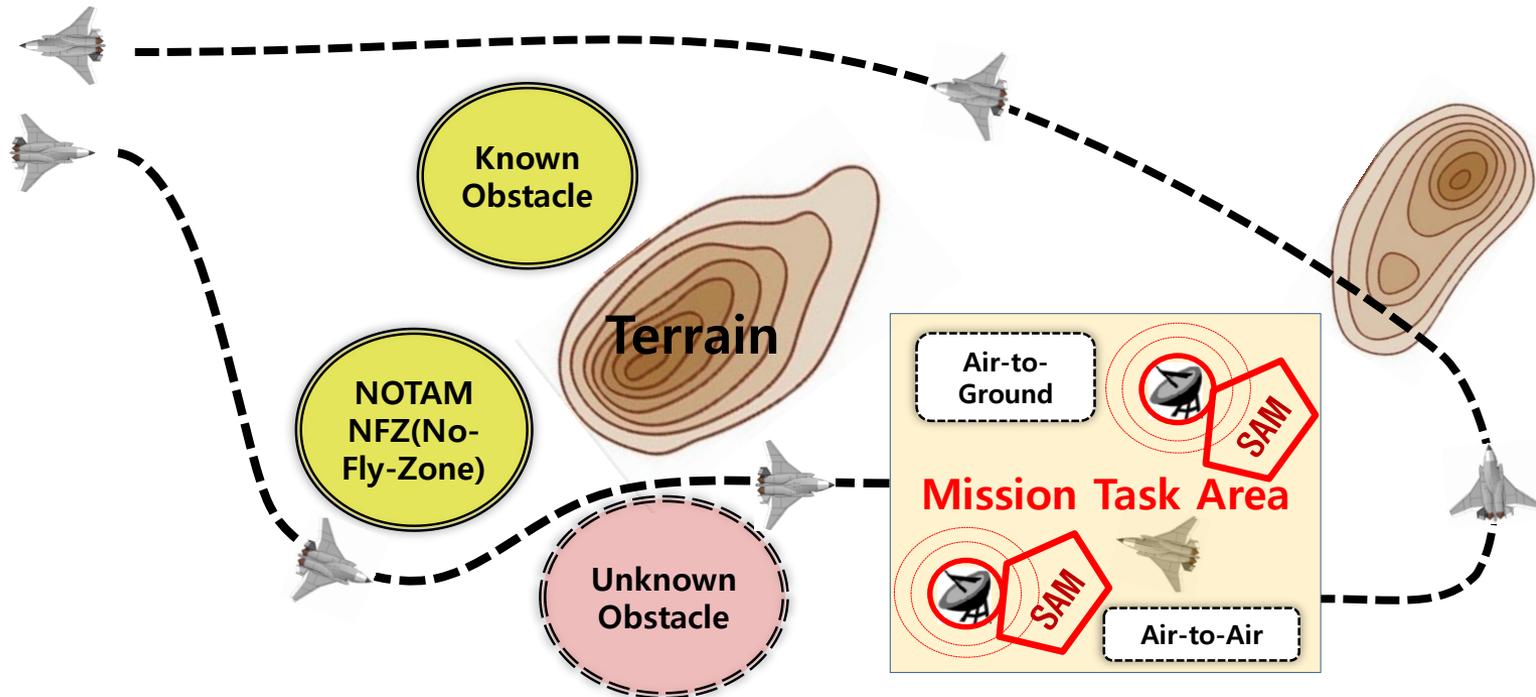


Ref 2: Autonomous Rotorcraft Flight Control with Multilevel Pilot Interaction in Hover and Forward Flight

Ref 3: Autonomous Black Hawk in Flight: Obstacle Field Navigation and Landing-site Selection on the RASCAL JUH-60A

Ref 4: Development and Flight Testing of a Flight Control Law for Autonomous Operations Research on the RASCAL JUH-60A

Mission Scenario Analysis for Functional Requirements : UCAV Mission



Digital Terrain / Path Planning (Waypoint Guidance Mode)

Trajectory Generator

- Shortest/Safe Path (Waypoint-based)
- Terrains / Threats /NFZ

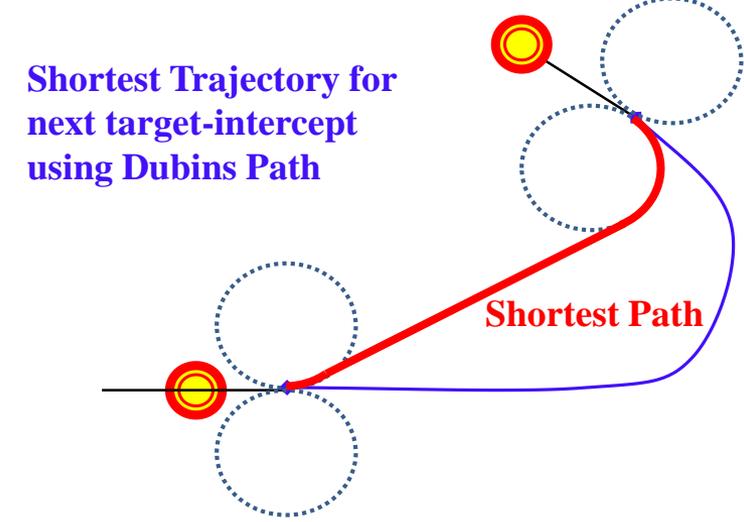
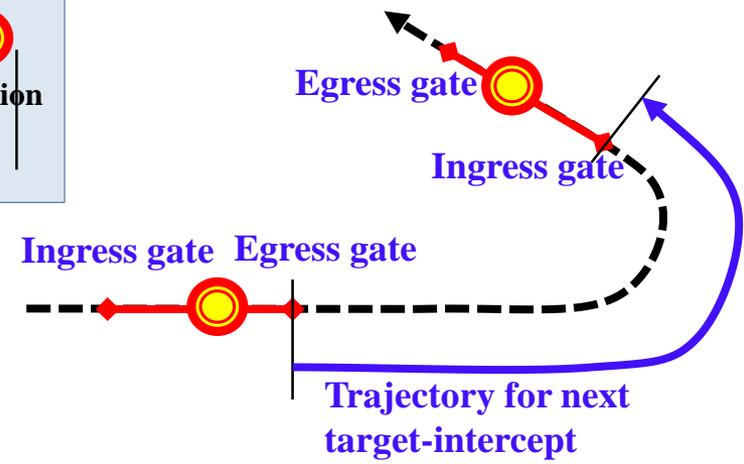
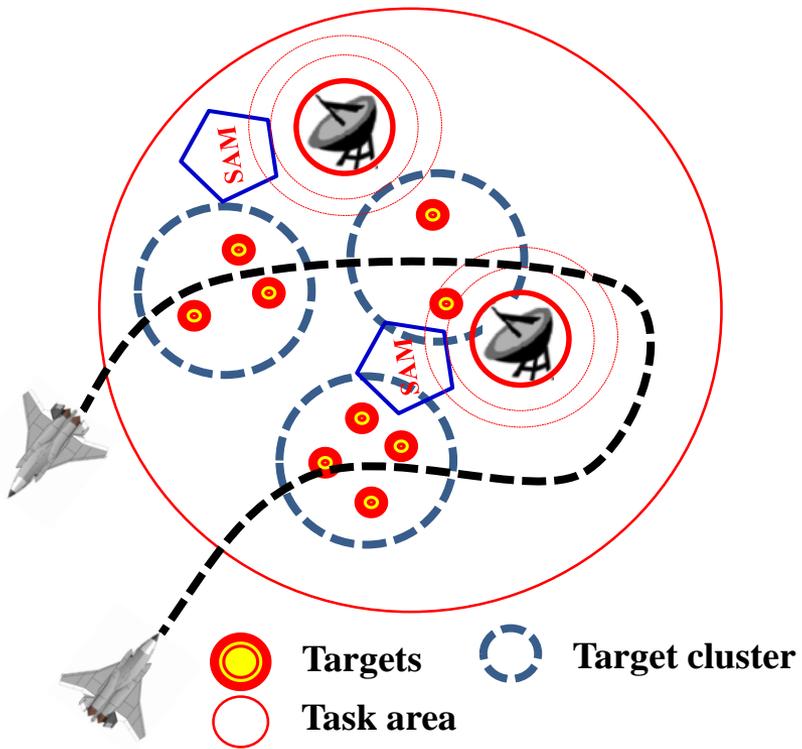
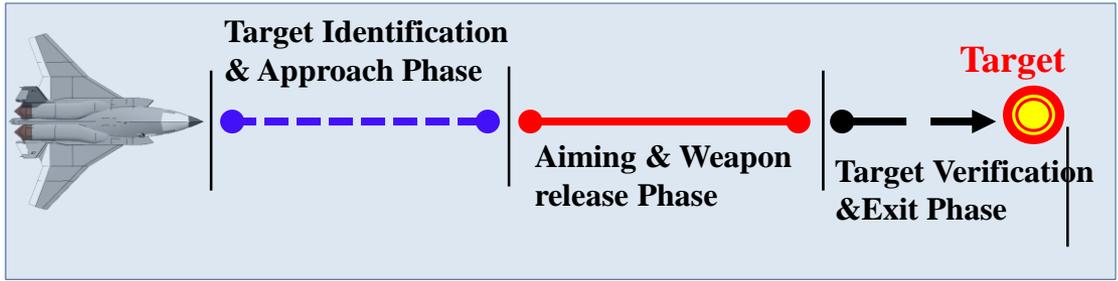
Waypoint Guidance (Path Tracking Laws)

Maneuver-Trajectory Generator

- Air-to-Ground mission
- Air-to-Air mission

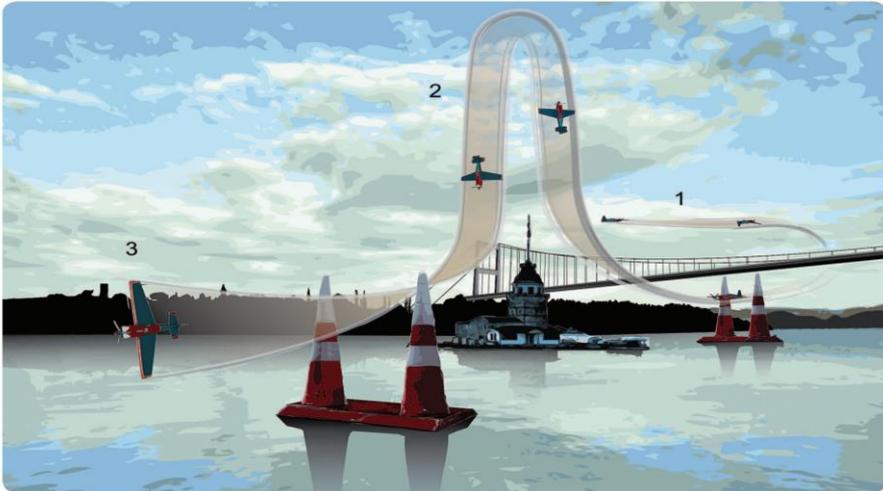
Maneuver-Trajectory Tracking Guidance

Mission Scenario Analysis for Functional Requirements : Air-to-Ground

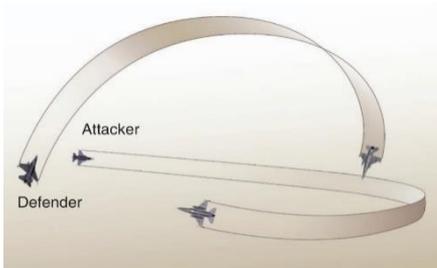


Mission Scenario Analysis for Functional Requirements : Air-to-Air

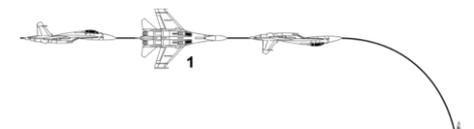
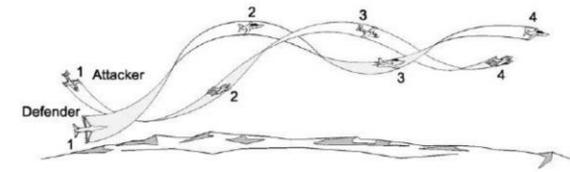
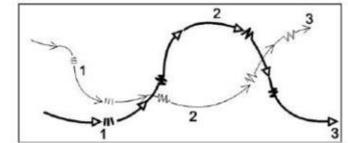
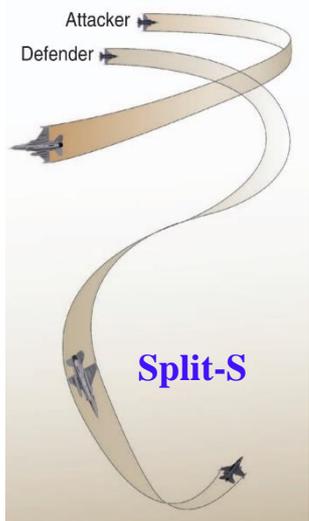
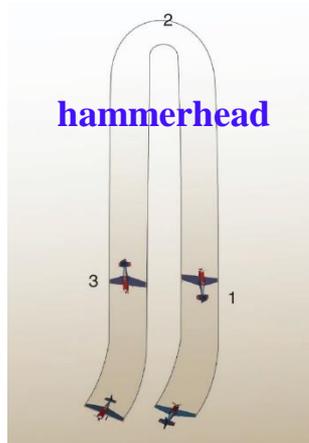
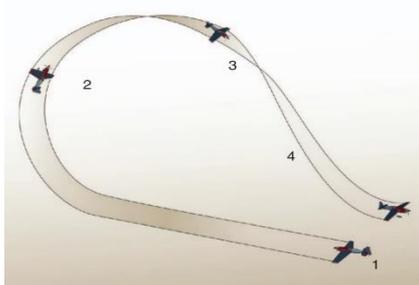
Defensive (Evasive) Maneuvers



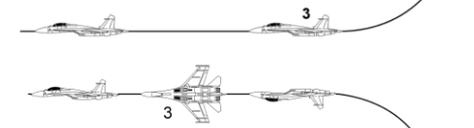
High yo-yo



half Cuban eight



Split S



Immelmann

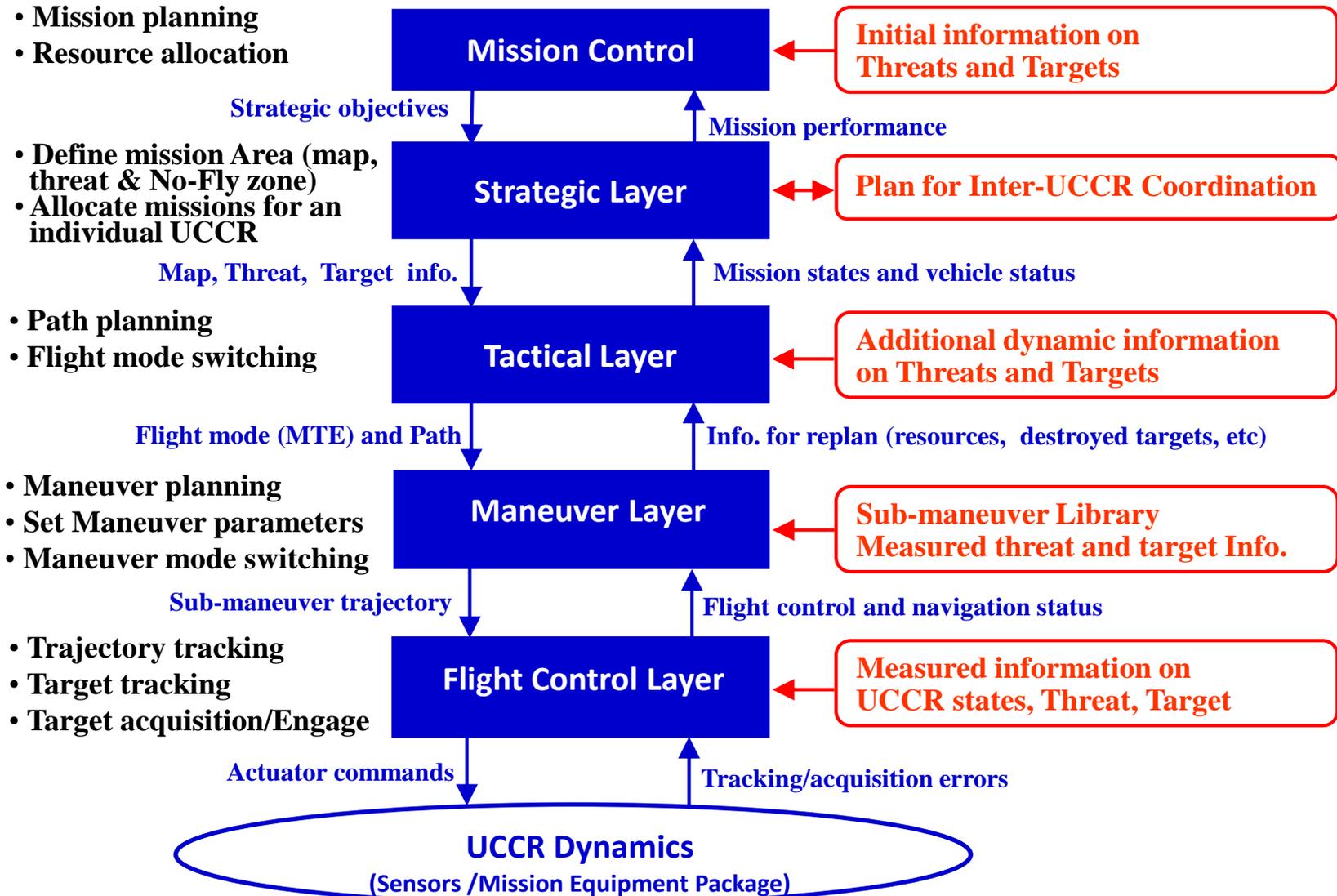


Mission Scenario Analysis for Functional Requirements : Air-to-Ground

Mission Phases	Threats / Obstacles	Terrain Masking	Trajectory	Path Constraints	Aircraft Modes
(1) Take off / Acceleration			Base	TO procedure	RW→FW
(2) Climb			Waypoint	V, RoC	FW
(3) Approach to target zone	Radar / SAM / Terrain / NFZ		Waypoint	V, RoC	FW
(4) Enter into threat aera	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
(5) target priority selection	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
(6) Ingress to target zone	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
(7) Maneuvers for target intercept (multi-target intercept)	Radar / SAM / Terrain / NFZ		Aggressive MTEs	Corridor for Best intercept V, nz, RoC	FW
(8) Egress from target zone	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
(9) Escape from threat aera	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
(10) Repeat (3)~(9) as required	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
Return to base			Waypoint	V, RoC	FW
Deceleration / Landing approach			Waypoint	V, RoD LD procedure	FW→RW
Landing			Base	LD procedure	RW

RW = Rotary Wing Mode
 FW = Fixed Wing Mode

High-Level Structure and Function Requirements of Autonomous FCS

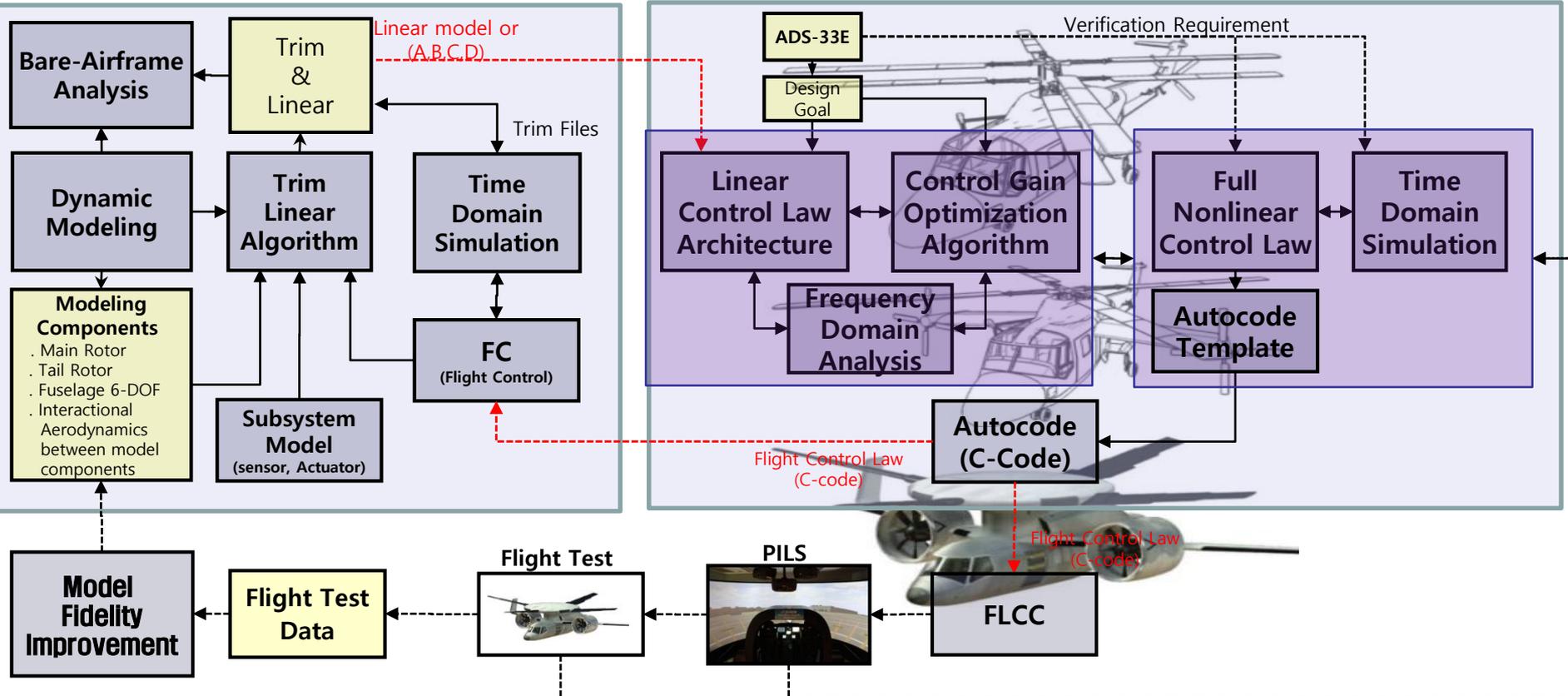


Research Environments for Autonomous AFCS : What KKU Has

Integrated Development Environment for Advanced Flight Control System

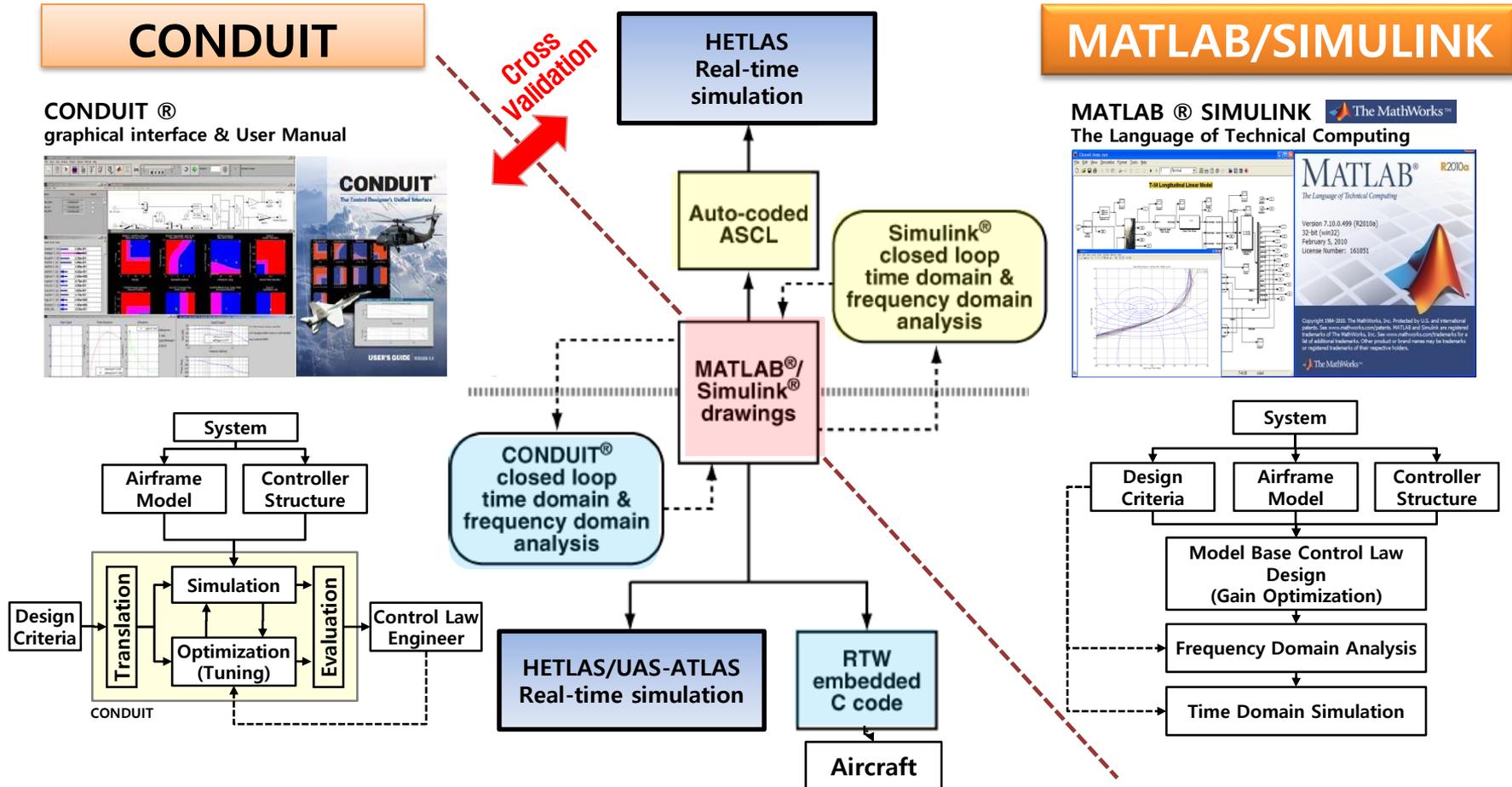
MODELING & SIMULATION – HETLAS

CONTROL LAW DESIGN & ANALYSIS –MATLAB/SIMULINK, CONDUIT



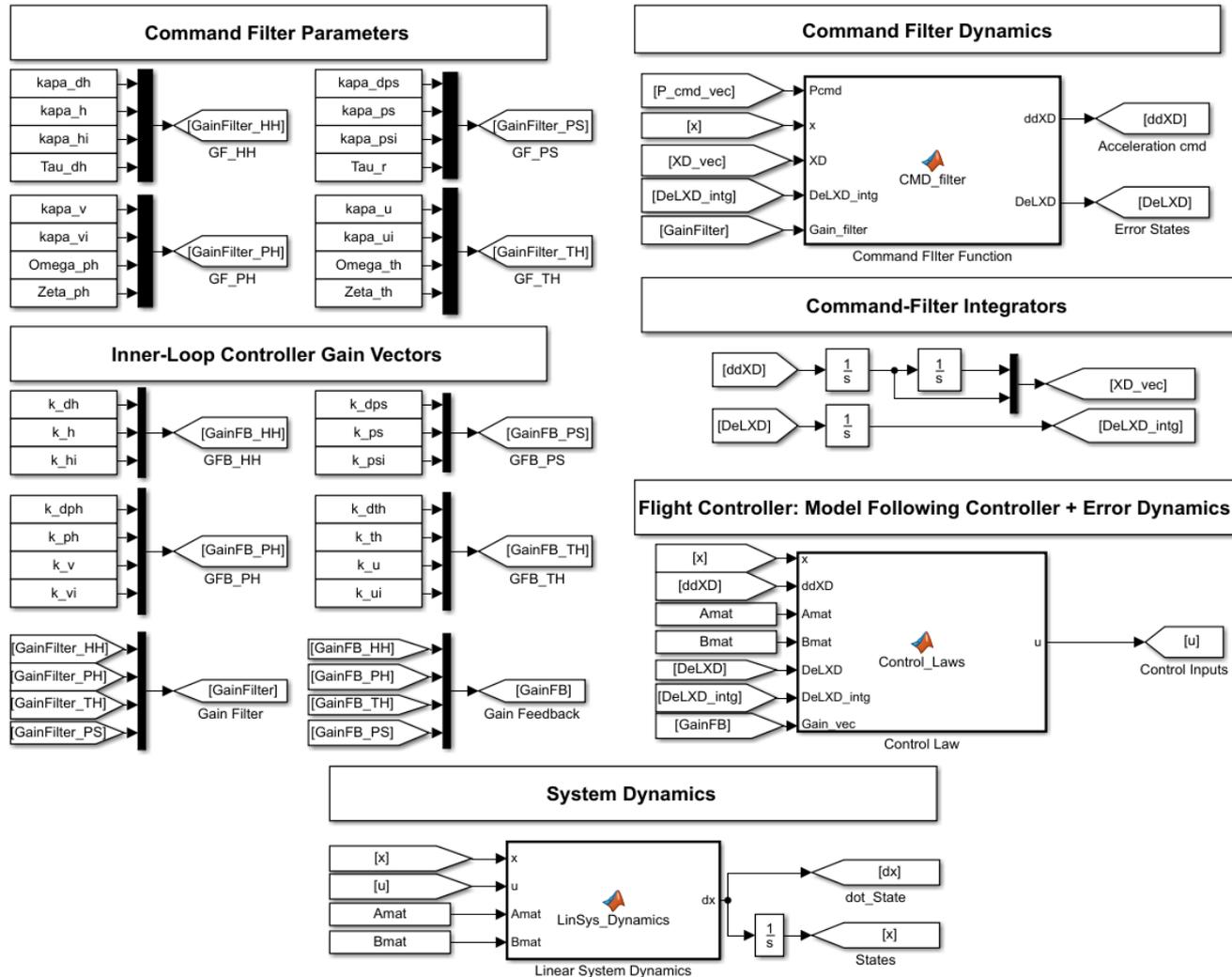
Research Environments for Autonomous AFCS : What KKU Has

Integrated Optimal Design of Model-Following Flight Control Laws

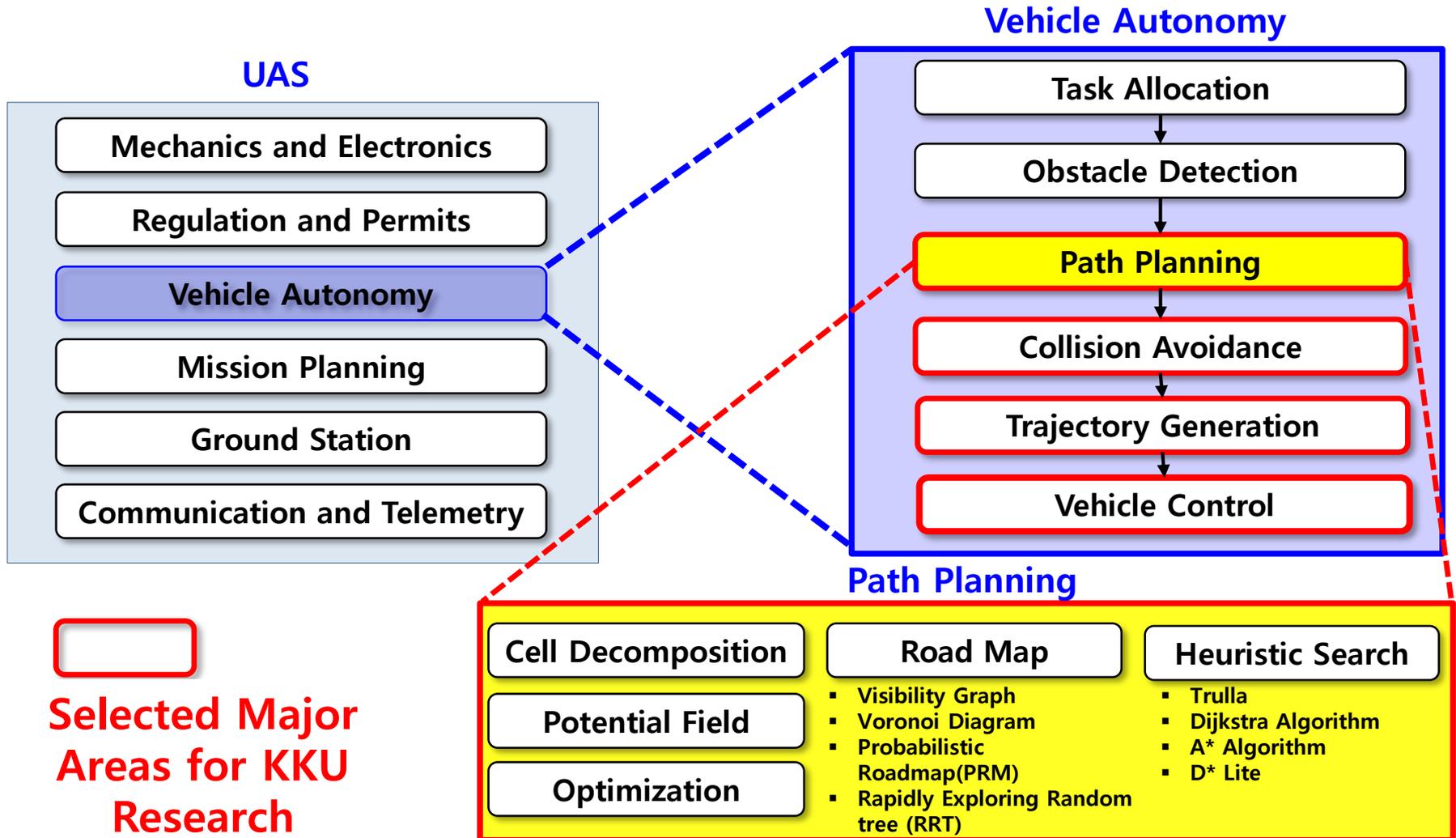


Research Environments for Autonomous AFCS : What KKU Has

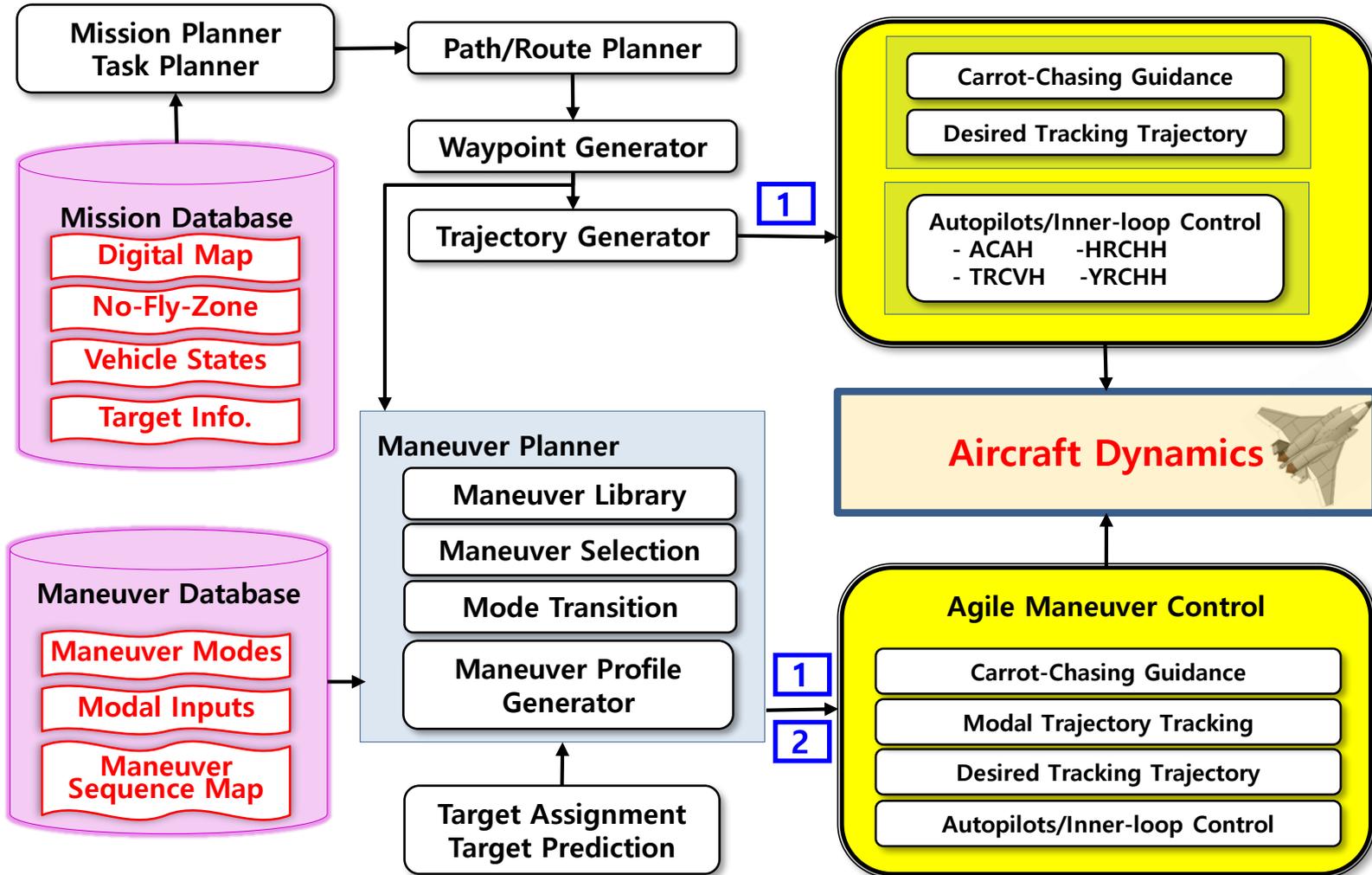
Integrated Simulink Template for Design and Evaluation of Flight Control Law



KKU Selection of Major Research Areas for Autonomous FCS



Initial Flight-Control-System Structure for Autonomous FCS



1 : Waypoint Guidance Mode

2 : Agile Tactical Maneuver Mode

1 Initial Motivation for Autonomous FCS Research

2 First-Stage Activities in Autonomous FCS Research

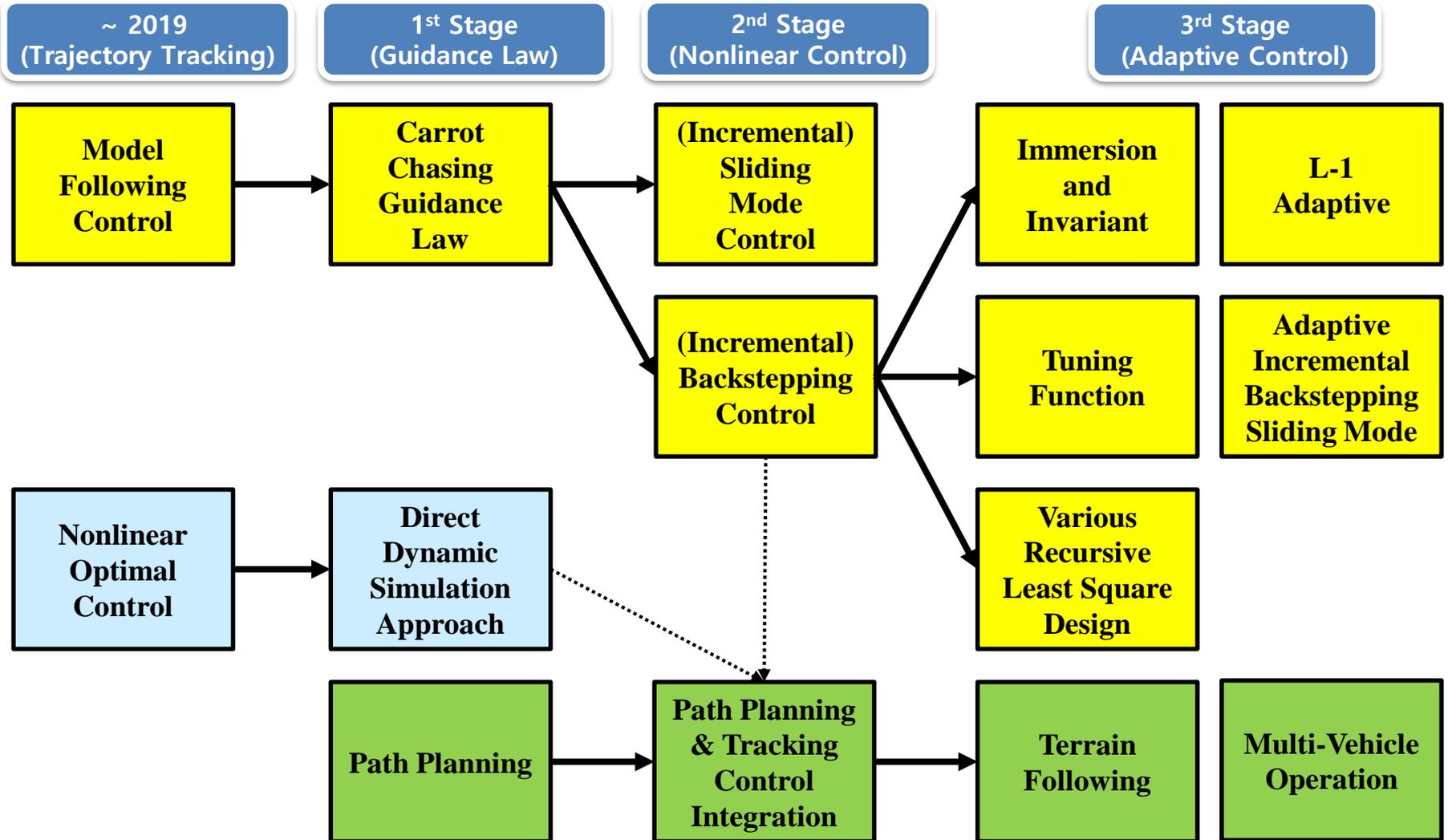
3 Recent Progress in Autonomous FCS Research

Development of IBS Trajectory-Tracking Control

Integration of Path-Planning, Flyable Trajectory Generation, and Trajectory Tracking Control

4 Summary of Part 2

Overall History of KGU Research Activities for Autonomous FCS



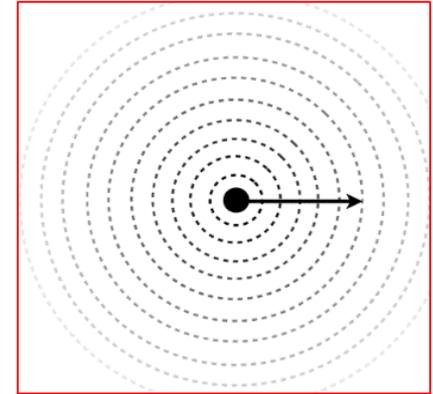
Digital Terrain Model using Radial Basis Functions

Generation of Digital Terrain with Randomly Distributed RBF

Radial Basis Function

- Global RBS
- Compactly Supported RBF

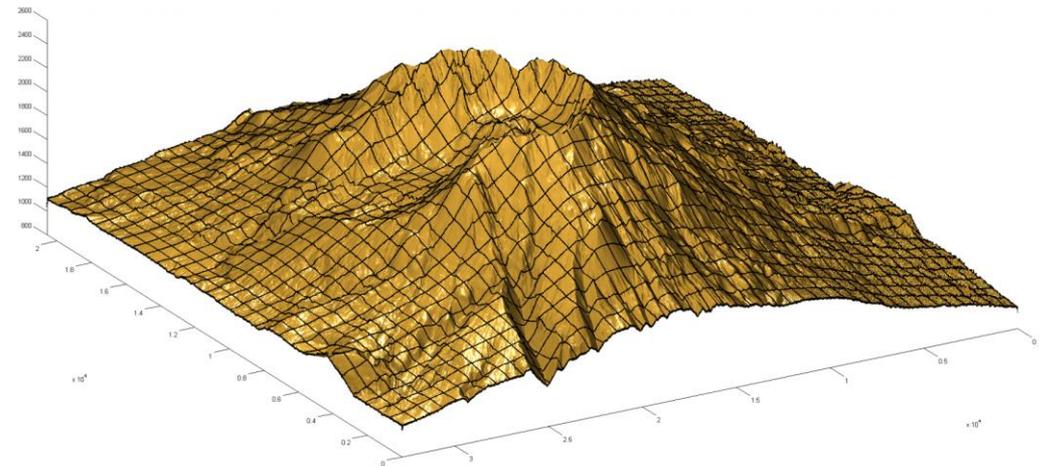
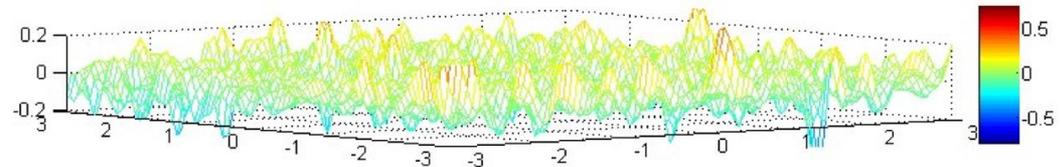
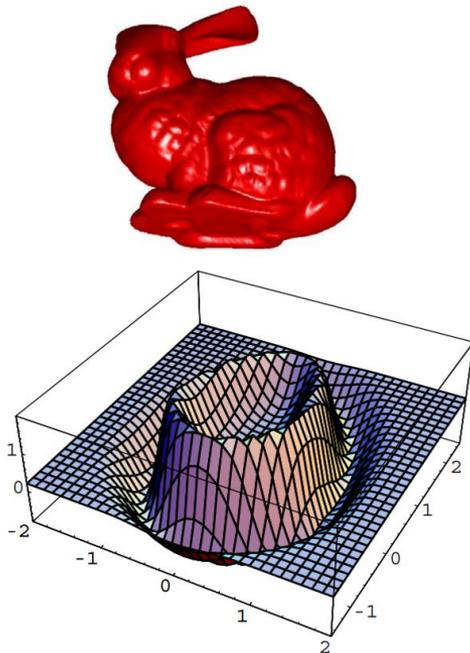
$$\phi = \phi(r) \leftarrow r = \|\mathbf{r} - \mathbf{r}_0\|, \quad \mathbf{r} \in R^n, \mathbf{r}_0 \in R^n$$



Curve Fitting Using RBF

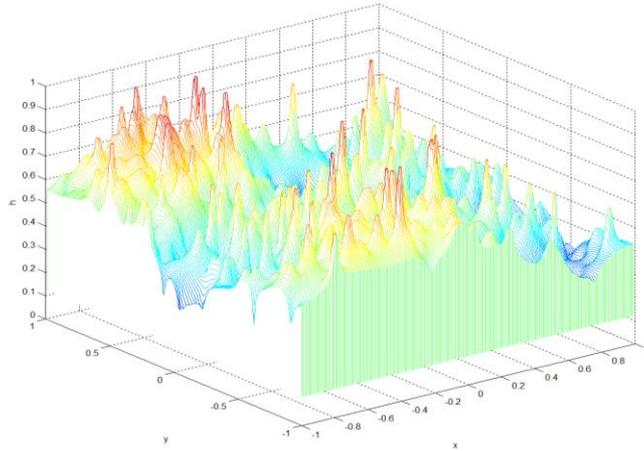
$$f(\mathbf{r}) = \sum_{j=1}^{j=m} w_j \phi(\|\mathbf{r} - \mathbf{r}_j\|)$$

Curve Fitting Examples

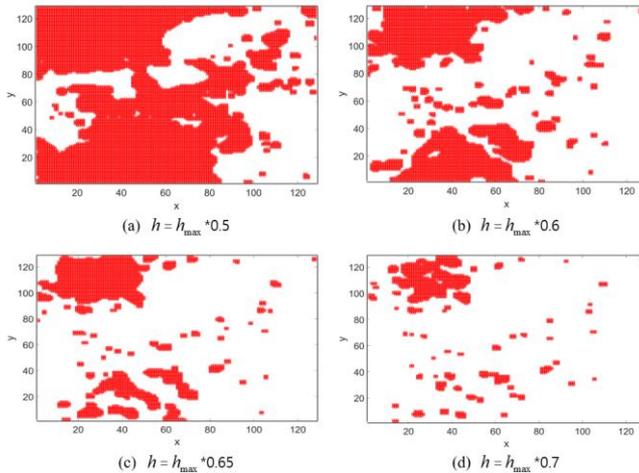


Digital Terrain Model using Radial Basis Functions

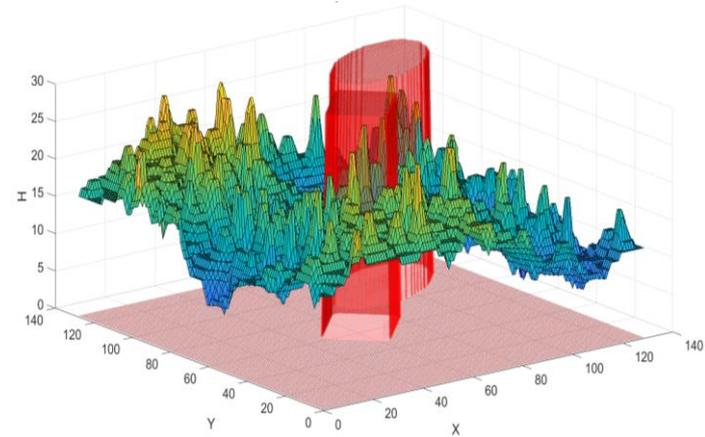
3-D Digital Terrain Model with No-Fly-Zone



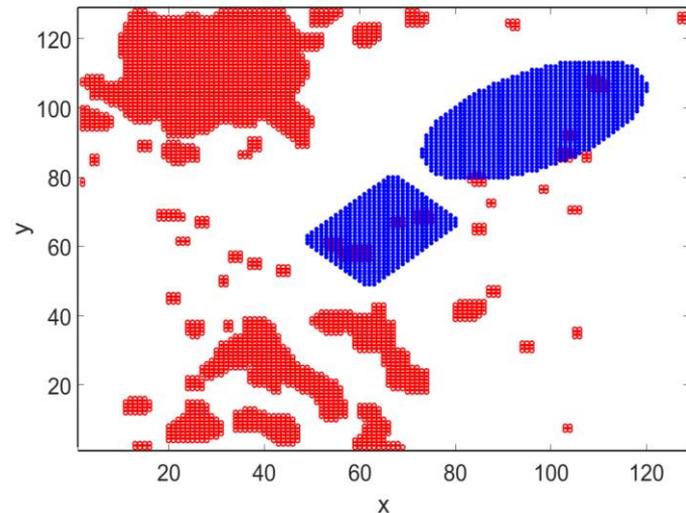
Generated Terrain



2D-Plain map at given height



No-Fly-Zone Insertion on 3D Terrain map



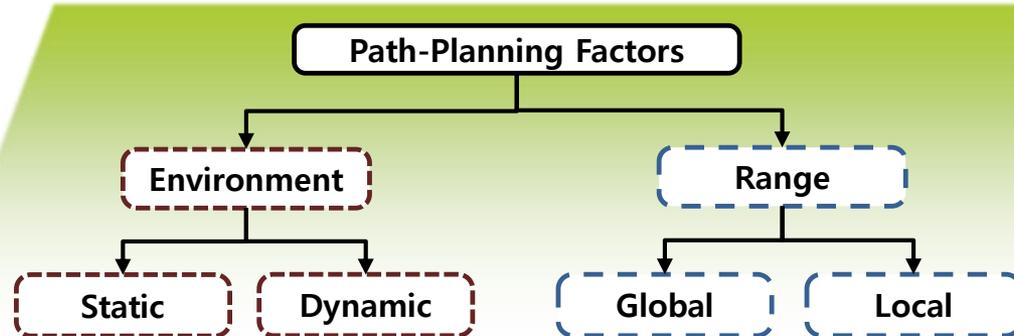
No-Fly-Zone Insertion on 2D-Plain at $h=0.65 \cdot H_{max}$

Development of Path Planning Algorithm

Definition of Path Planning: Find the path between the initial and final points without collision with terrain and obstacles with due consideration for path cost.

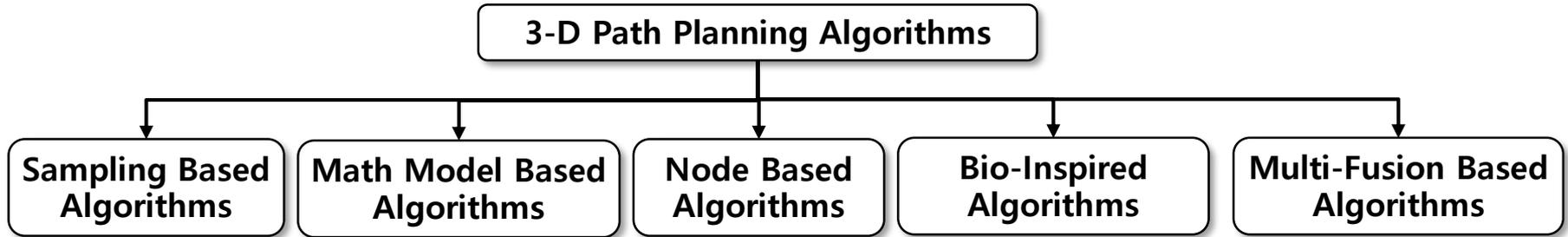
Factors Affecting to Path Planning Algorithm: Environment and Planning Range

- **Static Environment** : Time invariant. Used mainly for pre-flight path planning problems
- **Dynamic Environment** : Used mainly for real-time path planning with time-varying moving obstacles
- **Global Planning** : Path planning with the complete knowledge about entire environments
Used mainly for pre-flight **optimal path planning** problems
- **Local Planning** : Path planning without the complete knowledge about entire environments
Used mainly for obstacle detection and real-time path replanning



Development of Path Planning Algorithm

Available 3-D Path Planning Algorithms



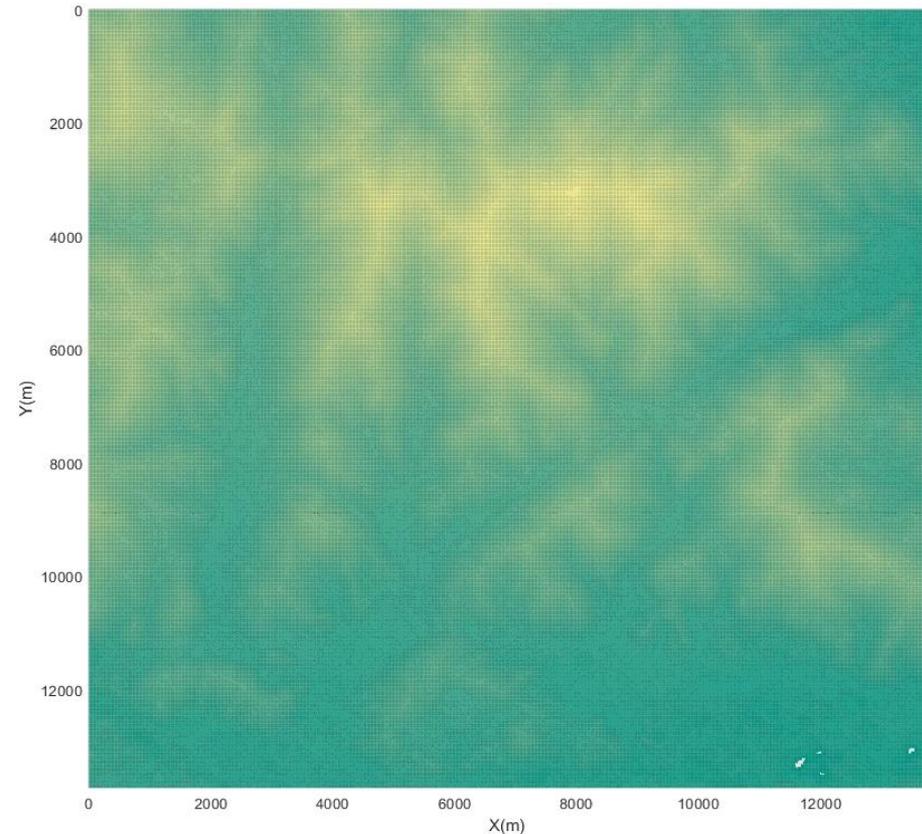
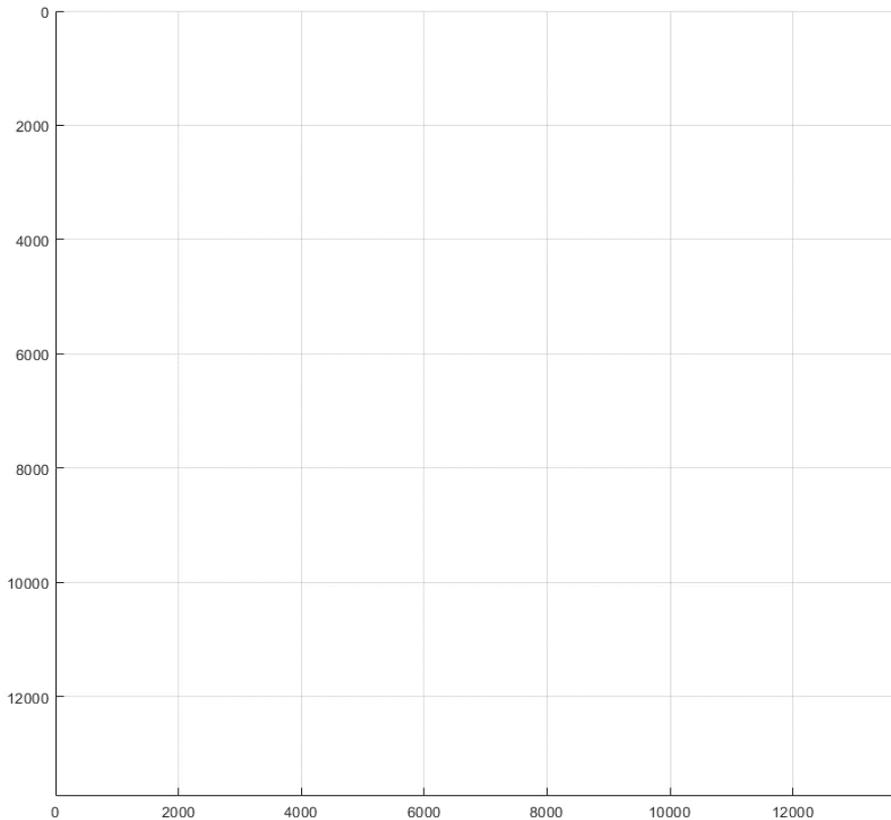
Algorithms	Time complexity	Applicable environment	Real time applicability
Sampling based algorithms	$O(n \log n) \leq T \leq O(n^2)$	Static and Dynamic(Part)	On-line
Node based algorithms	$O(n \log n) \leq T \leq O(n^2)$	Static and Dynamic(Part)	On-line
Mathematic model-based algorithms	Depending on the polynomial equation	Static and Dynamic	Off-line
Bioinspired algorithms	$O(n^2) \leq T$	Static and Dynamic(Part)	Off-line
Multifusion based algorithms	$O(n \log n) \leq T$	Depending on the algorithms	On-line

Sampling based algorithms best suit for real-time applications with less limitations

Development of Path Planning Algorithm

3-D Path Planning using RRT (Rapidly-exploring Random Tree) Algorithms

- Seoul (37°25'20.2" N, 127°01'21.9" E.)



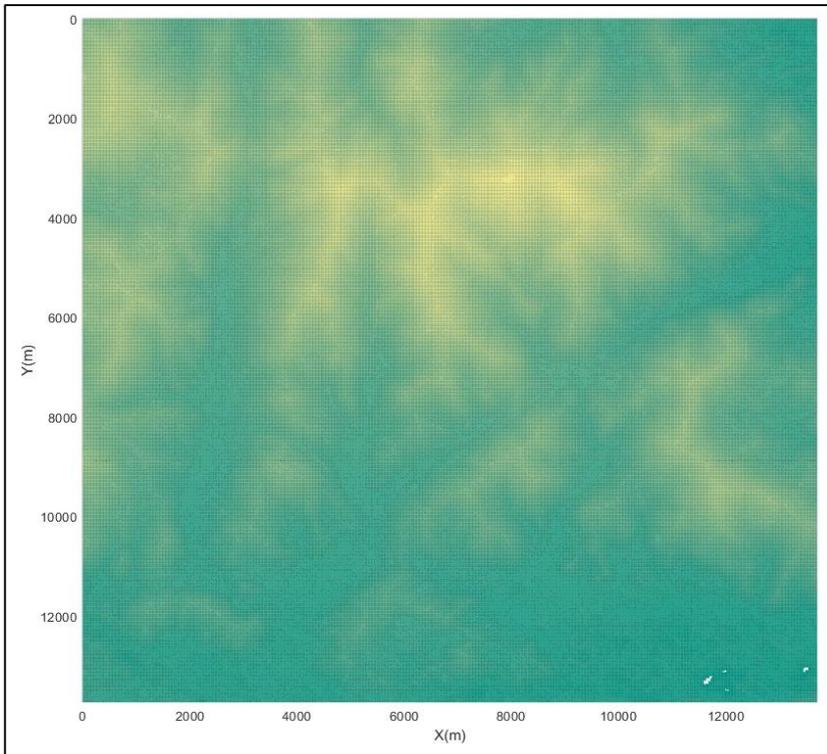
Development of Path Planning Algorithm

3-D Path Planning using RRT (Rapidly-exploring Random Tree) Algorithms

RRT vs RRT*

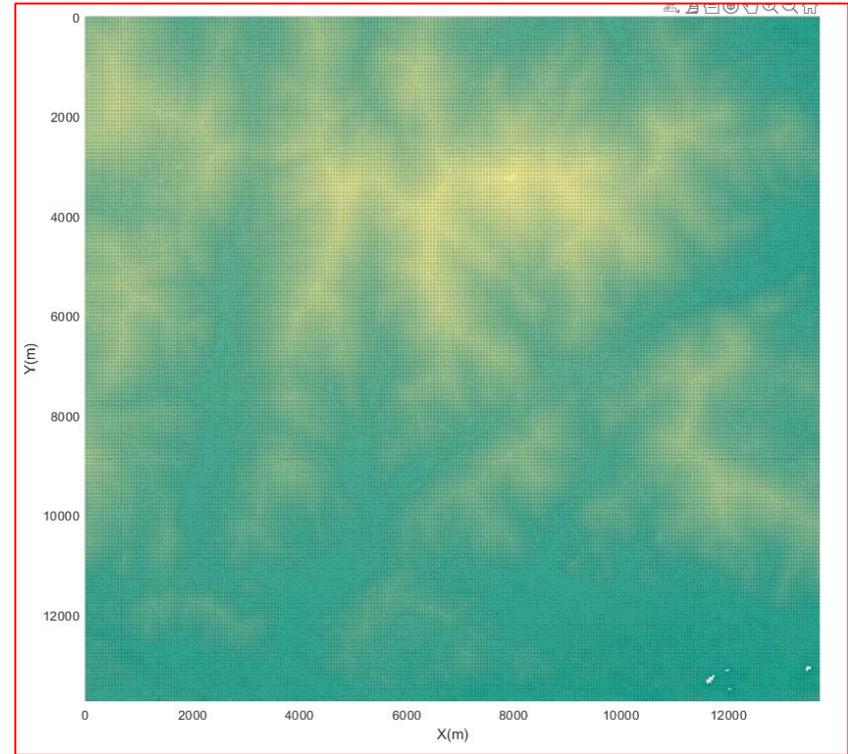
500 nodes sampling
3D Digital Terrain using Real data
 $\rho_{near} = 700m$

● : initial node (2162, 12080, 300)
▲ : goal node (11220, 1071, 300)
—●— : Tree — : Path



▲ RRT

- New Node connected with the Nearest Node
- Path is not changed after the initial path generated



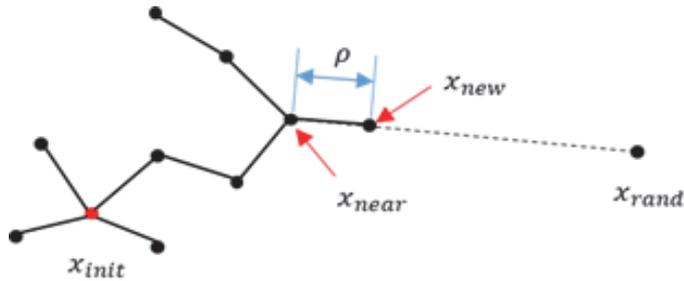
▲ RRT*

- New Node connected with the Best Node
- Tree connection changed as Node added.

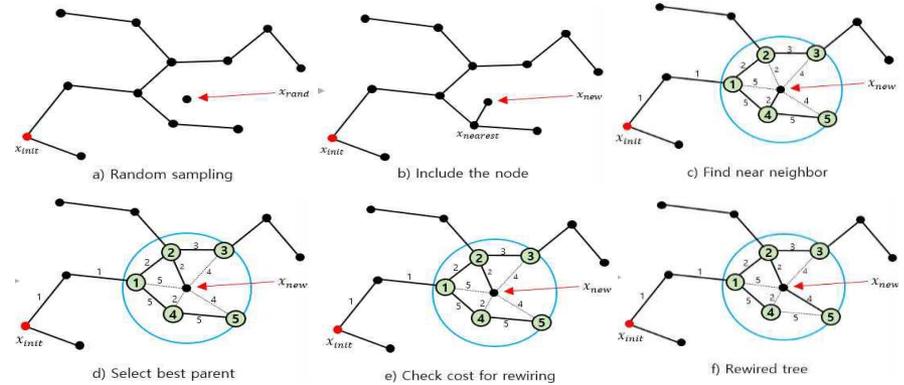
Development of Path Planning Algorithm

3-D Path Planning using RRT (Rapidly-exploring Random Tree) Algorithms

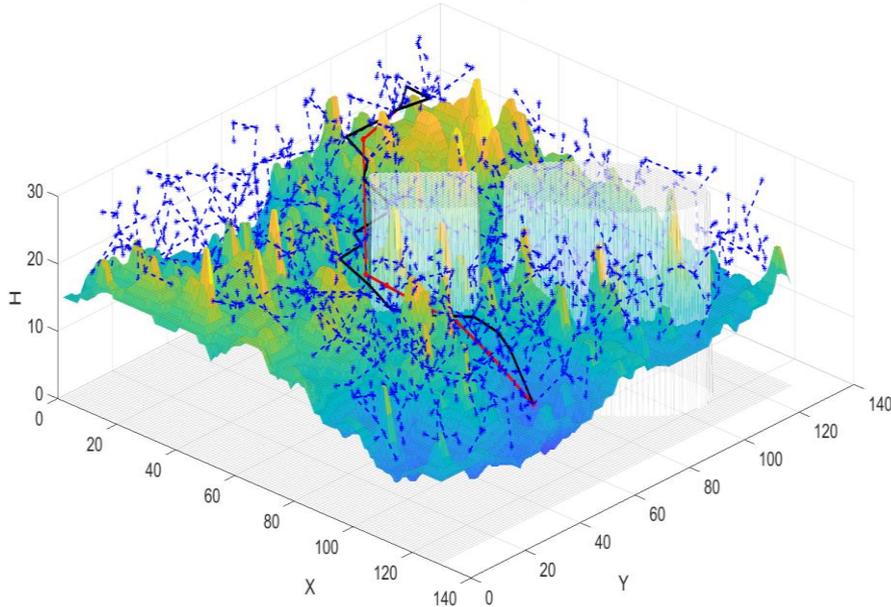
RRT



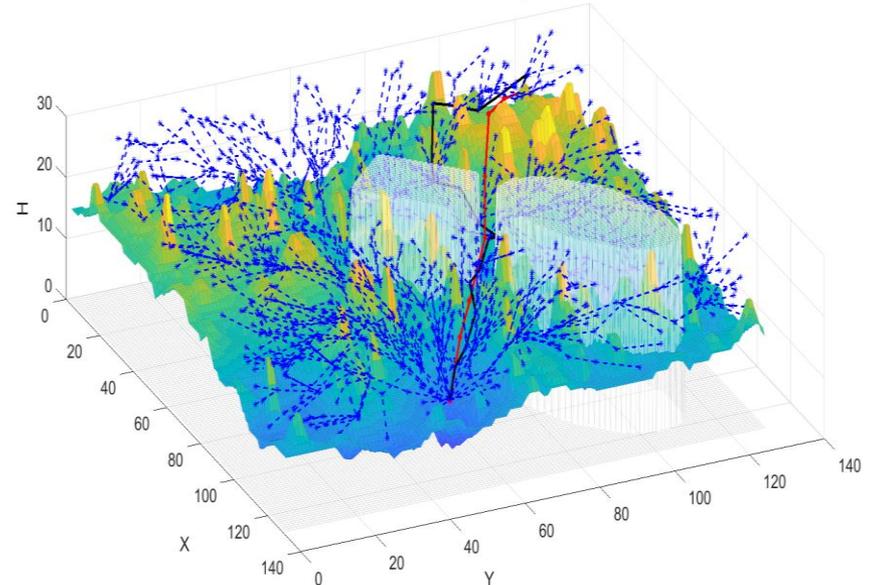
RRT*



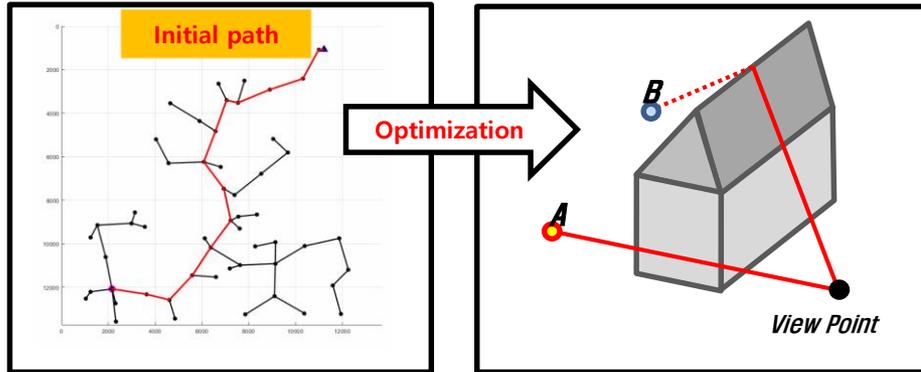
RRT 3D Path & Tree & Opt Path



RRT star 3D Path & Tree & Opt Path

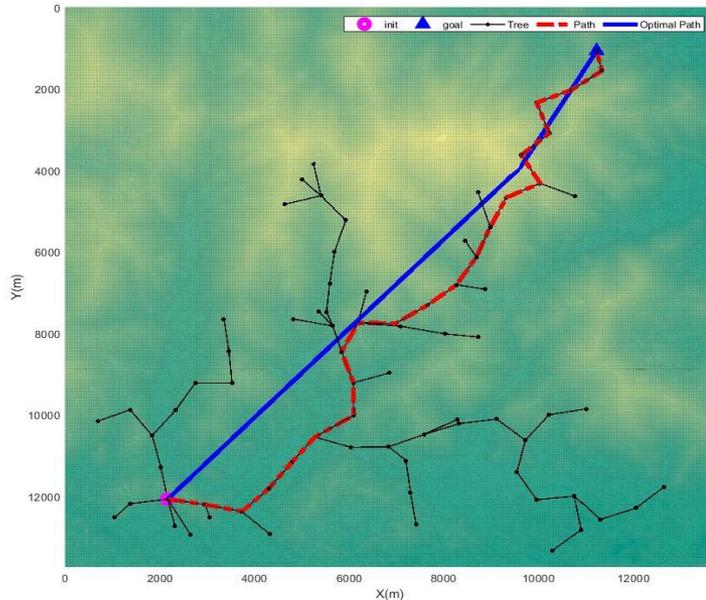


Development of LOS (Line-Of-Sight) Path Optimization Algorithm



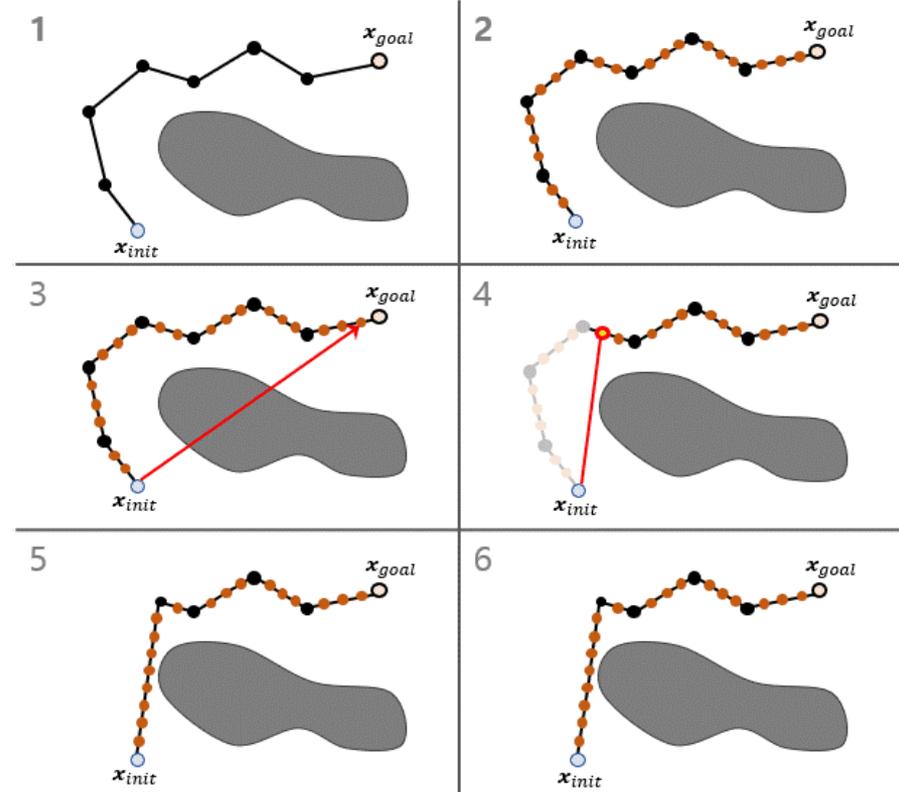
RRT*

Line-of-Sight



RRT*+LOSPO : RRT* + (Line-of-sight)

Process for LOS Path Optimization



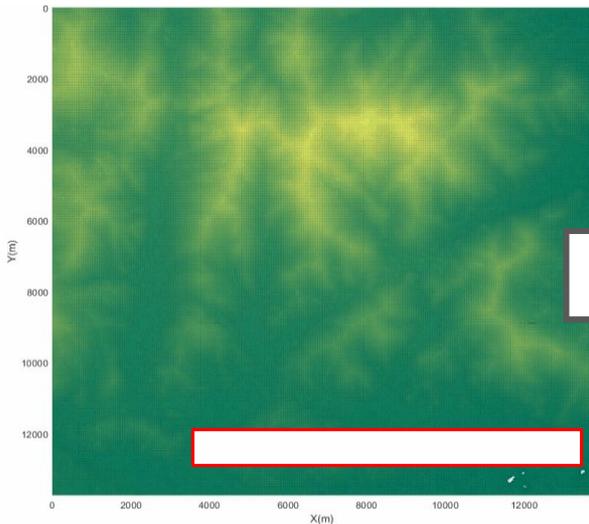
1. Path Planning with RRT*
2. Way-point Insertion for Smooth Interpolation
3. Detect the best LOS node without collision
4. Define new path
- 5~6. Repeat up to the goal point to get the optimized path

Development of LOS (Line-Of-Sight) Path Optimization Algorithm

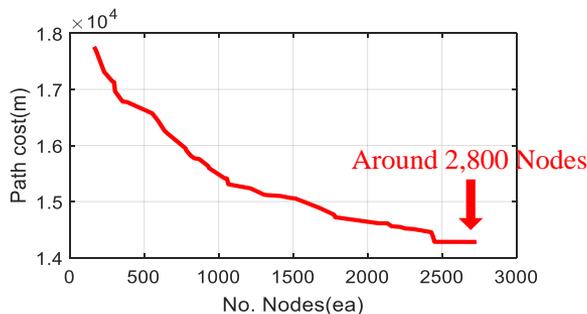
RRT* algorithm

Path Optimized when new node added

- ▶ **Large Data Storage Memory Required**
- ▶ **Slow convergence speed**

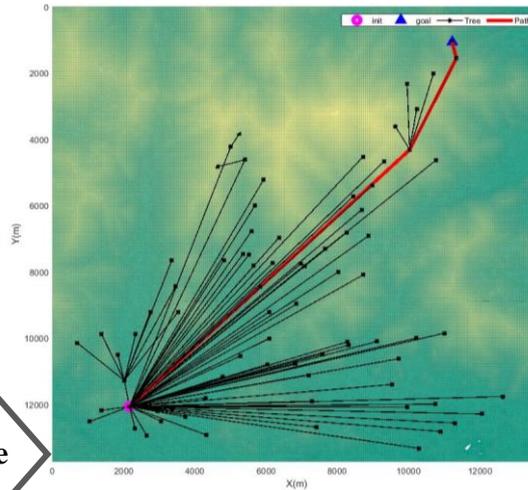


▲ Path Optimization (RRT*)

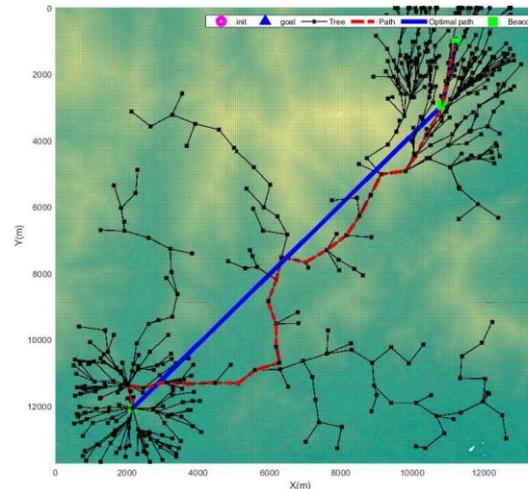
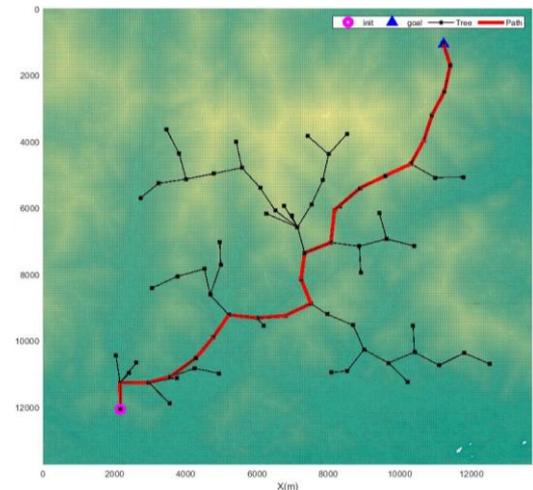


▲ Path Cost as a function of Node Number

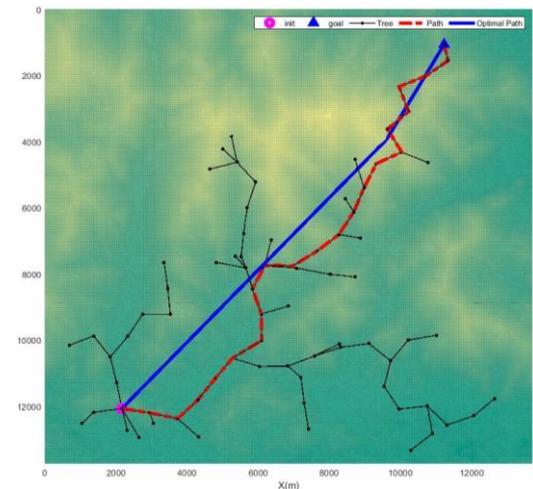
RRT*-based algorithm



Q-RRT* : RRT* + (Best Parent selection)



RRT*-Smart : RRT* + (smart method)



RRT*+LOSPO : RRT* + (Line-of-sight)

Flyable Trajectory Generation using Spline Curves

Conditions for Flyable Trajectory and Its Generator

- A flyable trajectory must pass all prescribed way points
- A flyable trajectory must meet the continuity conditions for position, velocity, acceleration, and even jerk vectors at each waypoint.
- A flyable trajectory generator must provide the useful information to check the aircraft fly-ability along the generated trajectory.

Spline Trajectory Generator

- Waypoint data $\{t_k, \mathbf{p}_k^w = (x_k^w, y_k^w, h_k^w, \psi_k^w)\}_{k=0}^{k=K}$

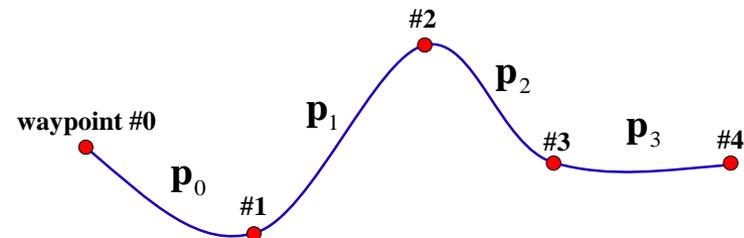
- Spline trajectory
$$\mathbf{p}_k(\tau) = \mathbf{a}_{0k} + \mathbf{a}_{1k}\tau + \mathbf{a}_{2k}\tau^2 + \mathbf{a}_{3k}\tau^3 + \mathbf{a}_{4k}\tau^4 + \mathbf{a}_{5k}\tau^5 + \mathbf{a}_{6k}\tau^6 + \mathbf{a}_{7k}\tau^7 = \sum_{j=0}^{j=7} \mathbf{a}_{jk}\tau^j$$

$$\tau = \frac{t - t_k}{\Delta t_k} \in [0, 1]$$

$$t \in [t_k, t_{k+1}]$$

$$\Delta t_k = t_{k+1} - t_k$$

$$\frac{d}{dt} = \frac{d\tau}{dt} \frac{d}{d\tau} = \frac{1}{\Delta t_k} \frac{d}{d\tau}$$



Flyable Trajectory Generation using Spline Curves

Spline Trajectory Generator

- Time Integration Formula

$$\mathbf{q}(t) = \mathbf{q}_k + \int_{t_k}^t \mathbf{p}_k \{ \tau(t) \} dt = \mathbf{q}_k + \Delta t_k \int_0^\tau \mathbf{p}_k(\tau) d\tau = \mathbf{q}_k + \Delta t_k \sum_{j=0}^{j=7} \frac{\mathbf{a}_{jk}}{j+1} \tau^{j+1}$$

$$\dot{\mathbf{q}}(t) = \mathbf{p}_k \{ \tau(t) \}$$

$$\mathbf{q}(t_k) = \mathbf{q}_k$$

- Time Derivative Formula

$$\dot{\mathbf{p}}_k = \frac{d\mathbf{p}_k(\tau)}{dt} = \frac{1}{\Delta t_k} \mathbf{p}'_k(\tau) = \frac{1}{\Delta t_k} \sum_{j=0}^{j=7} j \mathbf{a}_{jk} \tau^{j-1} = \frac{1}{\Delta t_k} (\mathbf{a}_{1k} + \mathbf{a}_{2k} \tau + \mathbf{a}_{3k} \tau^2 + \dots) = \begin{pmatrix} \dot{\mathbf{r}} \\ \dot{\psi} \end{pmatrix}^T$$

Information for Fly-ability Check

- speed

$$v(t) = \|\dot{\mathbf{r}}(t)\| = \sqrt{\dot{x}^2 + \dot{y}^2 + \dot{h}^2}$$

- Load factor

$$n(t) = \frac{1}{g} \left(\frac{v^2}{\rho} \right)$$

- Turn radius

$$\rho = \frac{\{(\dot{x})^2 + (\dot{y})^2\}^{1.5}}{|\dot{x}\ddot{y} - \dot{y}\ddot{x}|}$$

- Rate of climb

$$v_c = \dot{h}$$

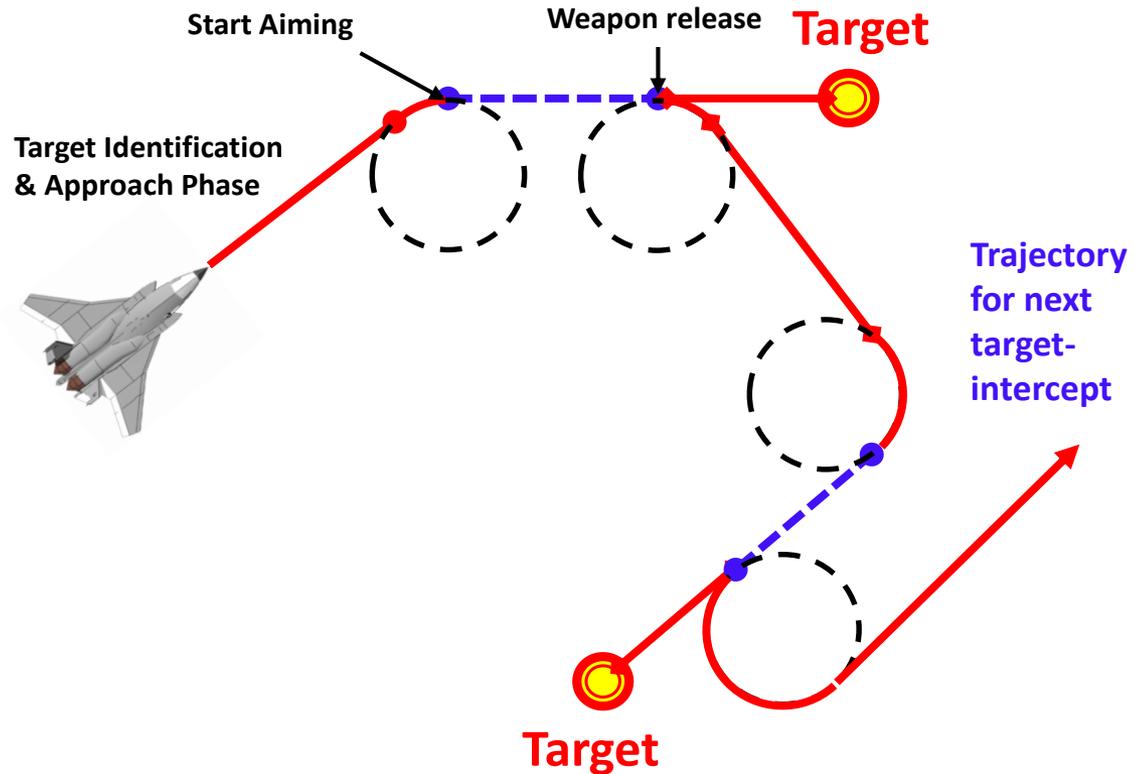
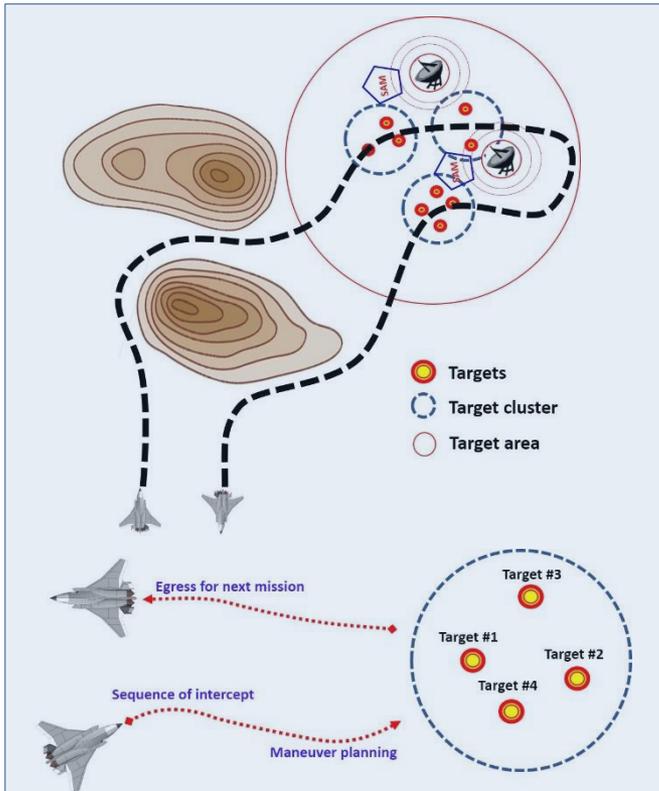
- Flight path angle

$$\gamma_c = \tan^{-1} \left(\dot{h} / \sqrt{\dot{x}^2 + \dot{y}^2} \right)$$

Flyable Trajectory Generation using Dubins Path

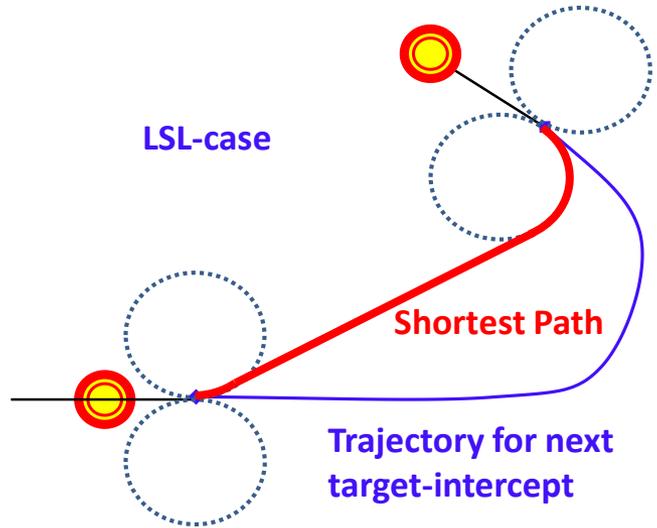
Conceptual Use of Dubins Path

Interception of Clustered Targets

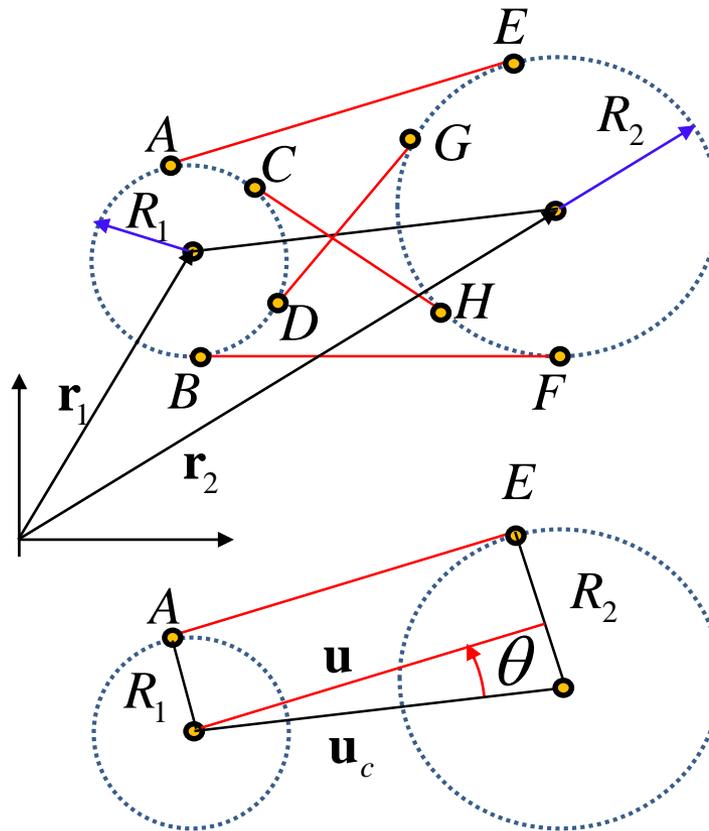
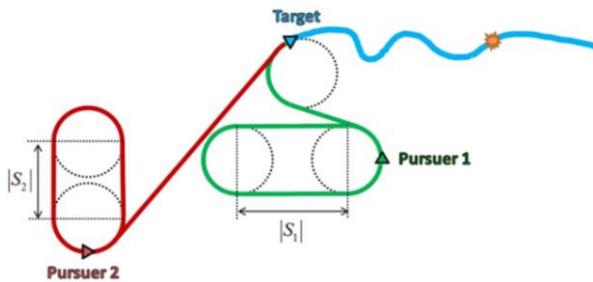


Flyable Trajectory Generation using Dubins Path

Kinematical Relations of Dubins Path for Applications in 3-D Space



Path Elongation



$$d = \|\mathbf{r}_2 - \mathbf{r}_1\|$$

$$\mathbf{u}_c = \frac{\mathbf{r}_2 - \mathbf{r}_1}{d}$$

$$d \sin \theta = R_2 - R_1$$

$$\mathbf{u}_1 = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \mathbf{u}_c$$

$$\mathbf{n}_1 = \mathbf{k} \times \mathbf{u}_1$$

$$\mathbf{r}_A = \mathbf{r}_1 + R_1 \mathbf{n}_1$$

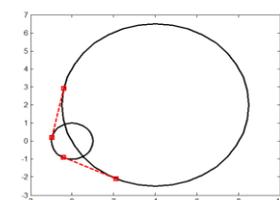
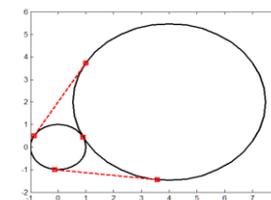
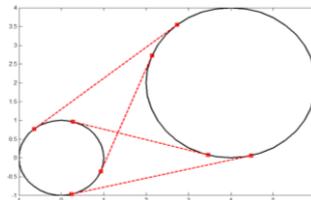
$$\mathbf{r}_E = \mathbf{r}_A + d \cos \theta \mathbf{u}_1$$

$$\mathbf{u}_2 = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \mathbf{u}_c$$

$$\mathbf{n}_2 = -\mathbf{k} \times \mathbf{u}_2$$

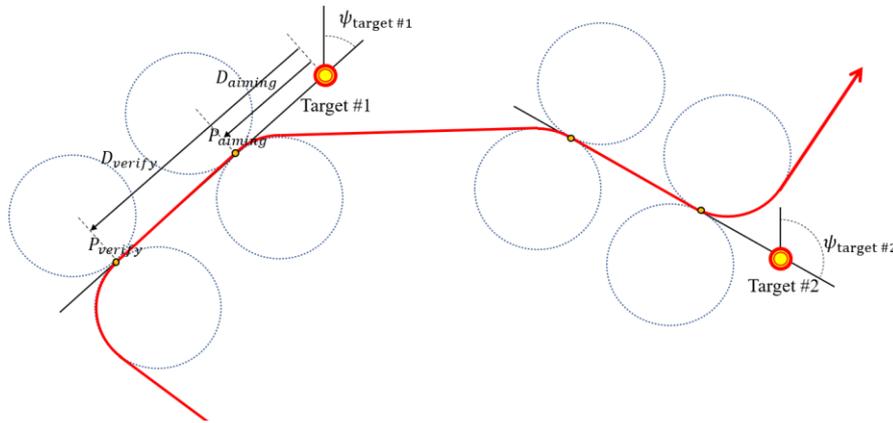
$$\mathbf{r}_B = \mathbf{r}_1 + R_1 \mathbf{n}_2$$

$$\mathbf{r}_F = \mathbf{r}_B + d \cos \theta \mathbf{u}_2$$



Flyable Trajectory Generation using Dubins Path

Applications to Optimal Trajectory Generation for Multi-Target-Intercept Mission

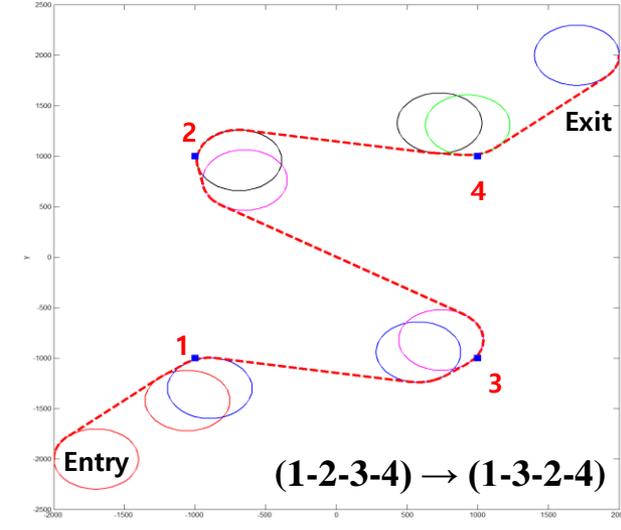
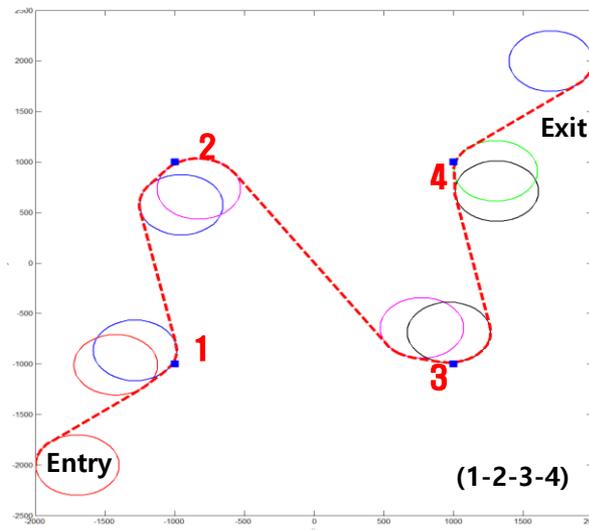
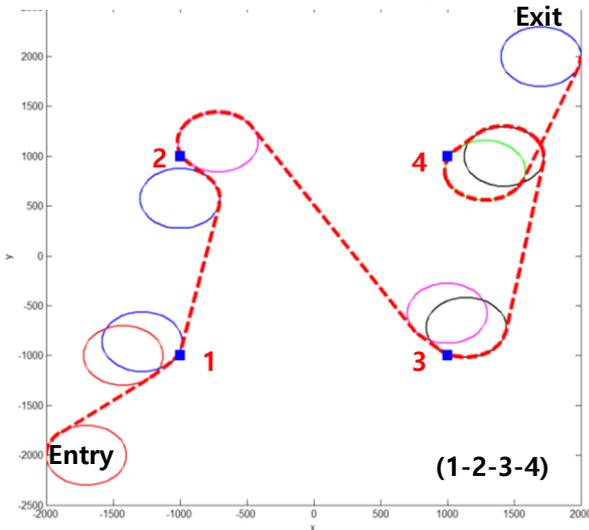


- P_{verify} : The point at which the target is identified, and the aim is started
- D_{verify} : Distance between Target and P_{verify}
- P_{aiming} : The point at which aiming process is completed and launching the missile
- D_{aiming} : Distance between Target and P_{aiming}
- $\psi_{target \#n}$: n-th target heading angle

1. Dubins Path with Prescribed Intercept-Heading Angles & Target Sequence

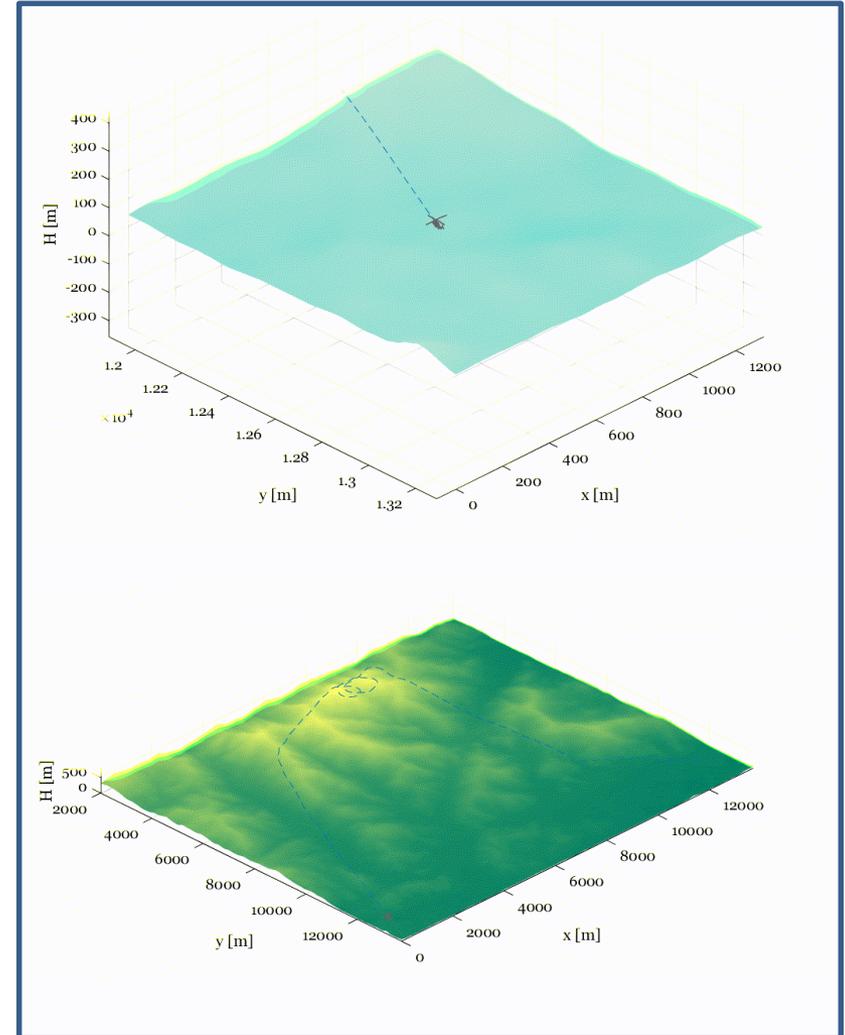
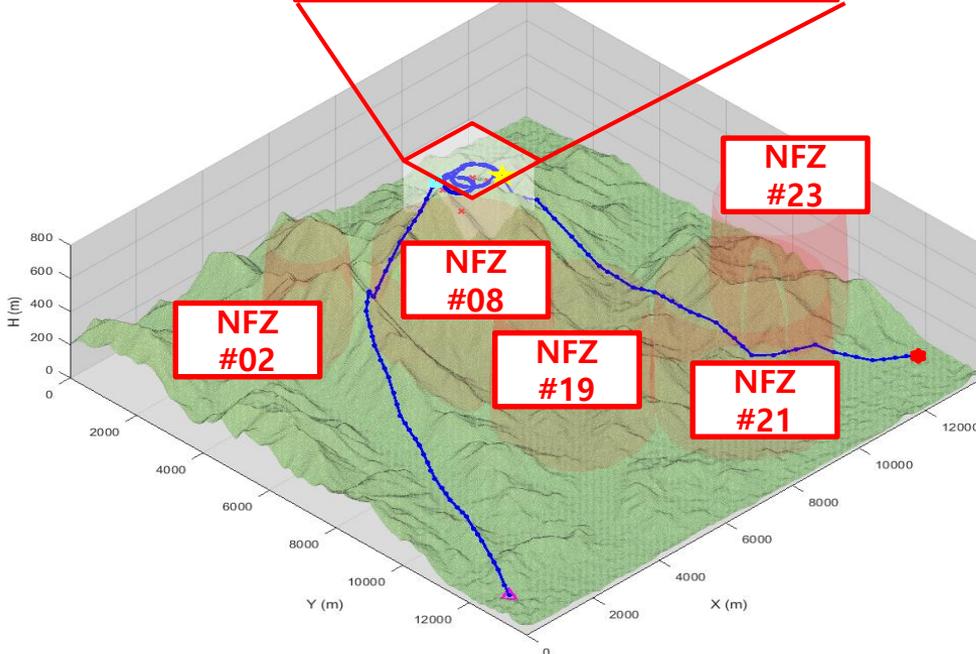
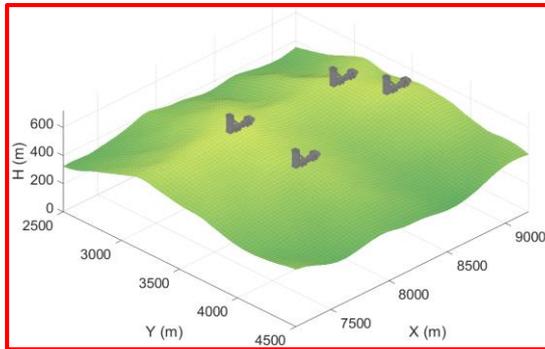
2. Optimized Heading Angles

3. Optimized Target Intercept Sequence & Heading Angles



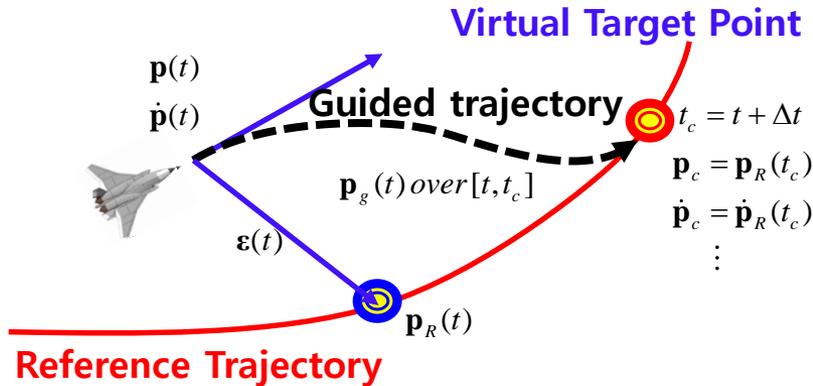
Flyable Trajectory Generation using Dubins Path

Applications to Optimal Trajectory Generation for Multi-Target-Intercept Mission



Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Ahead-Time based Carrot-Chasing Algorithm



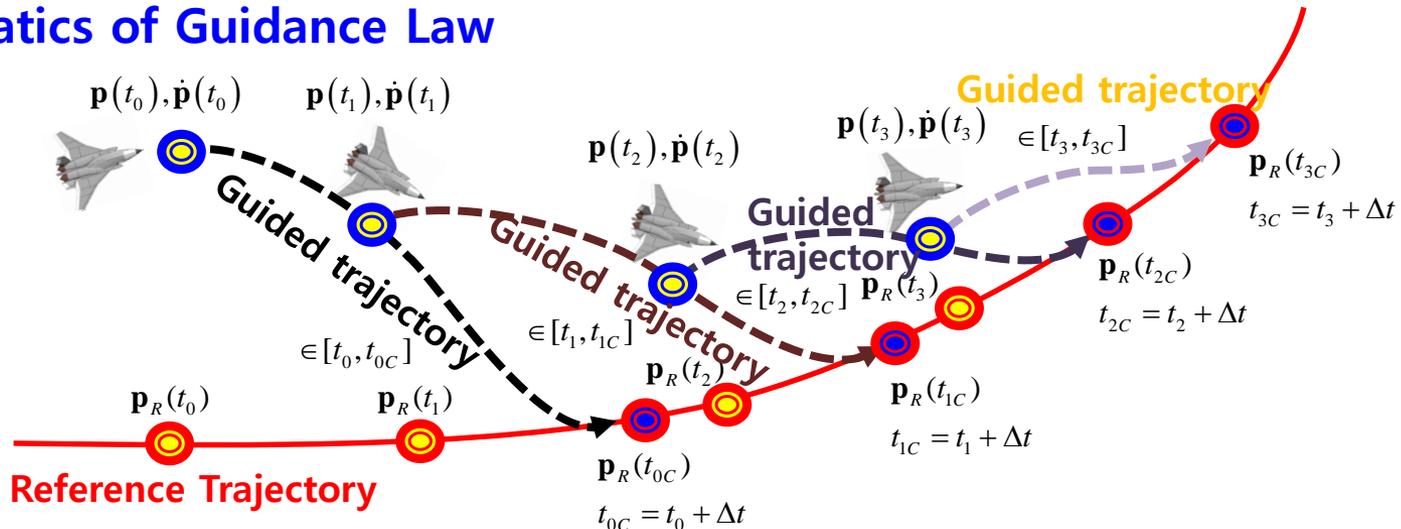
Two different trajectory are used.

1. Reference Trajectory
2. Guided Trajectory

: VTP on Reference Trajectory at time t

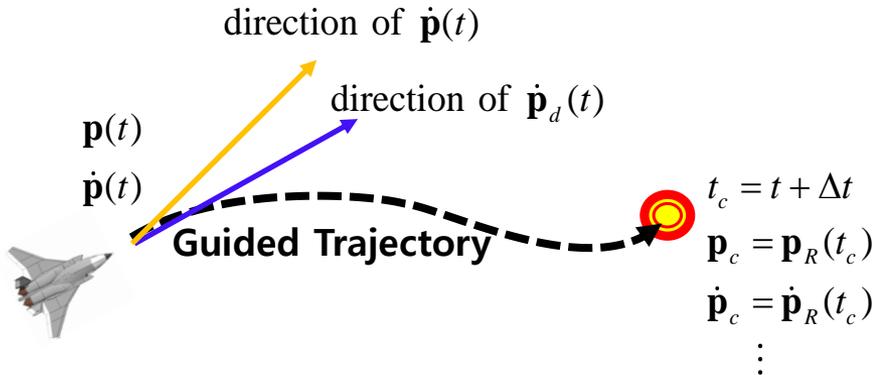
: VTP on Reference Trajectory at time $t + \Delta t$

Schematics of Guidance Law



Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Generation of Guided Trajectory using Hermit Spline Curve



Available Aircraft States

$\mathbf{p}(t)$: Aircraft Position
 $\dot{\mathbf{p}}(t)$: Velocity

Available VTP States

$\mathbf{p}_R(t_c)$: Desired Position
 $\dot{\mathbf{p}}_R(t_c)$: Velocity
 $\ddot{\mathbf{p}}_R(t_c)$: Acceleration
 $\dddot{\mathbf{p}}_R(t_c)$: Jerk

Using the 1st, 2nd derivatives for initial (aircraft) and final (carrot) states,

$$\{t_0, f_0, \dot{f}_0, \ddot{f}_0\}, \{t_f, f_f, \dot{f}_f, \ddot{f}_f\}$$

$$f(\tau) = \sum_{m=0}^{m=5} a_m \tau^m = a_0 + a_1 \tau + a_2 \tau^2 + a_3 \tau^3 + a_4 \tau^4 + a_5 \tau^5$$

$$\begin{aligned} f(t) &= \alpha_0 f_0 + \alpha_1 \dot{f}_0 \Delta t + \alpha_2 \ddot{f}_0 (\Delta t)^2 + \beta_0 f_0 + \beta_1 \dot{f}_0 \Delta t + \beta_2 \ddot{f}_0 (\Delta t)^2 \\ &= (1 - 10\tau^3 + 15\tau^4 - 6\tau^5) f_0 + (10\tau^3 - 15\tau^4 + 6\tau^5) f_1 \\ &\quad + (\tau - 6\tau^3 + 8\tau^4 - 3\tau^5) \dot{f}_0 \Delta t + (-4\tau^3 + 7\tau^4 - 3\tau^5) \dot{f}_1 \Delta t + \frac{1}{2} (\tau^2 - 3\tau^3 + 3\tau^4 - \tau^5) \ddot{f}_0 (\Delta t)^2 + \frac{1}{2} (\tau^3 - 2\tau^4 + \tau^5) \ddot{f}_1 (\Delta t)^2 \end{aligned}$$

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Comparison of Acceleration Command for MFC (Model-Following-Control)

**Ahead-Time based CCGL
(PID + Feedforward Control)**

$$\ddot{\mathbf{p}}_{cmd}(t) = k_p (\mathbf{p}_d - \mathbf{p}) + k_d (\dot{\mathbf{p}}_d - \dot{\mathbf{p}}) + k_i \int (\mathbf{p}_d - \mathbf{p}) dt + k_{ff} \ddot{\mathbf{p}}_d$$

Traditional (Ahead-distance based) CCGL

$$a_{cmd}^{lat} = k_\psi \Delta \psi + k_d d$$

$$a_{cmd}^{vert} = k_\theta (\theta_{cmd} - \theta_a) + k_h (h_t - h_a)$$

Various Options for Ahead-Time based CCGL: 8 different Guidance Laws

	Aircraft states	Target states		Aircraft states	Target states
GL1	$\mathbf{p}(t)$	$\mathbf{p}_R(t_c)$	GL5	$\mathbf{p}(t), \dot{\mathbf{p}}(t)$	$\mathbf{p}_R(t_c)$
GL2	$\mathbf{p}(t)$	$\mathbf{p}_R(t_c), \dot{\mathbf{p}}_R(t_c)$	GL6	$\mathbf{p}(t), \dot{\mathbf{p}}(t)$	$\mathbf{p}_R(t_c), \dot{\mathbf{p}}_R(t_c)$
GL3	$\mathbf{p}(t)$	$\mathbf{p}_R(t_c), \dot{\mathbf{p}}_R(t_c), \ddot{\mathbf{p}}_R(t_c)$	GL7	$\mathbf{p}(t), \dot{\mathbf{p}}(t)$	$\mathbf{p}_R(t_c), \dot{\mathbf{p}}_R(t_c), \ddot{\mathbf{p}}_R(t_c)$
GL4	$\mathbf{p}(t)$	$\mathbf{p}_R(t_c), \dot{\mathbf{p}}_R(t_c), \ddot{\mathbf{p}}_R(t_c), \ddot{\mathbf{p}}_R(t_c)$	GL8	$\mathbf{p}(t), \dot{\mathbf{p}}(t)$	$\mathbf{p}_R(t_c), \dot{\mathbf{p}}_R(t_c), \ddot{\mathbf{p}}_R(t_c), \ddot{\mathbf{p}}_R(t_c)$

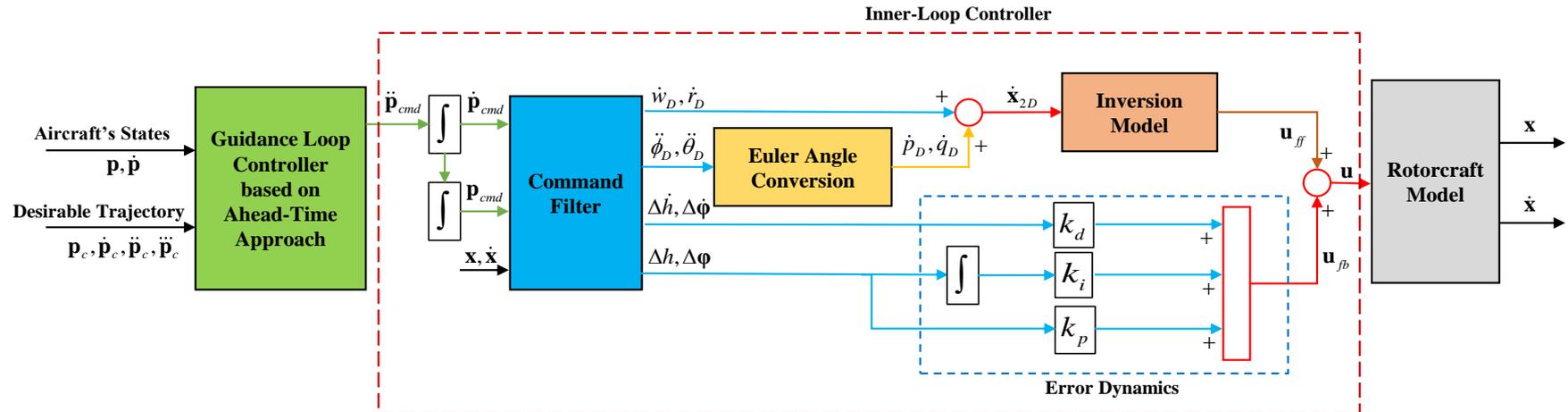
For Detailed Comparative Study on 8 Guidance Laws, you can refer to [Ref 1]

[Ref 1] Seong Han Lee, Sung Wook Hur, Yi Young Kwak, Yong Hyeon Nam, and Chang-Joo Kim, "Ahead-time Approach to Carrot-chasing Guidance Law for an Accurate Trajectory-tracking Control," International Journal of Control, Automation and Systems 19(8) (2021) 2634-2651

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Model-Following-Control Structure for CCGL Implementation

- **Outer Loop** : Carrot-Chasing Guidance Law (GL1, GL3)
- **Inner Loop** : Model Following Controller (MFC)



Command Filter

$$\begin{aligned}
 \phi_{cmd} &= \kappa_v \Delta v + \kappa_{vI} \int \Delta v dt & \Delta v &= v_{cmd} - v \\
 \theta_{cmd} &= -\kappa_u \Delta u - \kappa_{uI} \int \Delta u dt & \Delta u &= u_{cmd} - u \\
 r_{cmd} &= \kappa_\psi \Delta \psi + \kappa_{\psi I} \int \Delta \psi dt & \Delta \psi &= \psi_{cmd} - \psi \\
 \dot{h}_{cmd} &= \dot{h}_{trim} + \kappa_h \Delta \dot{h} + \kappa_{hI} \int \Delta \dot{h} dt & \Delta h &= h_{cmd} - h
 \end{aligned}$$

where

$$\begin{aligned}
 \ddot{\phi}_D + 2\zeta_\phi \omega_\phi \dot{\phi}_D + \omega_\phi^2 \phi_D &= \omega_\phi^2 \phi_{cmd} & \tau_r \dot{r}_D + r_D &= r_{cmd} \\
 \ddot{\theta}_D + 2\zeta_\theta \omega_\theta \dot{\theta}_D + \omega_\theta^2 \theta_D &= \omega_\theta^2 \theta_{cmd} & \tau_h \dot{h}_D + \dot{h}_D &= \dot{h}_{cmd}
 \end{aligned}$$

Inversion Model

$$\mathbf{u}_{ff} = \mathbf{B}_2^{-1} (\dot{\mathbf{x}}_{2D} - \mathbf{A}_{21} \mathbf{x}_1 - \mathbf{A}_{22} \mathbf{x}_2)$$

Error Dynamics

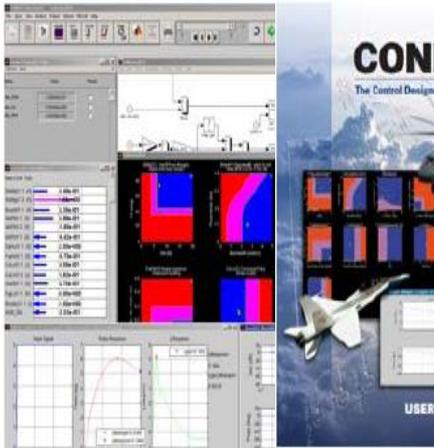
$$\mathbf{u}_{fb} = \begin{pmatrix} -k_{dh} \Delta \dot{h} - k_{ph} \Delta h - k_{ih} \int \Delta h dt \\ k_{d\phi} \Delta \dot{\phi} + k_{p\phi} \Delta \phi + k_{pv} \Delta v + k_{iv} \int \Delta v dt \\ k_{d\theta} \Delta \dot{\theta} + k_{p\theta} \Delta \theta - k_{pu} \Delta u - k_{iu} \int \Delta u dt \\ k_{d\psi} \Delta \dot{\psi} + k_{p\psi} \Delta \psi + k_{i\psi} \int \Delta \psi dt \end{pmatrix}$$

where $\Delta \phi = \phi_D - \phi$
 $\Delta \theta = \theta_D - \theta$

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Optimization of Controller Gains and Parameters

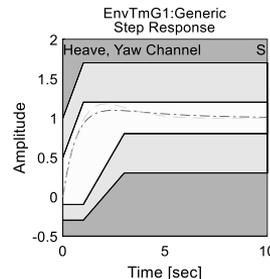
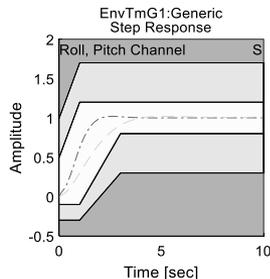
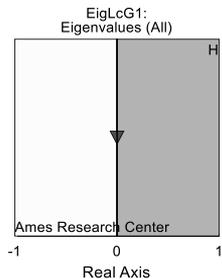
CONUIT[®]
graphical interface & User Manual



MATLAB[®] SIMULINK



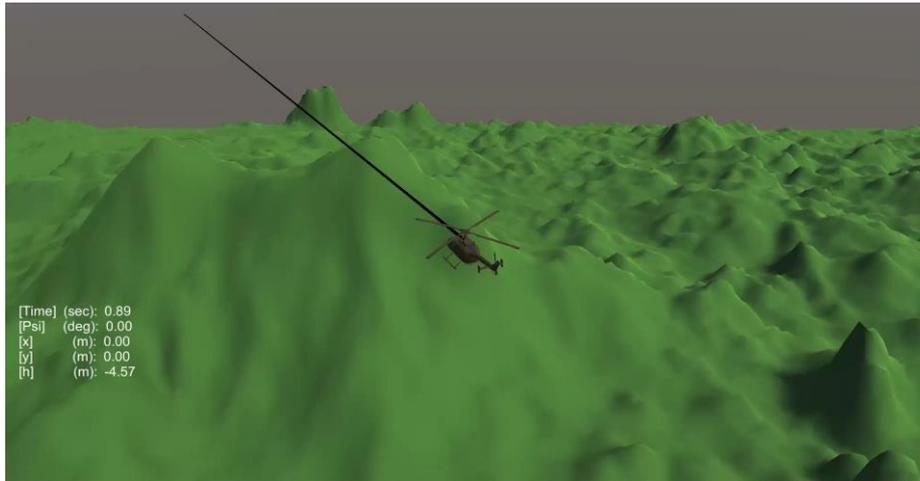
Specification	Description	Source	Channel	Constraint Type
EigLcG1	Eigenvalues (Stability)	AMES Research Center	All	Hard
EnvTmG1	Step Response	General	X, Y, Z, ψ	Soft



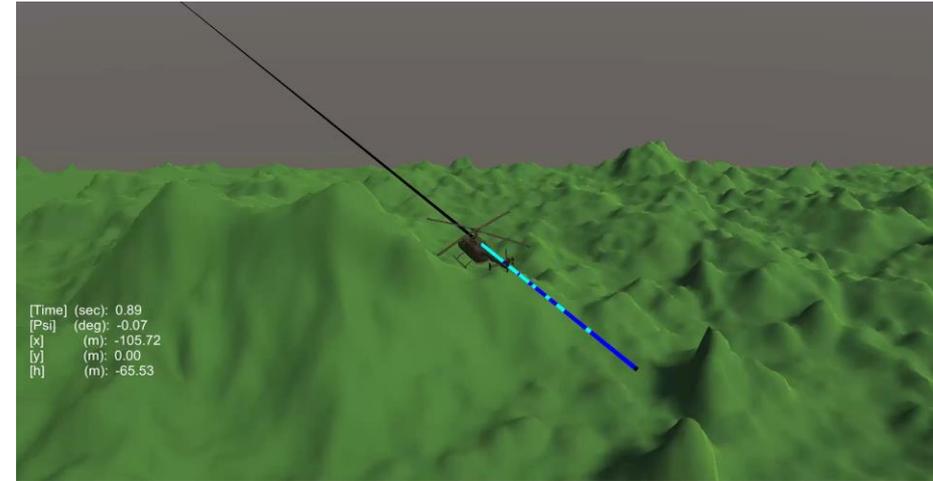
Parameters	Location	Hover	30 knots	60 knots	90knots	120 knots
K_h	Filter (Heave)	1.263E+00	2.533E+00	1.644E+00	7.931E-01	2.383E+00
K_h	Filter (Heave)	5.988E-01	8.037E-01	6.296E-01	1.889E-01	4.488E-01
K_{hd}	Filter (Heave)	9.968E-04	9.327E-04	1.032E-03	2.032E-03	8.490E-04
K_ψ	Filter (Yaw)	9.184E-01	5.670E-01	2.542E-01	1.079E-01	5.276E-01
K_ψ	Filter (Yaw)	5.170E-01	7.714E-01	1.017E-01	4.015E-02	5.328E-01
$K_{\psi d}$	Filter (Yaw)	8.585E-04	1.116E-03	9.864E-04	7.864E-04	8.490E-04
K_θ	Filter (Pitch)	1.316E-02	1.146E-02	5.158E-02	9.397E-02	1.344E-02
$K_{\theta d}$	Filter (Pitch)	1.167E-03	9.792E-04	9.505E-04	9.505E-04	8.490E-04
K_γ	Filter (Roll)	9.036E-03	1.014E-02	6.562E-02	6.220E-02	1.529E-02
$K_{\gamma d}$	Filter (Roll)	8.976E-04	1.080E-03	1.056E-03	1.056E-03	8.490E-04
ω_ϕ	Filter (Roll)	3.533E+00	4.504E+00	7.121E+00	8.122E+00	5.813E+00
ω_θ	Filter (Pitch)	5.456E+00	3.704E+00	9.064E+00	7.044E+00	5.874E+00
τ_r	Filter (Yaw)	1.510E+00	1.774E+00	1.179E+00	9.628E-01	1.696E+00
τ_h	Filter (Heave)	1.643E+00	1.697E+00	1.770E+00	1.453E+00	3.549E+00
ζ_ϕ	Filter (Roll)	9.000E-01	9.000E-01	9.000E-01	9.000E-01	9.000E-01
ζ_θ	Filter (Pitch)	9.000E-01	9.000E-01	9.000E-01	9.000E-01	9.000E-01
$k_{d\phi}$	Feedback (Roll)	2.907E-01	2.505E-01	3.433E-01	3.778E-01	2.935E-01
$k_{p\phi}$	Feedback (Roll)	3.494E-01	3.842E-01	5.905E-01	5.282E-01	3.420E-01
k_{pv}	Feedback (Roll)	3.164E-02	2.384E-02	9.807E-05	3.729E-02	9.749E-03
k_h	Feedback (Roll)	9.590E-05	1.173E-04	9.795E-05	4.130E-04	2.734E-03
$k_{d\theta}$	Feedback (Pitch)	2.192E-01	2.223E-01	1.920E-01	1.969E-01	5.648E-01
$k_{p\theta}$	Feedback (Pitch)	4.833E-01	6.027E-01	6.858E-01	7.262E-01	5.073E-01
k_{pu}	Feedback (Pitch)	6.808E-02	6.893E-02	1.268E-02	6.246E-03	1.674E-02
$k_{\theta u}$	Feedback (Pitch)	1.100E-04	1.099E-04	9.950E-05	4.369E-03	1.658E-03
$k_{d\psi}$	Feedback (Yaw)	7.530E-01	6.540E-01	9.275E-01	1.142E+00	8.872E-01
$k_{p\psi}$	Feedback (Yaw)	1.869E-01	5.087E-01	7.197E-01	6.121E-01	4.516E-01
$k_{i\psi}$	Feedback (Yaw)	1.104E-04	1.010E-04	1.048E-04	1.007E-04	1.694E-03
k_{ph}	Feedback (Heave)	4.478E-04	8.351E-05	7.528E-02	4.478E-02	2.775E-01
k_{ih}	Feedback (Heave)	0.000E+00	0.000E+00	0.000E+00	1.181E-03	4.441E-03
$k_{d\eta}$	Feedback (Heave)	6.003E-01	9.743E-01	8.052E-01	1.023E+00	8.172E-01

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Applications of CCGL to Acceleration and Deceleration Maneuvers



Acceleration Maneuver



Deceleration Maneuver

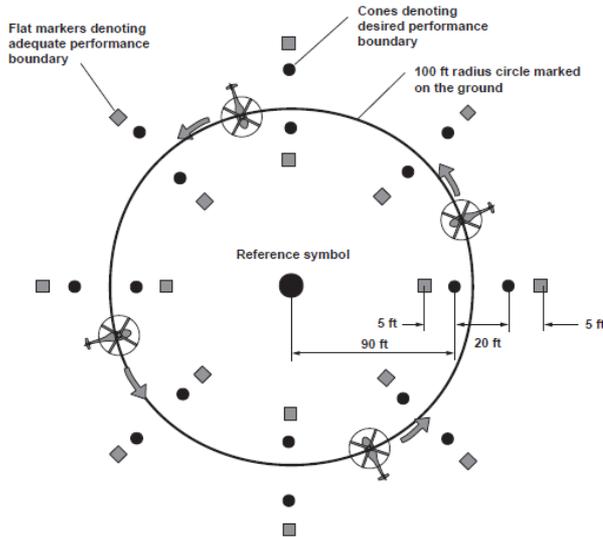
GL1 : Yellow
GL3 : Blue
GL5 : Cyan
GL6 : Red

GL1 = GL5
GL3 = GL6

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

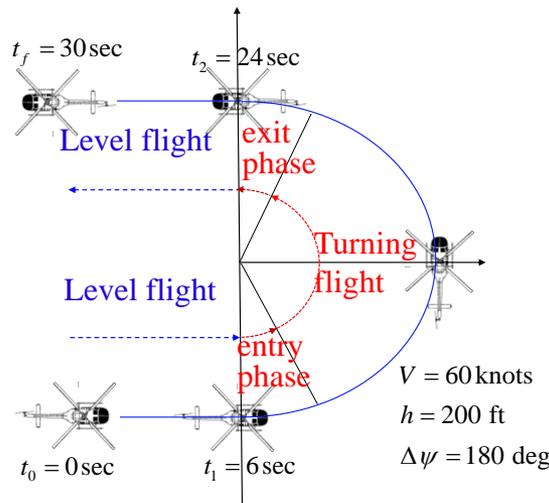
Applications of CCGL to Pirouette, Transient Turn, and Helical Turn Maneuvers

Pirouette Maneuver



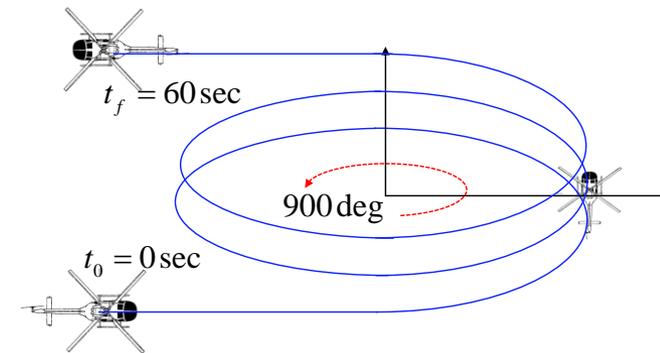
Complete the circle within 60sec
Tracking error within 15ft

Transient Turn



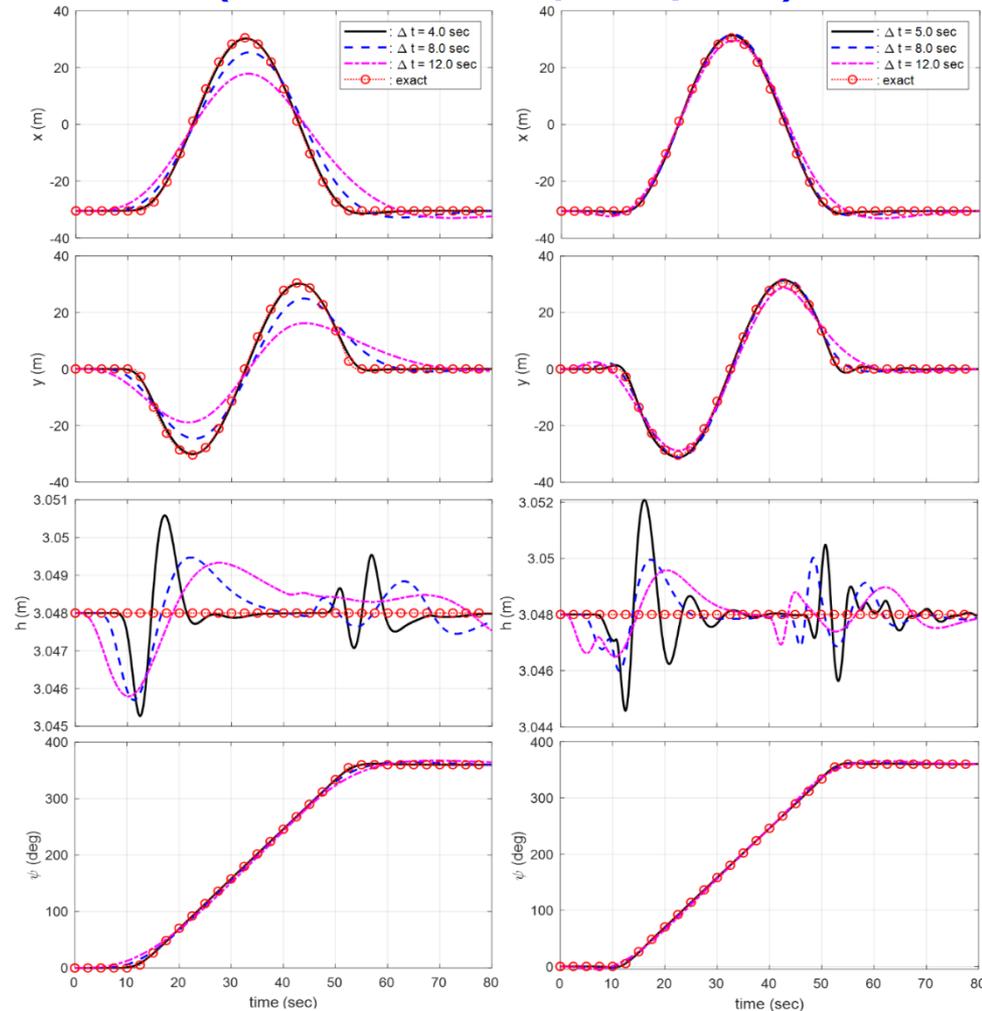
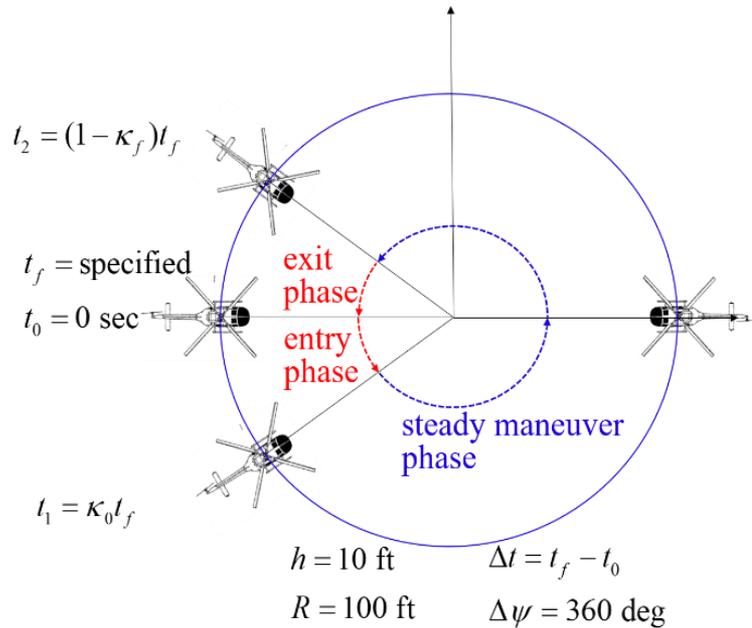
Lesser 120 knots velocity

Helical Turn



Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

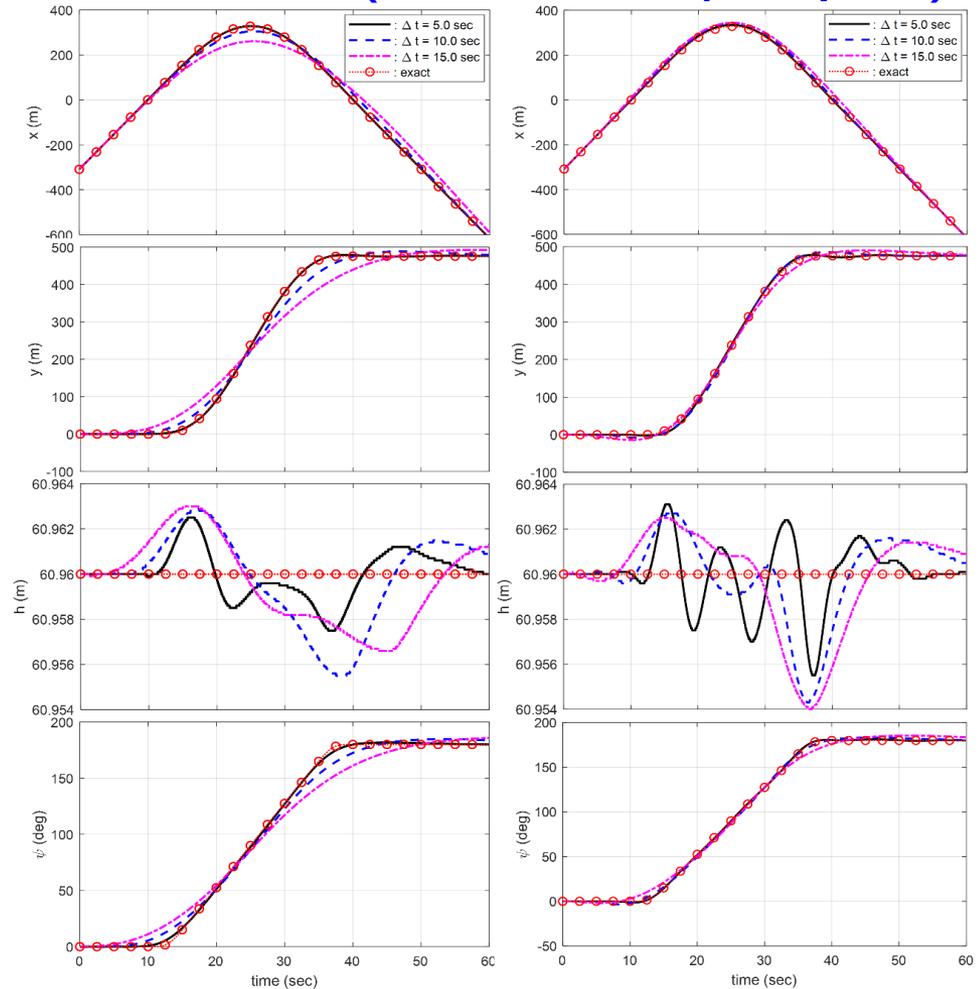
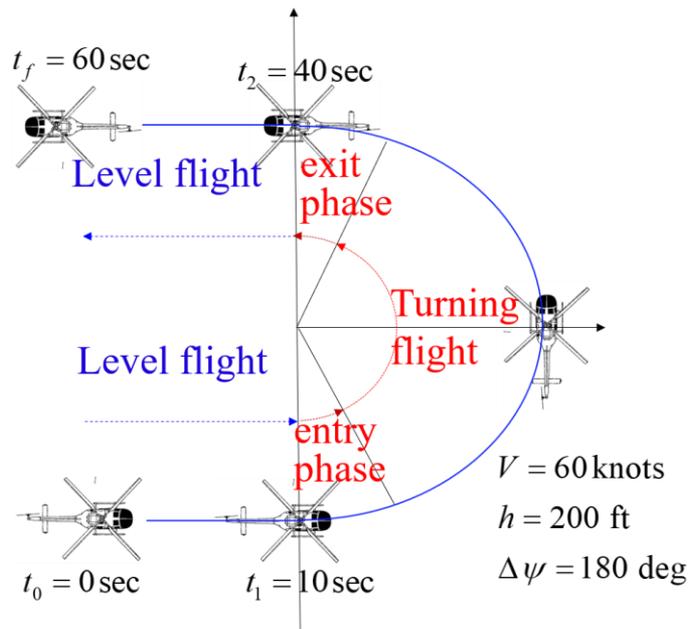
Applications of CCGL to Pirouette Maneuver (MFC structure, GL1/GL3)



Position and Heading angle(Left:GL1, Right:GL3)

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

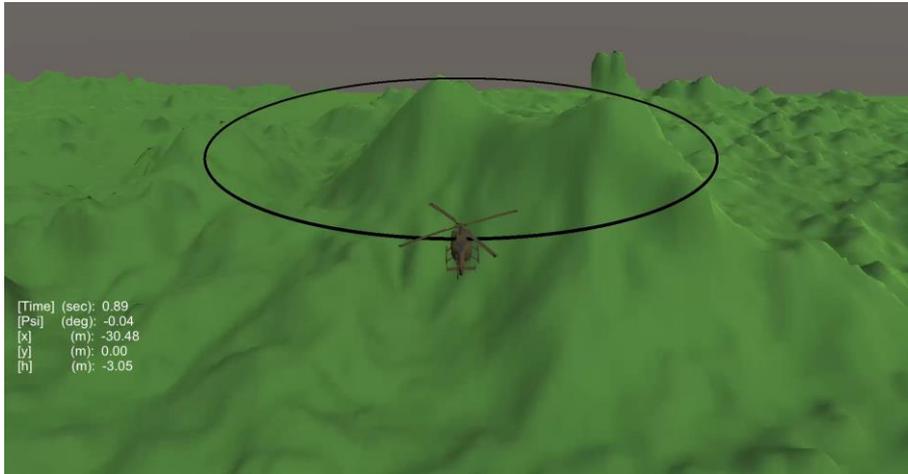
Applications of CCGL to Transient Turn Maneuver (MFC structure, GL1/GL3)



Position and Heading angle (Left:GL1, Right:GL3)

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Applications of CCGL to Pirouette, Transient Turn, and Helical Turn Maneuvers



Pirouette



Transient Turn

GL1 : Yellow

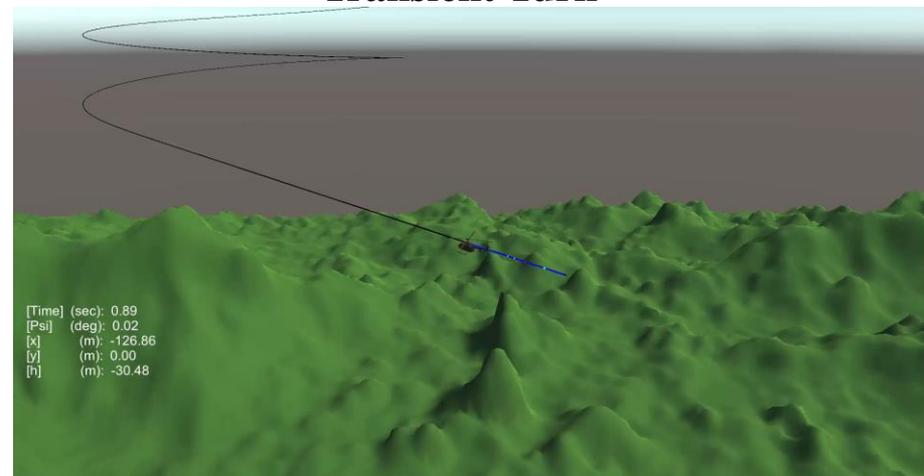
GL3 : Blue

GL5 : Cyan

GL6 : Red

GL1 = GL5

GL3 = GL6



Helical Turn

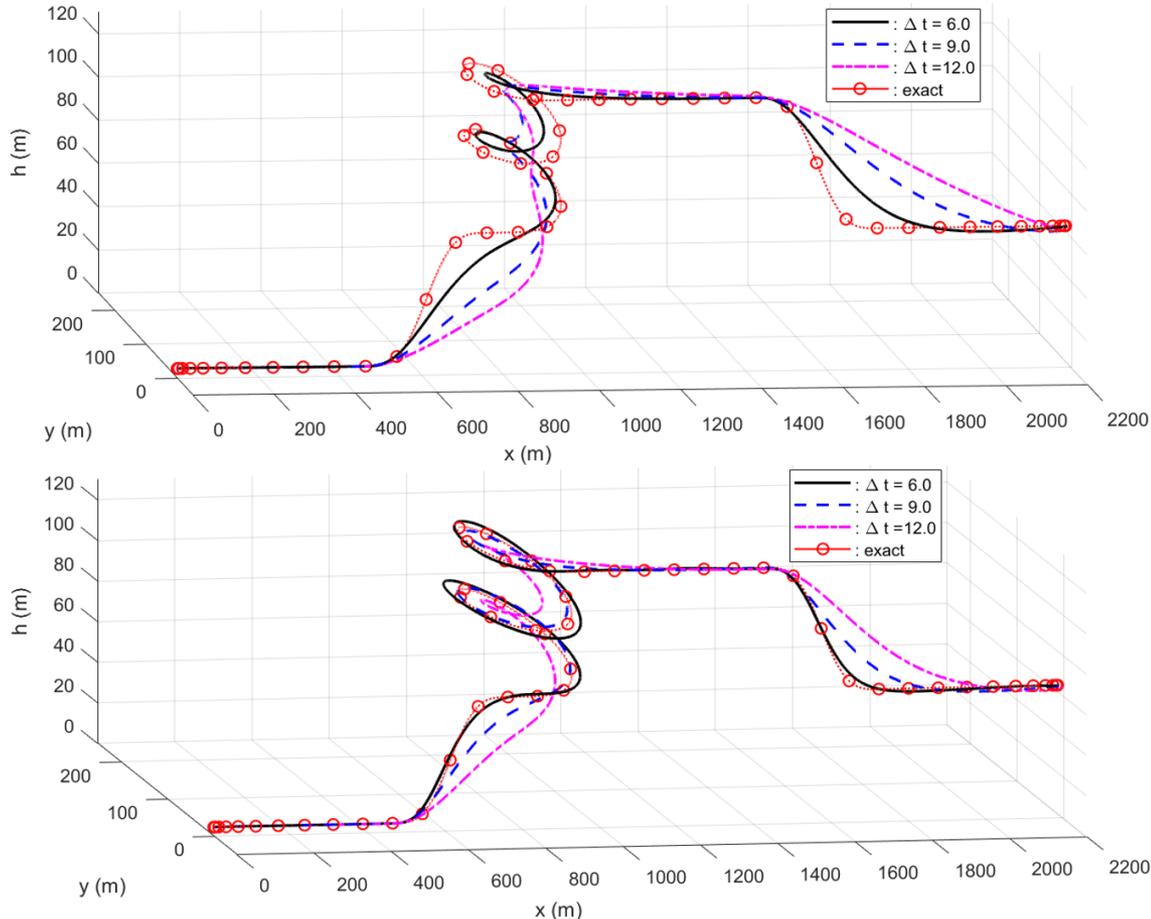
Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)

No.	Maneuvers	Duration	State changes during maneuver
1	Hover	15sec	Hover station-keeping at 15ft
2	Acceleration	30sec	Level acceleration from 0 knots to 60knots
3	Pop-up	10sec	Climb from 15 ft up to 215ft and recover the level flight condition
4	Helical Turn	60sec	After 720 deg heading and 200 ft altitude changes, return to level flight
5	Pop-down	10sec	Descent from 415 ft to 215ft and recover the level flight condition
6	Deceleration	30sec	Level deceleration from 60 knots to hover

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

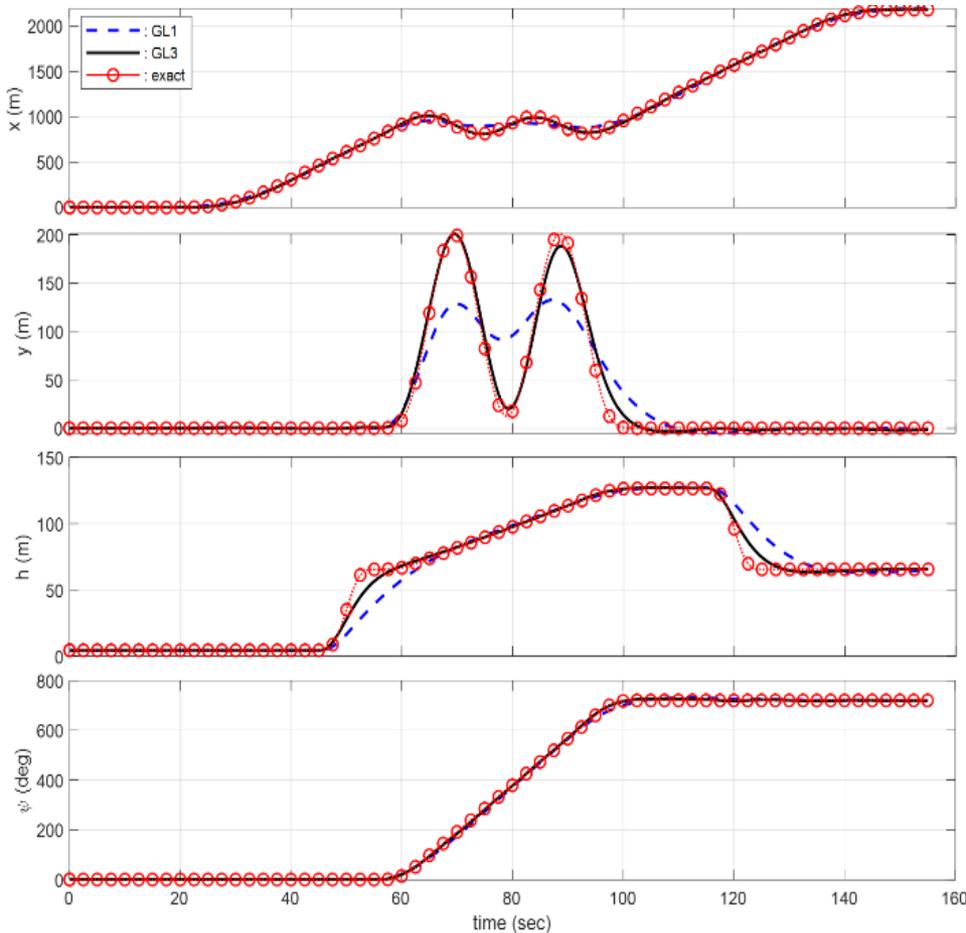
Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)



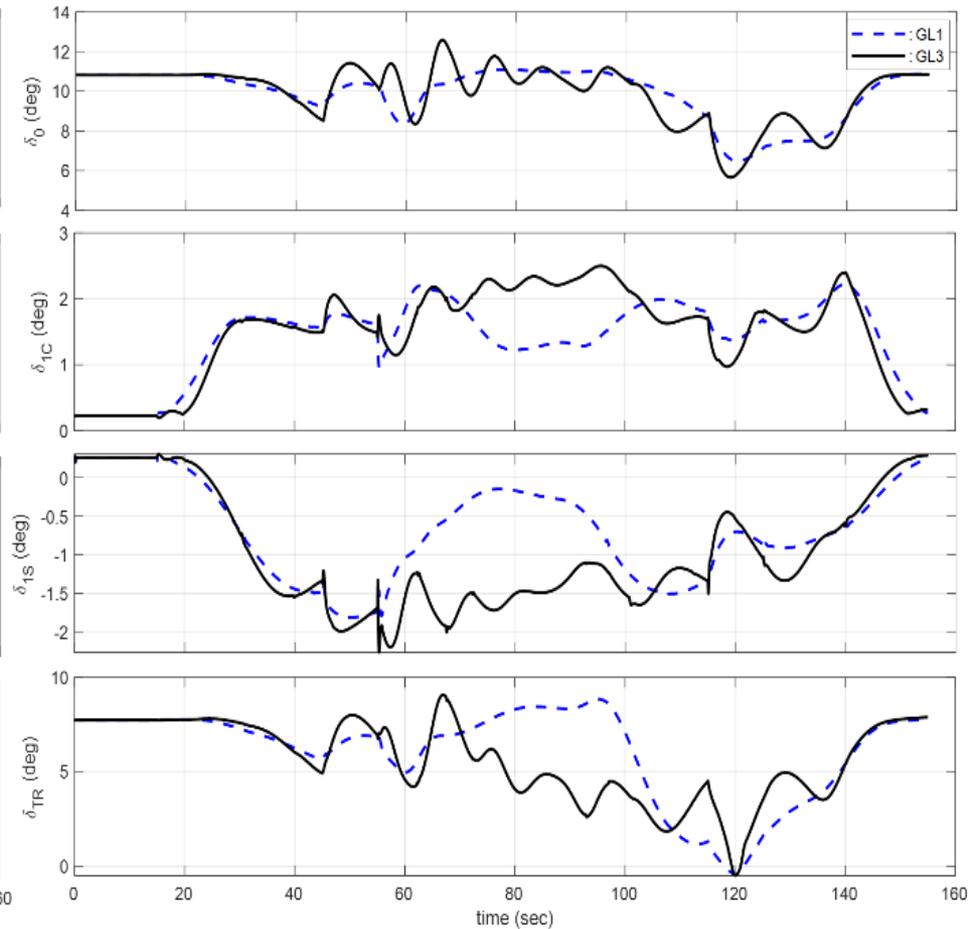
Effect of ahead time on trajectory-tracking accuracy (Upper:GL1, Lower:GL3)

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)



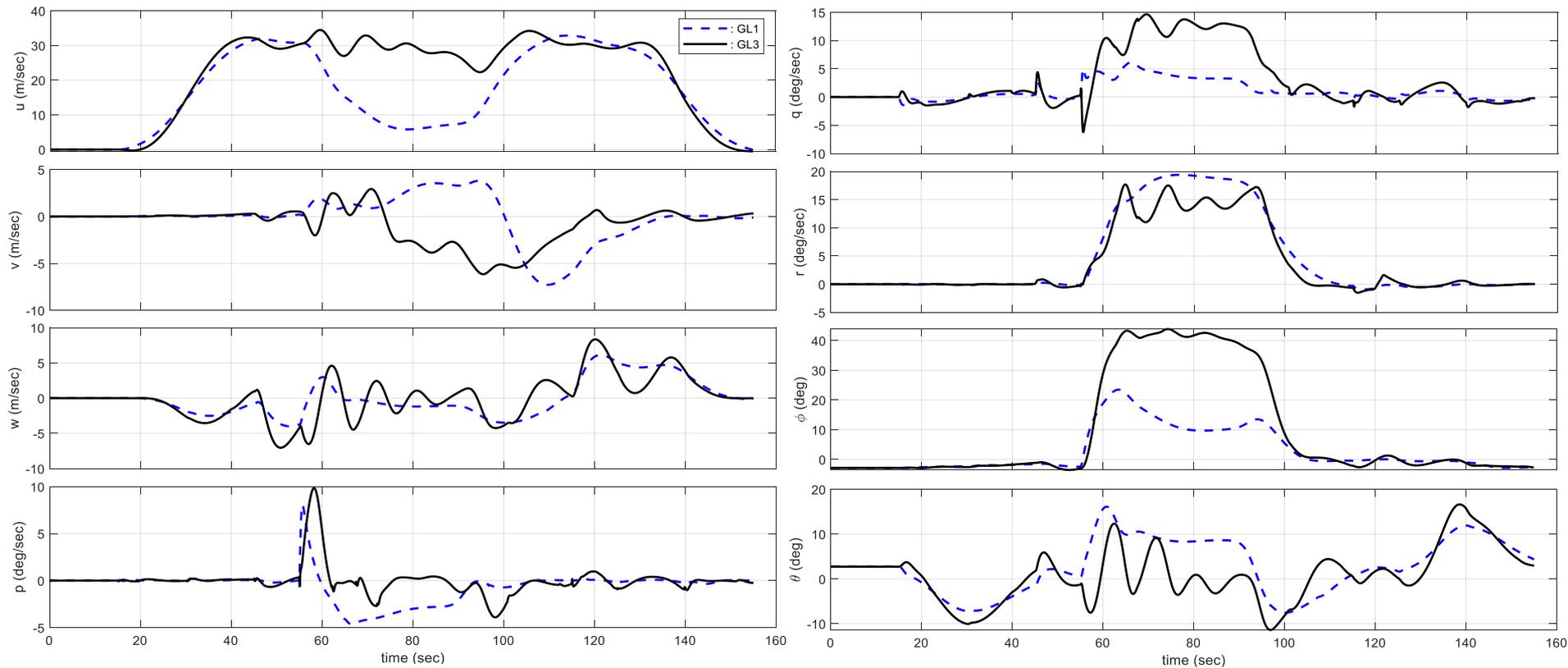
Comparison of trajectory tracking accuracy



Time history of control inputs with $\Delta t = 9.0$ (sec)

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)



Aircraft states computed with $\Delta t = 9.0(\text{sec})$

1 Initial Motivation for Autonomous FCS Research

2 First-Stage Activities in Autonomous FCS Research

3 Recent Progress in Autonomous FCS Research

Development of IBS Trajectory-Tracking Control

Integration of Path-Planning, Flyable Trajectory Generation, and Trajectory Tracking Control

4 Summary of Part 2

Recent Publications

Development of Lyapunov-based Nonlinear Trajectory-Tracking Controller (Back-Stepping / Sliding-Mode Control Design)

- Chang-Joo Kim, et al., “Adaptive Trajectory Tracking Control for Rotorcraft Using Incremental Backstepping Sliding Mode Control Strategy,” *International Journal of Aerospace Engineering* 2021:1-15, July 2021.
- Chang-Joo Kim, et al., “Efficient Gain Parameter Selection Approach for Sliding Mode Control with Application to Rotorcraft Trajectory Tracking Control Design,” *The Proceedings of the 2021 Asia-Pacific International Symposium on Aerospace Technology (APISAT 2021)*, Volume 2, September 2022
- Chang-Joo Kim, et al., “Robust Trajectory-Tracking Control of a Rotorcraft Using Immersion-and-Invariance-Based Adaptive Backstepping Control,” *International Journal of Aerospace Engineering* 2022(3):1-16, July 2022.

Development of Nonlinear Trajectory-Tracking Controller using Incremental Dynamics (Incremental Back-Stepping / Sliding-Mode Control Design)

- Chang-Joo Kim, et al., “Guidance and control for autonomous emergency landing of the rotorcraft using the incremental backstepping controller in 3-dimensional terrain environments,” *Aerospace Science and Technology* 132:108051, 2022.
- Chang-Joo Kim, et al., “Robust Prediction of Angular Acceleration for Practical Realization of Incremental Nonlinear Trajectory-tracking Control for Aircrafts,” *International Journal of Control Automation and Systems* 20(4):1250-1265, April 2022.
- Chang-Joo Kim, et al., “A Trajectory-Tracking Controller Design of Rotorcraft Using an Adaptive Incremental-Backstepping Approach,” *Aerospace* 8(9):248, September 2021.

Recent Publications

Integration of Path-Planning, Flyable Trajectory Generation, and Trajectory-Tracking Control for Mission Autonomy

- Chang-Joo Kim, et al., “A Study on Path Planning Using Bi-Directional PQ-RRT* Algorithm and Trajectory Tracking Technique Using Incremental Backstepping Control, “The Proceedings of the 2021 Asia-Pacific International Symposium on Aerospace Technology (APISAT 2021), Volume 2, September 2022
- Chang-Joo Kim, et al., “ A Study on Integration of Guidance System Using Real-Time PQ-RRT* Algorithm and a Trajectory Tracking Controller, “ Journal of Institute of Control Robotics and Systems 28(1):75-85, January 2022.
- Chang-Joo Kim, et al., “ An Approach to Air-to-Surface Mission Planner on 3D Environments for an Unmanned Combat Aerial Vehicle,” Drones 6(1):20, January 2022
- Chang-Joo Kim, et al., “**Integration of path planning, trajectory generation and trajectory tracking control for aircraft mission autonomy,**” Aerospace Science and Technology 118(1):107014, August 2021

The Presentation will mainly focus on Incremental Back-Stepping Control (IBSC) design for brevity.

Some of Claims based on Experiences

- Mission Autonomy can be effectively achieved using Trajectory-Tracking Control.

Trajectory-following control : control in 3-D space (time independent)

$$\mathbf{p} = (x, y, z)^T$$

Trajectory-tracking control : control in 4-D space (exact timing is critical)

$$\mathbf{p} = (x, y, z, t)^T$$

- Flight Dynamic Model represented in the Inertial Frame is more convenient than the traditional form of Euler Equations, since desirable trajectories for Mission Autonomy are typically prescribed by the position and heading angle.

$$\mathbf{p}_d = (x, y, z, \psi, t)^T$$

Euler Equations

$$\begin{aligned} \dot{\mathbf{v}} &= \mathbf{f} / m - \boldsymbol{\omega} \times \mathbf{v} \\ \dot{\boldsymbol{\omega}} &= \mathbf{J}^{-1} \{ \mathbf{m} - \boldsymbol{\omega} \times (\mathbf{J}\boldsymbol{\omega}) \} \end{aligned} \quad \mathbf{v} = \begin{pmatrix} u \\ v \\ w \end{pmatrix}, \quad \boldsymbol{\omega} = \begin{pmatrix} p \\ q \\ r \end{pmatrix}, \quad \boldsymbol{\phi} = \begin{pmatrix} \phi \\ \theta \\ \psi \end{pmatrix}, \quad \mathbf{r} = \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

Motion Equations using inertial states

$$\begin{aligned} \ddot{\mathbf{r}} &= \mathbf{C}^{-1} \{ \mathbf{f} / m - (\mathbf{T}\dot{\boldsymbol{\phi}}) \times (\mathbf{C}\dot{\mathbf{r}}) - \dot{\mathbf{C}}\dot{\mathbf{r}} \} \\ \ddot{\boldsymbol{\phi}} &= \mathbf{T}^{-1} \left[\mathbf{J}^{-1} \{ \mathbf{m} - (\mathbf{T}\dot{\boldsymbol{\phi}}) \times (\mathbf{J}\mathbf{T}\dot{\boldsymbol{\phi}}) \} - \dot{\mathbf{T}}\dot{\boldsymbol{\phi}} \right] \end{aligned} \quad \text{Using} \quad \begin{aligned} \boldsymbol{\omega} &= \mathbf{T}\dot{\boldsymbol{\phi}} \\ \mathbf{v} &= \mathbf{C}\dot{\mathbf{r}} \end{aligned} \quad \begin{aligned} \dot{\boldsymbol{\omega}} &= \dot{\mathbf{T}}\dot{\boldsymbol{\phi}} + \mathbf{T}\ddot{\boldsymbol{\phi}} \\ \dot{\mathbf{v}} &= \dot{\mathbf{C}}\dot{\mathbf{r}} + \mathbf{C}\ddot{\mathbf{r}} \end{aligned}$$

Some of Claims based on Experiences

- **Incremental Flight Dynamics are much more effective for real applications than Full Nonlinear Dynamics.**
 - It allows controller scheduling only with the control effectiveness matrix
 - The mismatched uncertainty can be removed
 - Adaptive control elements can be straightforwardly adopted

Nonlinear Dynamics

$$\ddot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \dot{\mathbf{x}}) + \mathbf{G}(\mathbf{x}, \dot{\mathbf{x}})\mathbf{u} + \mathbf{d}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u})$$

Nonlinear Dynamics at t_0

$$\ddot{\mathbf{x}}_0 = \mathbf{f}(\mathbf{x}_0, \dot{\mathbf{x}}_0) + \mathbf{G}(\mathbf{x}_0, \dot{\mathbf{x}}_0)\mathbf{u}_0 + \mathbf{d}_0(\mathbf{x}_0, \dot{\mathbf{x}}_0, \mathbf{u}_0)$$

Nonlinear Dynamics at $t_0 + \Delta t$

$$\begin{aligned} \ddot{\mathbf{x}} &\approx (\mathbf{f}_0 + \mathbf{G}_0\mathbf{u}_0 + \mathbf{d}_0) + \mathbf{G}_0\Delta\mathbf{u} + \frac{\partial\mathbf{d}}{\partial\mathbf{u}}\Delta\mathbf{u} \\ &+ \left(\frac{\partial\mathbf{f}_0}{\partial\mathbf{x}}\Delta\mathbf{x} + \frac{\partial\mathbf{f}_0}{\partial\dot{\mathbf{x}}}\Delta\dot{\mathbf{x}} + \frac{\partial\mathbf{d}}{\partial\mathbf{x}}\Delta\mathbf{x} + \frac{\partial\mathbf{d}}{\partial\dot{\mathbf{x}}}\Delta\dot{\mathbf{x}} \right) \\ &\approx \ddot{\mathbf{x}}_0 + \left(\mathbf{G}_0 + \frac{\partial\mathbf{d}}{\partial\mathbf{u}} \right) \Delta\mathbf{u} \end{aligned}$$

Incremental Dynamics at $t_0 + \Delta t$

$$\ddot{\mathbf{x}} \approx \ddot{\mathbf{x}}_0 + \left(\mathbf{G}_0 + \frac{\partial\mathbf{d}}{\partial\mathbf{u}} \right) \Delta\mathbf{u}$$

Measured or Estimated linear and angular acceleration data are used
(You can refer to [Ref 1])

Some of Claims based on Experiences

- Lyapunov-Based Control Design coupled with Incremental Dynamics is easily Certifiable by using Deterministic control effective matrices. \mathbf{G}_0

$$\ddot{\mathbf{x}} \approx \ddot{\mathbf{x}}_0 + \left(\mathbf{G}_0 + \frac{\partial \mathbf{d}}{\partial \mathbf{u}} \right) \Delta \mathbf{u}$$

- Slack Variables Approach to System Dynamics is extremely effective to get the non-singular square control effective matrices required for the model inversion. \mathbf{G}

$$\ddot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \dot{\mathbf{x}}, \mathbf{u}_p) + \mathbf{G}(\mathbf{x}, \dot{\mathbf{x}})\mathbf{u} + \xi + \mathbf{d} \quad \text{Disturbance}$$

$$\mathbf{G} = \begin{pmatrix} \bar{\mathbf{G}} & \mathbf{G}_s \end{pmatrix}, \quad \xi = -\mathbf{G}_s \mathbf{u}_s$$

Slack variable

$$\mathbf{x} = \begin{pmatrix} x \\ y \\ z \\ \psi \\ \phi \\ \theta \end{pmatrix}, \quad \mathbf{u}_p = \begin{pmatrix} \delta_0 \\ \delta_{1c} \\ \delta_{1s} \\ \delta_{TR} \end{pmatrix}, \quad \mathbf{u} = \begin{pmatrix} \mathbf{u}_p \\ \mathbf{u}_s \end{pmatrix}, \quad \mathbf{G}_s = \begin{pmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 1 \\ 1 & 0 \end{pmatrix}$$

Thus, the fully actuated system dynamics are easily obtained using slack variables.

- SAS-type functions are working well for the trajectory-tracking IBSC

$$\begin{aligned} \phi_d &= \phi_{trim}, & \dot{\phi}_d &= \ddot{\phi}_d = 0 \\ \theta_d &= \theta_{trim}, & \dot{\theta}_d &= \ddot{\theta}_d = 0 \end{aligned}$$

Thus, the prescription of trajectories for pitch and bank angles are not mandatory.

Design of IBS Trajectory-Tracking Controller

Incremental Dynamics

$$\ddot{\mathbf{x}} = \ddot{\mathbf{x}}_0 + \mathbf{G}\Delta\mathbf{u} + \Delta\xi$$

Tracking Error Dynamics

$$\mathbf{z}_1 = \mathbf{x} - \mathbf{x}_d$$

$$\mathbf{z}_2 = \dot{\mathbf{x}} - \dot{\boldsymbol{\alpha}} \quad \text{Virtual Control}$$

$$\dot{\mathbf{z}}_1 = \mathbf{z}_2 + \boldsymbol{\alpha} - \dot{\mathbf{x}}_d$$

$$\dot{\mathbf{z}}_2 = \ddot{\mathbf{x}}_0 + \mathbf{G}\Delta\mathbf{u} + \Delta\xi - \ddot{\boldsymbol{\alpha}}$$

Control Lyapunov Function (CLF)

$$V = \frac{1}{2}\mathbf{z}_1^T \mathbf{Q}^{-1}\mathbf{z}_1 + \frac{1}{2}\mathbf{z}_2^T \mathbf{z}_2 + \frac{1}{2}\Delta\xi^T \boldsymbol{\Lambda}_\xi^{-1}\Delta\xi$$

$$\left(\begin{array}{l} \mathbf{Q} = \text{diag}(q_j)_{j=1}^{j=6} > 0 \\ \boldsymbol{\Lambda}_\xi = \text{diag}(\lambda_{\xi_j})_{j=1}^{j=6} > 0 \end{array} \right)$$

Weight Matrices for CLF

Lyapunov Stability Conditions

$$\begin{aligned} \dot{V} &= \mathbf{z}_1^T \mathbf{Q}^{-1}\dot{\mathbf{z}}_1 + \mathbf{z}_2^T \dot{\mathbf{z}}_2 + \Delta\xi^T \boldsymbol{\Lambda}_\xi^{-1}\dot{\Delta\xi} \\ &= \mathbf{z}_1^T \mathbf{Q}^{-1}(\boldsymbol{\alpha} - \dot{\mathbf{x}}_d) + \mathbf{z}_2^T (\mathbf{Q}^T \mathbf{z}_1 + \ddot{\mathbf{x}}_0 + \mathbf{G}\Delta\mathbf{u} - \ddot{\boldsymbol{\alpha}}) \\ &\quad + \Delta\xi^T (\boldsymbol{\Lambda}_\xi^{-1}\dot{\Delta\xi} + \mathbf{z}_2) \leq 0 \end{aligned}$$

Control Laws and Update Laws

$$-\mathbf{K}_1 \mathbf{z}_1 = \mathbf{Q}^{-1}(\boldsymbol{\alpha} - \dot{\mathbf{x}}_d) \rightarrow \boldsymbol{\alpha} = -\mathbf{Q}\mathbf{K}_1 \mathbf{z}_1 + \dot{\mathbf{x}}_d$$

$$\begin{aligned} -\mathbf{K}_2 \mathbf{z}_2 &= \mathbf{Q}^{-1}\mathbf{z}_1 + \ddot{\mathbf{x}}_0 + \mathbf{G}\Delta\mathbf{u} - \ddot{\boldsymbol{\alpha}} \\ &= \mathbf{Q}^{-1}\mathbf{z}_1 + \ddot{\mathbf{x}}_0 + \mathbf{G}\Delta\mathbf{u} + \mathbf{Q}\mathbf{K}_1 \dot{\mathbf{z}}_1 - \ddot{\mathbf{x}}_d \end{aligned}$$

$$\Delta\mathbf{u} = -\mathbf{G}^{-1} \left\{ (\mathbf{K}_2 + \mathbf{Q}\mathbf{K}_1)\dot{\mathbf{z}}_1 + (\mathbf{Q}^{-1} + \mathbf{K}_2\mathbf{Q}\mathbf{K}_1)\mathbf{z}_1 + \ddot{\mathbf{x}}_0 - \ddot{\mathbf{x}}_d \right\}$$

$$\mathbf{u} = \mathbf{u}_0 + \Delta\mathbf{u}$$

$$\dot{\Delta\xi} = -\boldsymbol{\Lambda}_\xi \mathbf{z}_2$$

$$\boldsymbol{\alpha} = -\mathbf{Q}\mathbf{K}_1 \mathbf{z}_1 + \dot{\mathbf{x}}_d$$

$$\left(\begin{array}{l} \mathbf{K}_1 = \text{diag}(k_{1j})_{j=1}^{j=6} > 0 \\ \mathbf{K}_2 = \text{diag}(k_{2j})_{j=1}^{j=6} > 0 \end{array} \right)$$

Gain Matrices IBSC

Design of IBS Trajectory-Tracking Controller

Error Dynamics with IBS Trajectory-Tracking Control

$$\ddot{\mathbf{z}}_1 = \ddot{\mathbf{x}}_0 + \mathbf{G}\Delta\mathbf{u} + \Delta\xi - \ddot{\mathbf{x}}_d = -(\mathbf{K}_2 + \mathbf{Q}\mathbf{K}_1)\dot{\mathbf{z}}_1 - (\mathbf{Q}^{-1} + \mathbf{K}_2\mathbf{Q}\mathbf{K}_1)\mathbf{z}_1 + \Delta\xi$$

$$\dot{\mathbf{z}}_1 + (\mathbf{K}_2 + \mathbf{Q}\mathbf{K}_1)\dot{\mathbf{z}}_1 + (\mathbf{Q}^{-1} + \mathbf{K}_2\mathbf{Q}\mathbf{K}_1)\mathbf{z}_1 = \Delta\xi$$

$$\ddot{z}_{1,j} + (k_{2,j} + q_j k_{1,j})\dot{z}_{1,j} + \left(k_{2,j} q_j k_{1,j} + \frac{1}{q_j} \right) z_{1,j} = \Delta\xi_j, \quad (j=1, 2, \dots, 6)$$

Desirable Error Dynamics and Gain Selections by specifying desirable Damping Ratio and Natural Frequency for each axis

$$k_{2j} + q_j k_{1j} = 2\zeta_j \omega_j$$

$$k_{2j} q_j k_{1j} + \frac{1}{q_j} = \omega_j^2$$

$$\frac{1}{\omega_j^2} \leq q_j \leq \frac{1}{(1-\zeta_j^2)\omega_j^2}$$

$$\zeta_j \in (0, 1]$$

$$k_{1j} = \frac{1}{q_j} \left(\zeta_j \omega_j \pm \sqrt{\frac{1}{q_j} - (1-\zeta_j^2)\omega_j^2} \right)$$

$$k_{2j} = \zeta_j \omega_j \mp \sqrt{\frac{1}{q_j} - (1-\zeta_j^2)\omega_j^2}$$

$$k_{1j} = \frac{\zeta_j \omega_j}{q_j}$$

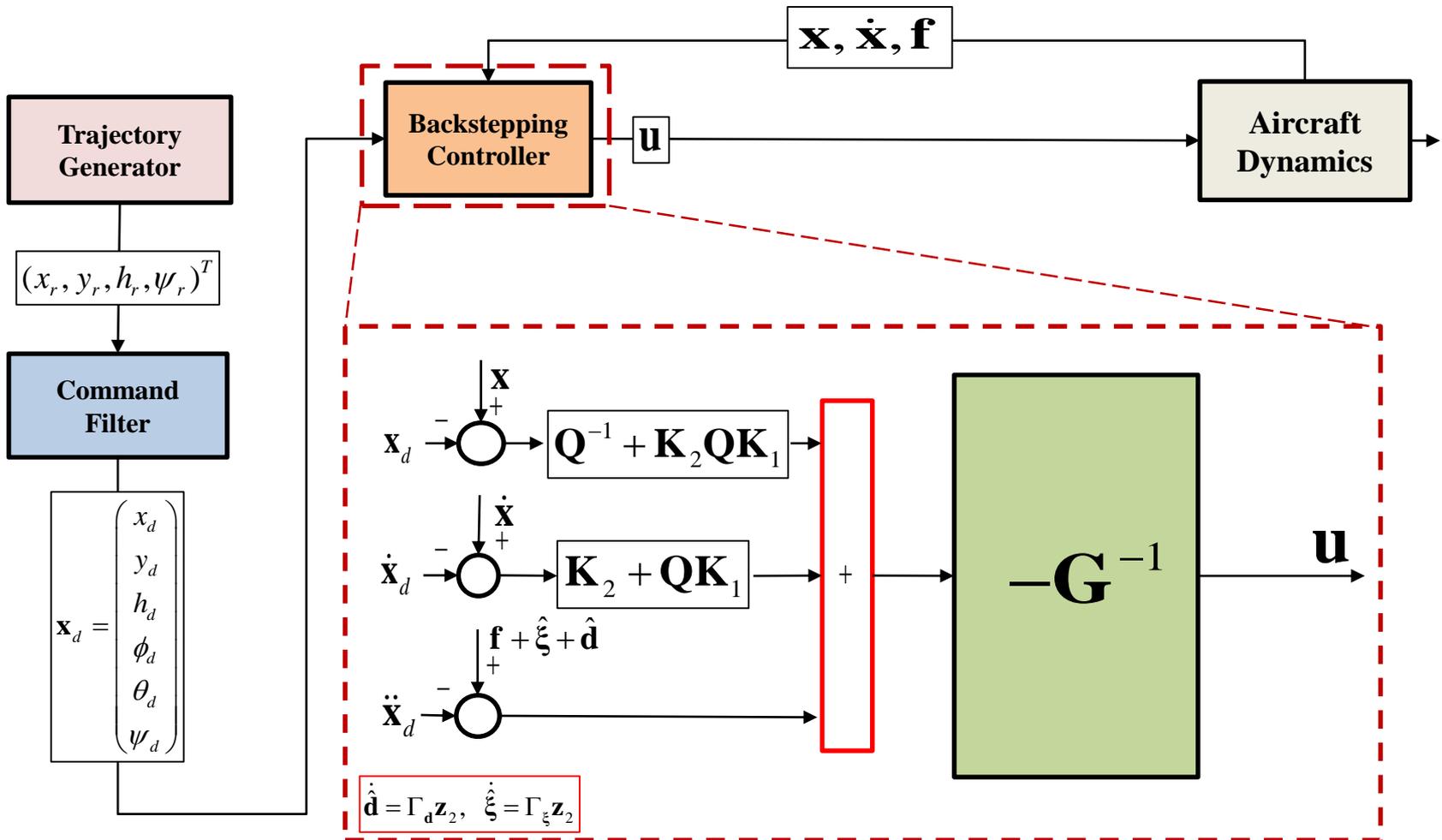
$$k_{2j} = \zeta_j \omega_j$$

$$q_j = \frac{1}{(1-\zeta_j^2)\omega_j^2}$$

As a result, rigorous design works for Gain Optimization can be removed.

Design of IBS Trajectory-Tracking Controller

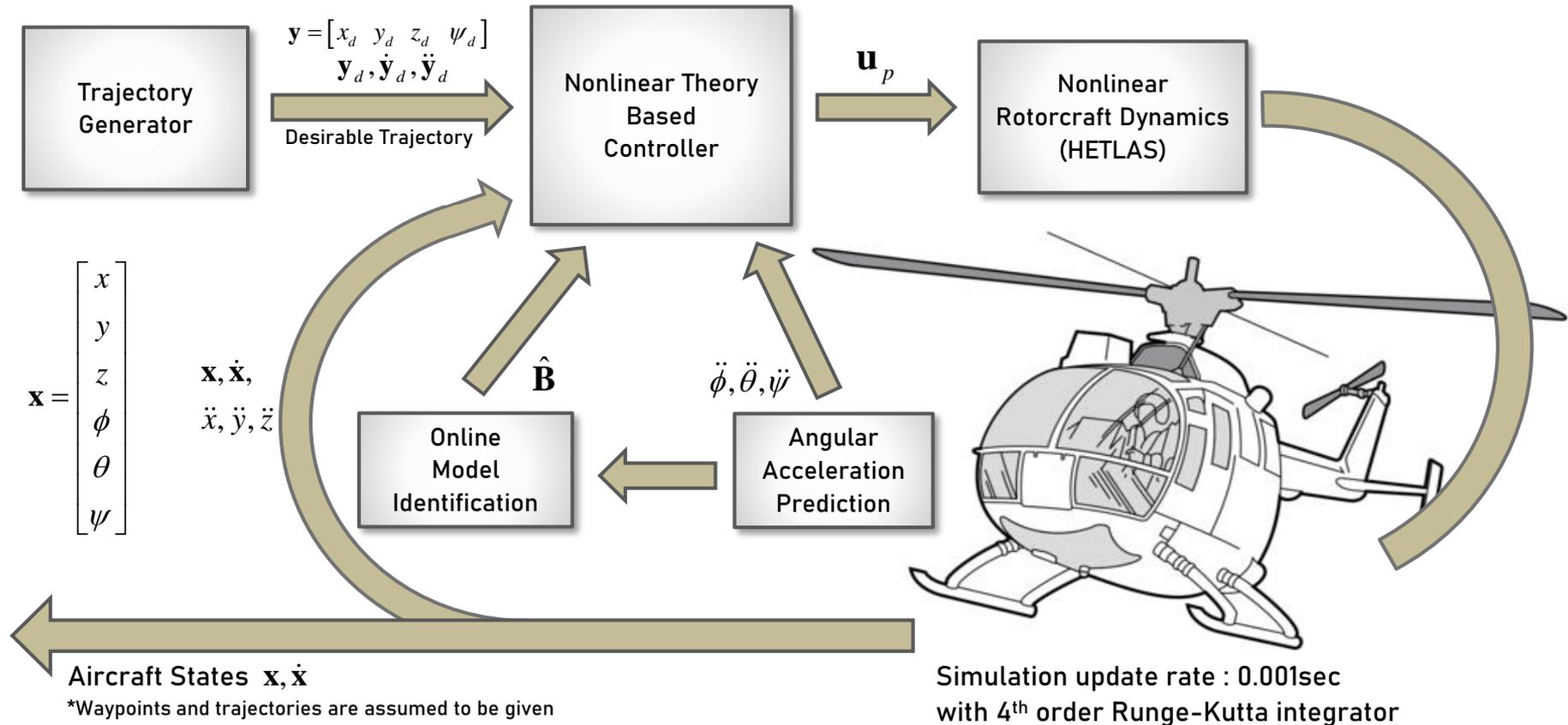
Schematics of Back-Stepping Controller with Command Filter



Design of IBS Trajectory-Tracking Controller

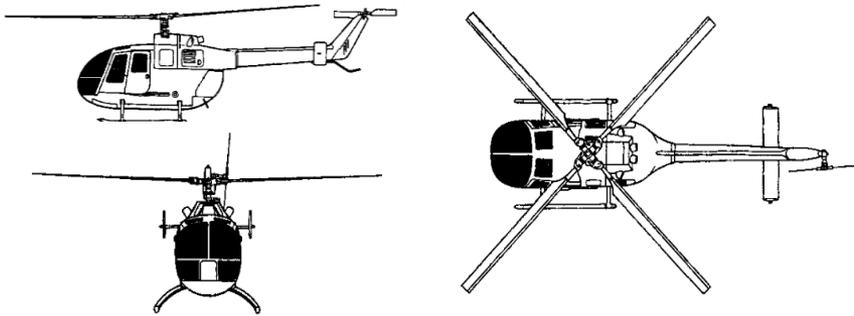
Simulation Environment for Flight-Control-Law Validation

Control update rate : 0.01sec



Validation of IBS Trajectory-Tracking Controller using Bo-105 Model

Bo-105 Helicopter



- Model Reference**

: Padfield, Gareth D, Helicopter flight dynamics: the theory and application of flying qualities and simulation modelling, John Wiley & Sons, 2008

Mass Properties

Helicopter Mass : 2200kg			
FIXX	1433.0 kg m ²	FIXY	0.0 kg m ²
FIYY	4973.0 kg m ²	FIYZ	0.0 kg m ²
FIZZ	4099.0 kg m ²	FIZX	660.0 kg m ²

Main Rotor Parameters

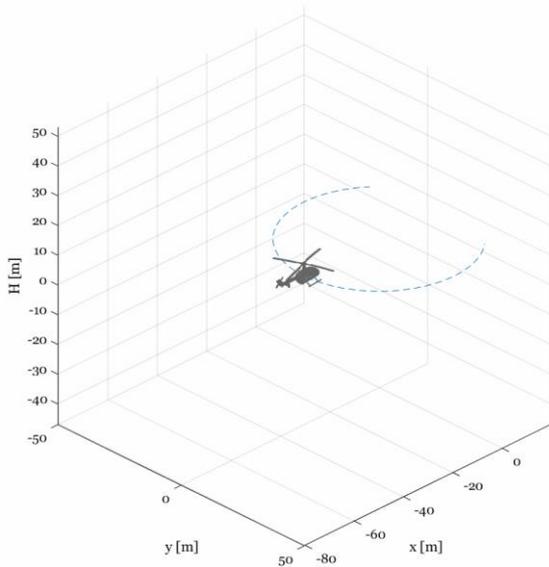
Number of Blades	4	Twist	-6.2deg
RPM	424 RPM	Lock number	5.087
Chord	0.27 m	Tilt angle	3.0deg
Radius	4.91 m	Flap hinge offset	0.746 m
lift curve slope	6.113	drag coefficient	0.0074
1st Flap moment of inertia	51.1 kg m	2nd Flap moment of inertia	231.7 kg m ²

Tail Rotor Parameters

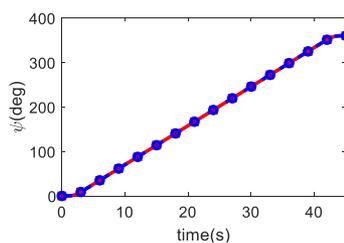
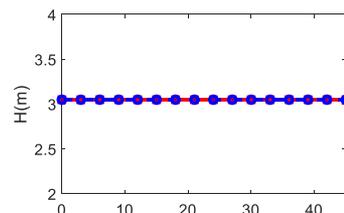
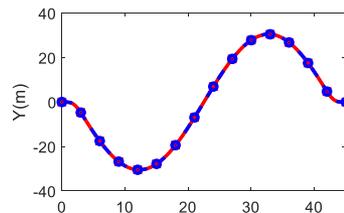
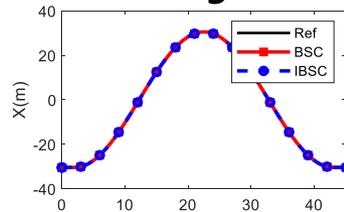
Number of Blades	2	mast height	1.72 m
RPM	233rad/sec	station	5.961m
Chord	0.179 m	Radius	0.95m
lift curve slope	4.91 m	drag coefficient	6.113
2nd Flap moment of inertia	1.06 kg m ²	1st Flap moment of inertia	0.64 kg m

Validation of IBS Trajectory-Tracking Controller using Bo-105 Model

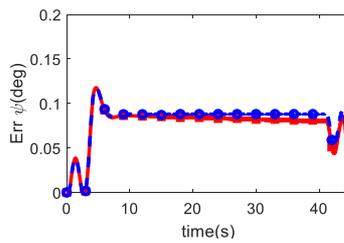
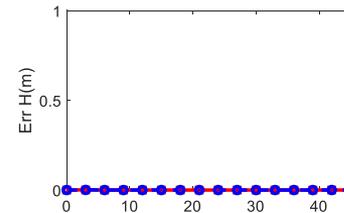
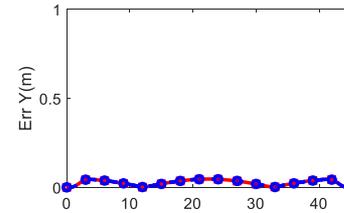
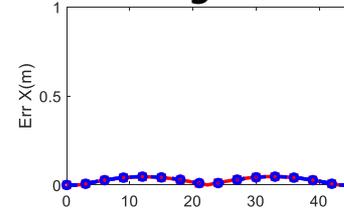
Trajectory-Tracking Control for Pirouette-Maneuver Course



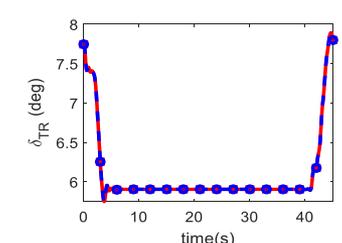
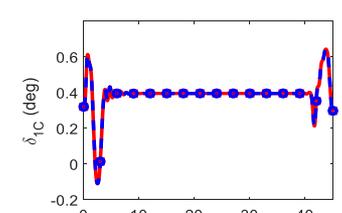
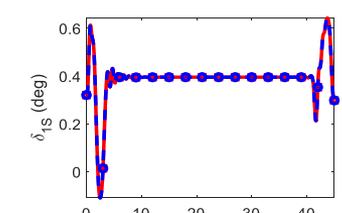
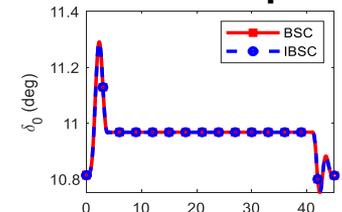
Tracking result



Tracking error

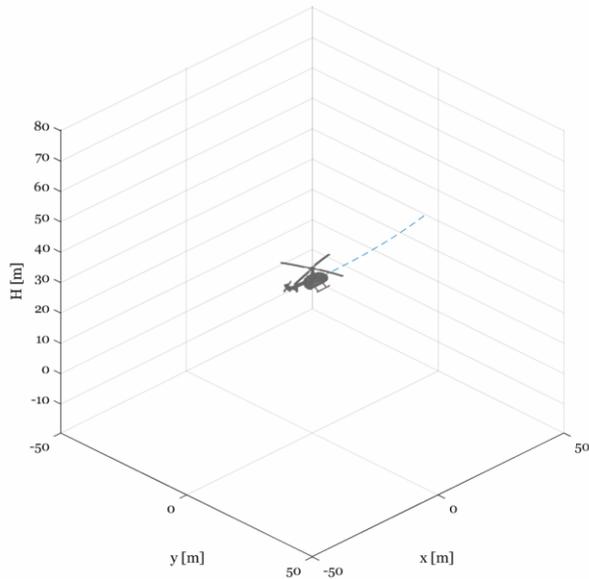


Control input

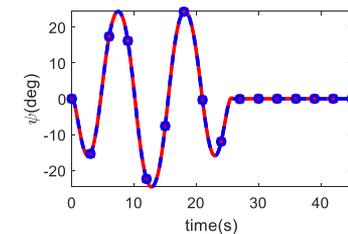
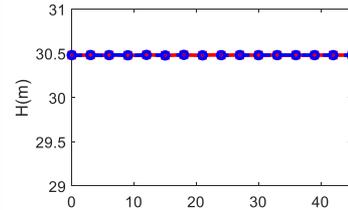
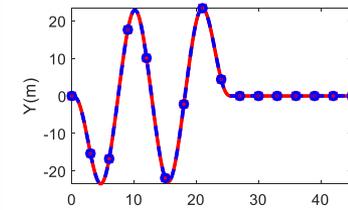
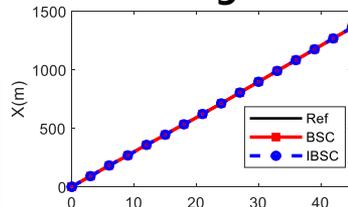


Validation of IBS Trajectory-Tracking Controller using Bo-105 Model

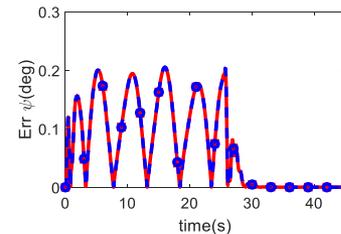
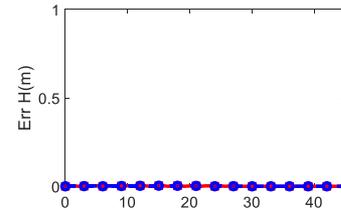
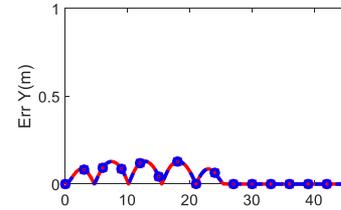
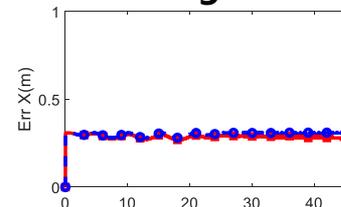
Trajectory-Tracking Control for Slalom-Maneuver Course



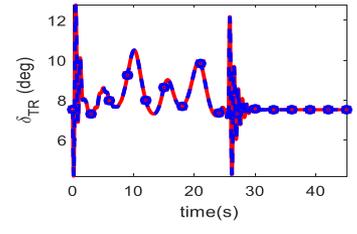
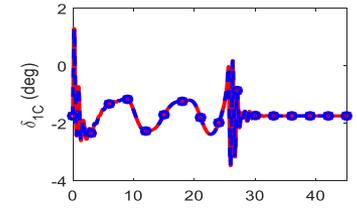
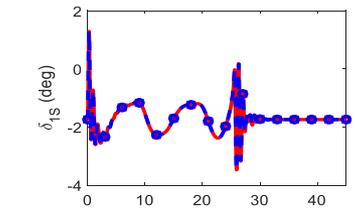
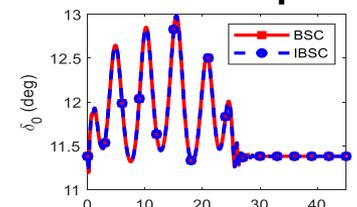
Tracking result



Tracking error

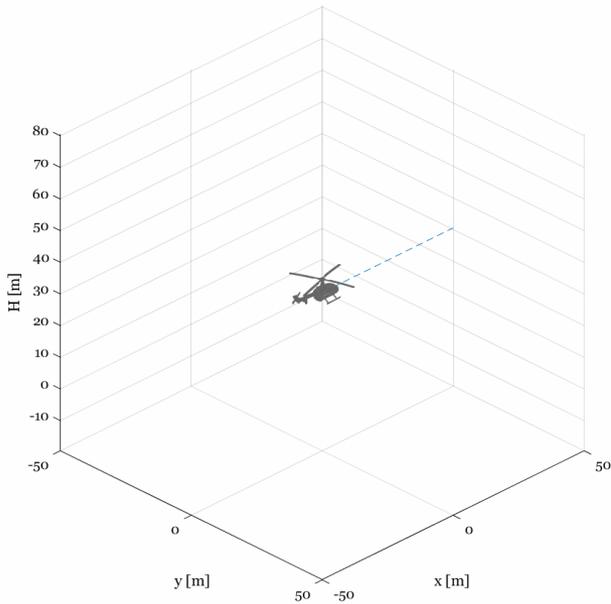


Control input

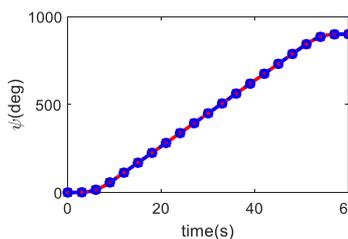
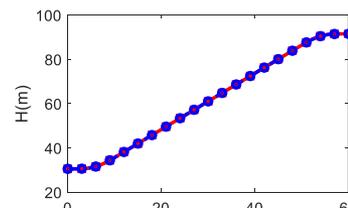
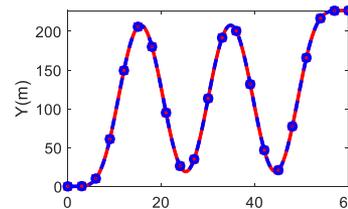
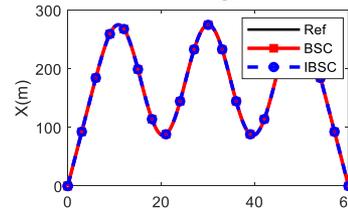


Validation of IBS Trajectory-Tracking Controller using Bo-105 Model

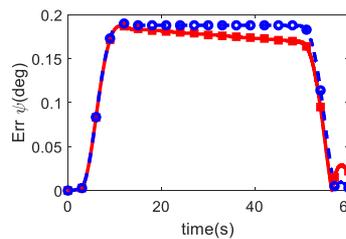
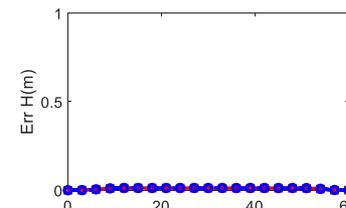
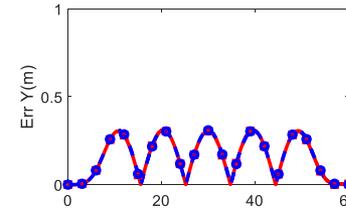
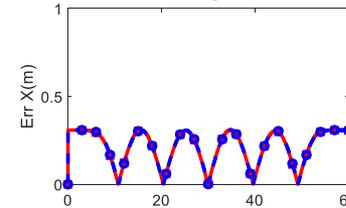
Trajectory-Tracking Control for Transient-Turn-Maneuver Course



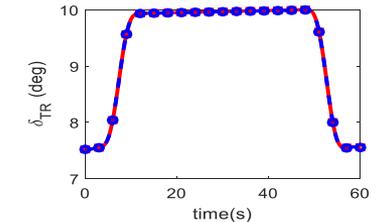
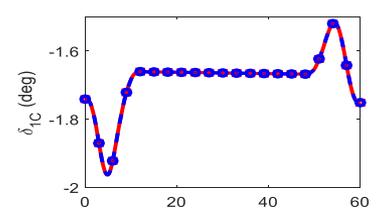
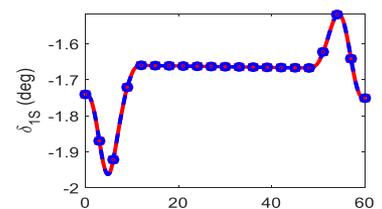
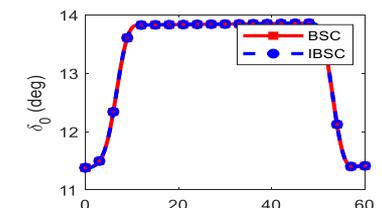
Tracking result



Tracking error



Control input



1 Initial Motivation for Autonomous FCS Research

2 First-Stage Activities in Autonomous FCS Research

3 Recent Progress in Autonomous FCS Research

Development of IBS Trajectory-Tracking Control

Integration of Path-Planning, Flyable Trajectory Generation, and Trajectory Tracking Control

4 Summary of Part 2

Combined Maneuver Case

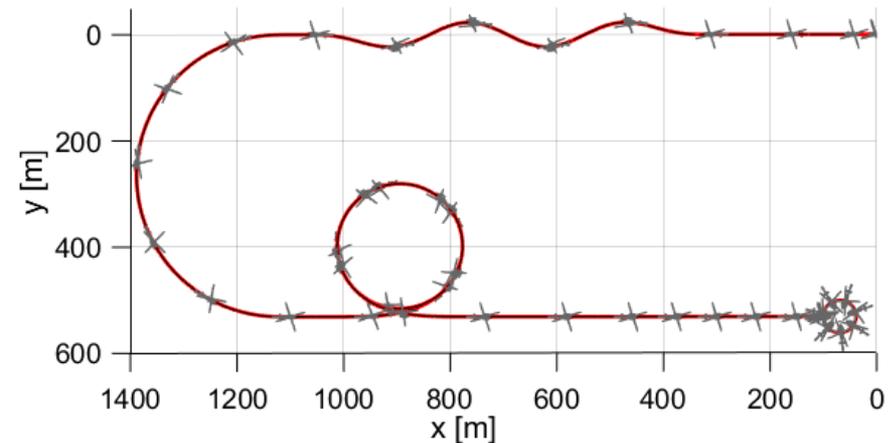
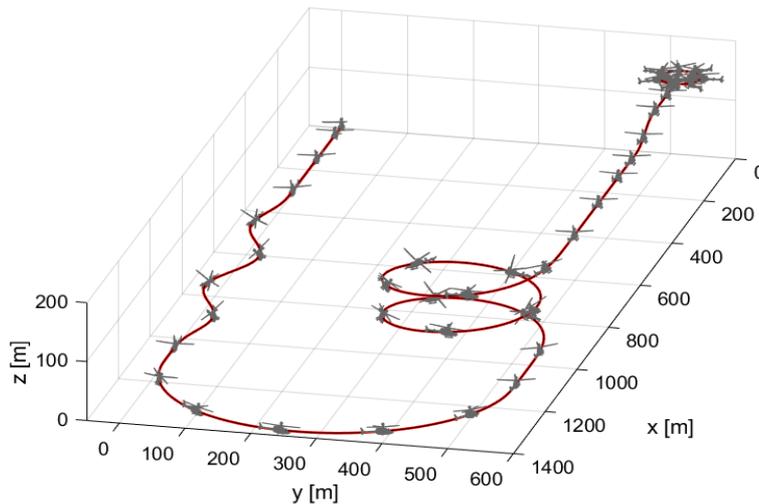
Sequence of Maneuvers

Maneuvers	Length (sec)	Velocity range (kts)	Notes
Initial Condition	0	Hover	Initial Height: 100 ft
Acceleration	0 ~ 20	0 to 60	/
Slalom	20 ~ 45	60	/
Transient Turn	45 ~ 75	60	180 deg turn
Helical Turn	75 ~ 135	60	720 deg turn
Deceleration	135 ~ 150	60 ~ 30	/
Pop up	150 ~ 160	30	100ft ascent
Deceleration	160 ~ 175	30 ~ 0	/
Pirouette	175 ~ 220	0	Radius: 100 ft

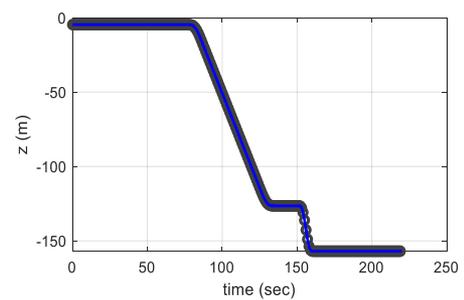
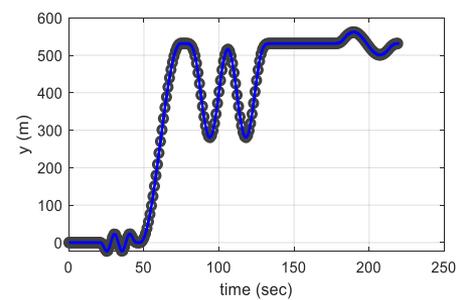
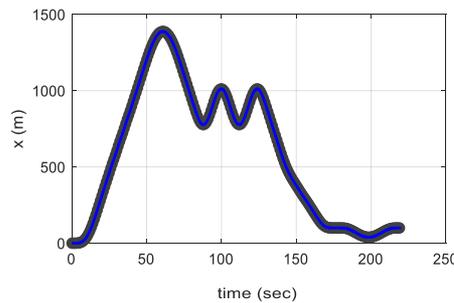
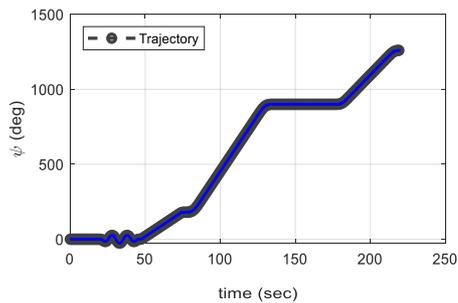
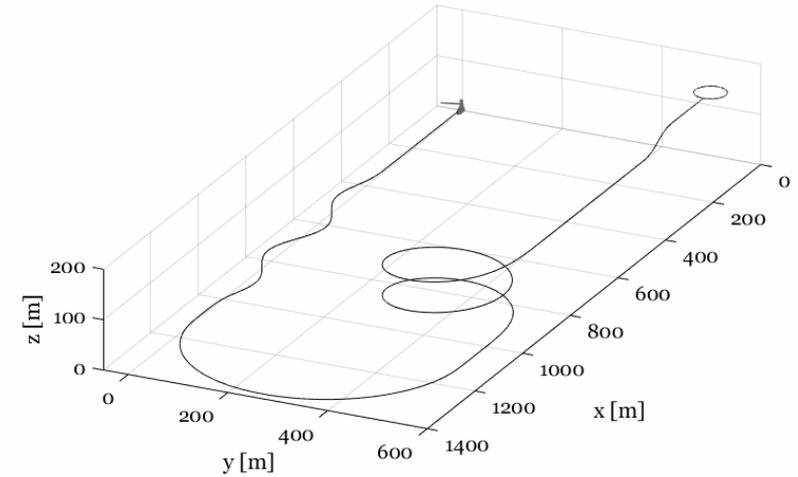
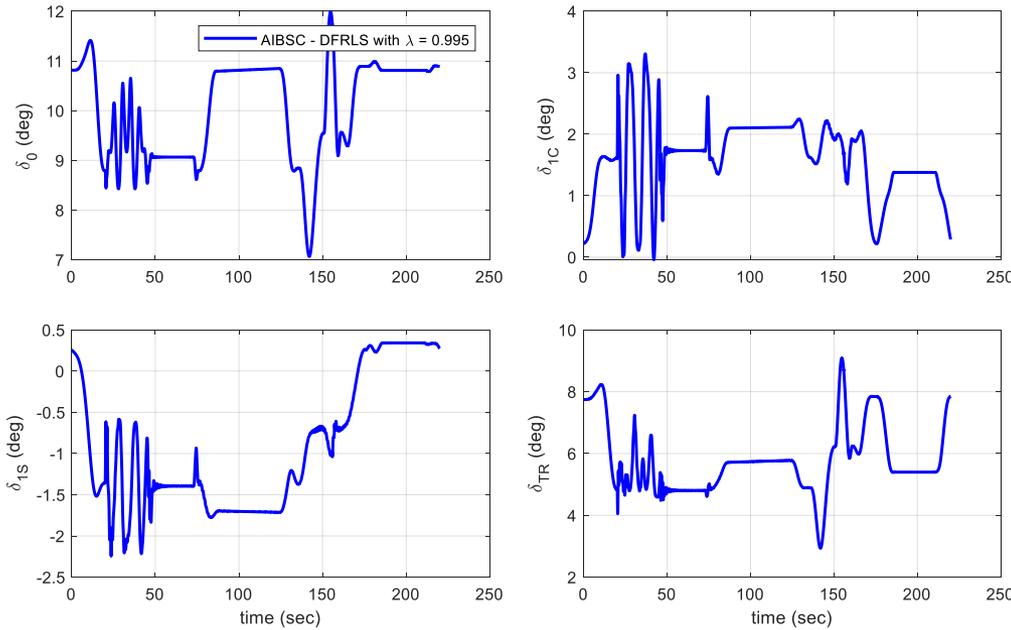
Adaptive IBSC with Least-Squares parameter estimation with direction forgetting

Simulation time step : 0.001sec

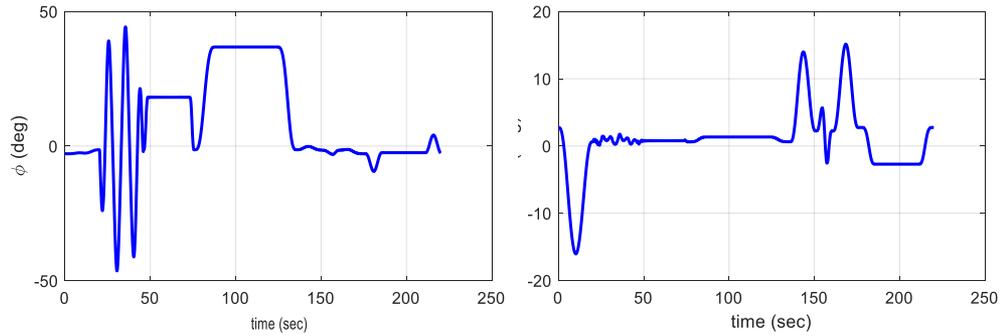
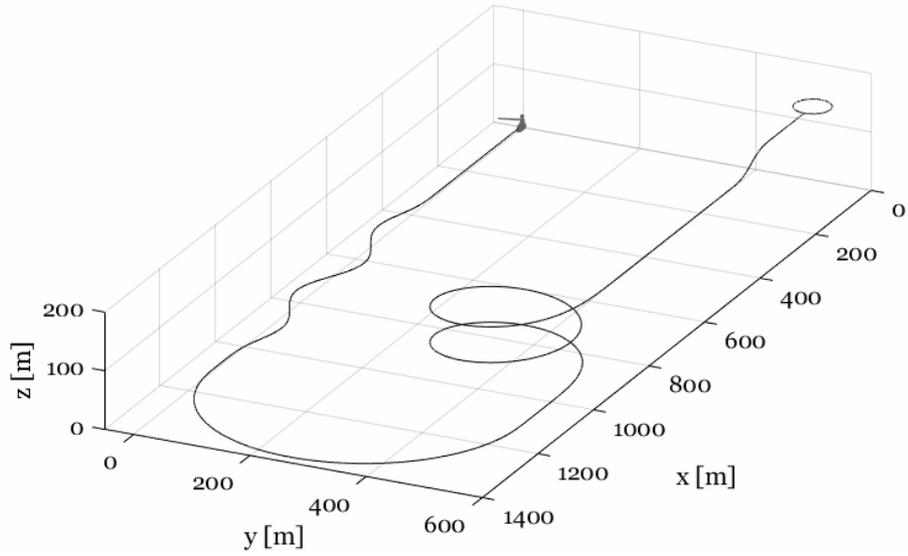
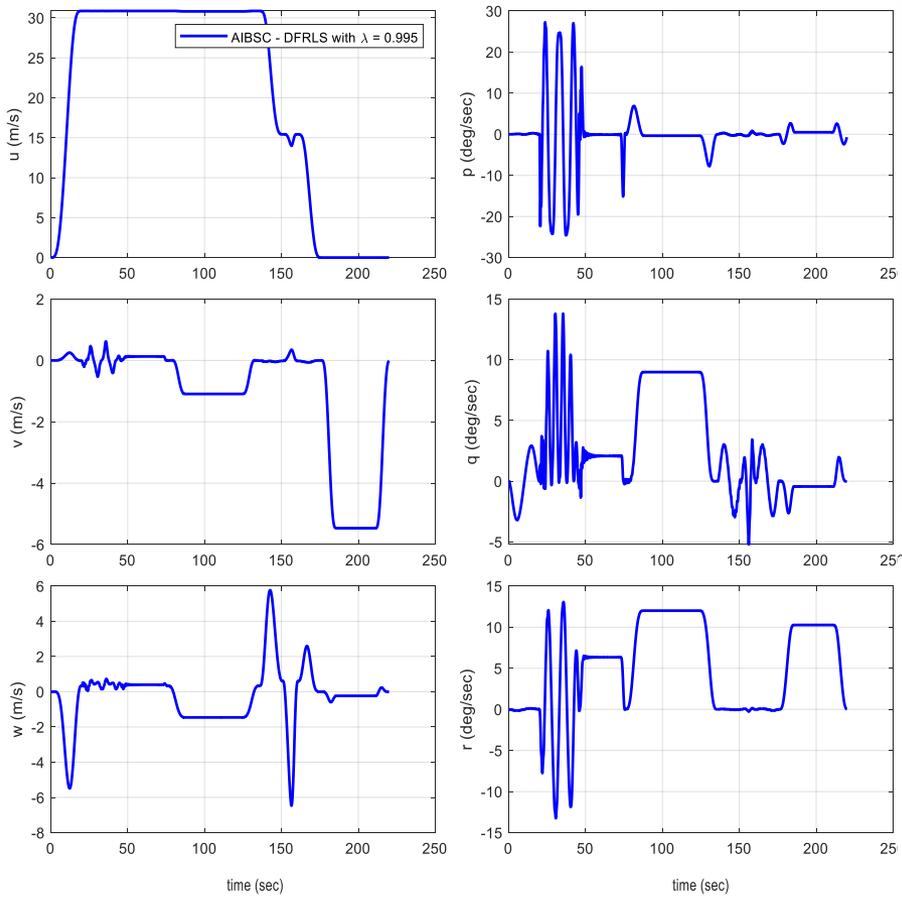
Control update rate : 0.01sec



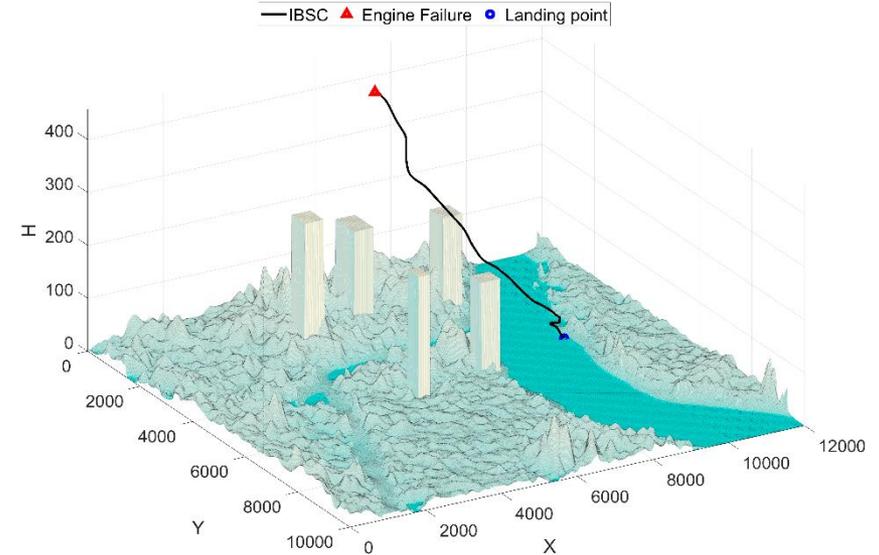
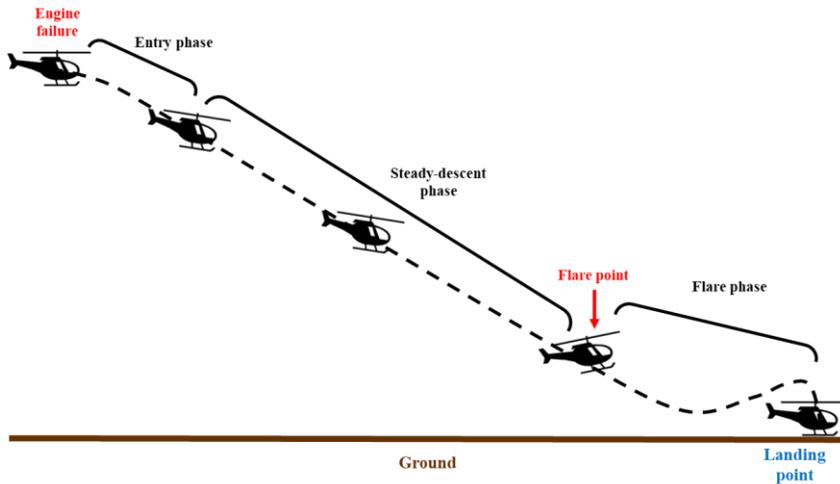
Combined Maneuver Case: Control inputs and Trajectory States



Combined Maneuver Case: Rigid-body States



Autonomous Landing after One Engine Inoperative (OEI) Condition

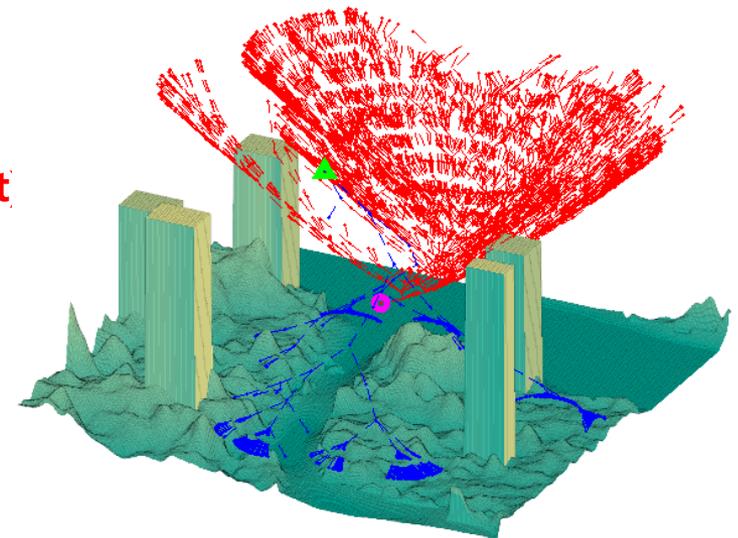


Path Planning

- Entry/Exit Phase: using NOCP solution
- Steady Decent Phase: Bi-directional RRT
(from Entry final point to Flare initiation point)

Trajectory Generation using Spline Interpolation

Trajectory-Tracking using IBSC



Autonomous Landing after One Engine Inoperative (OEI) Condition

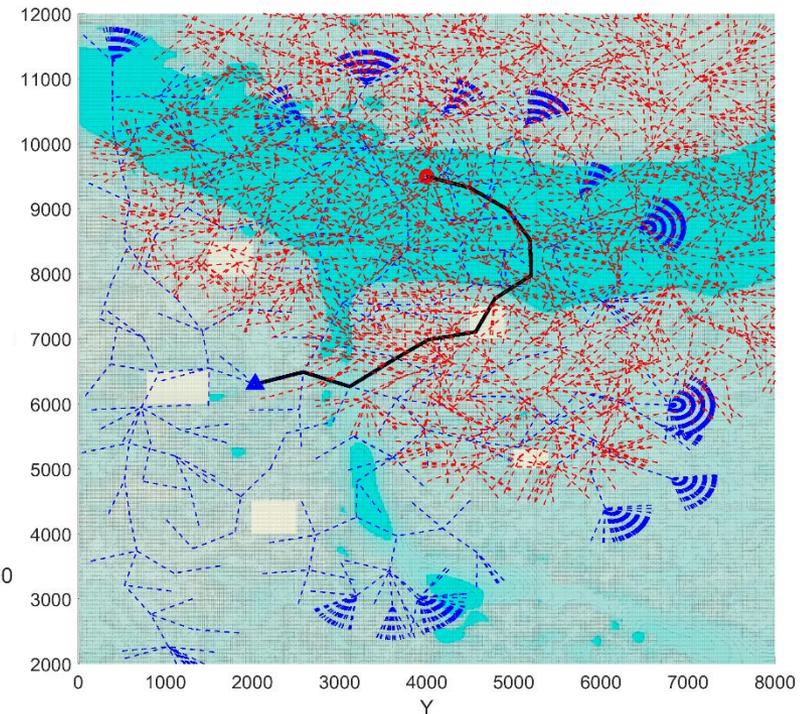
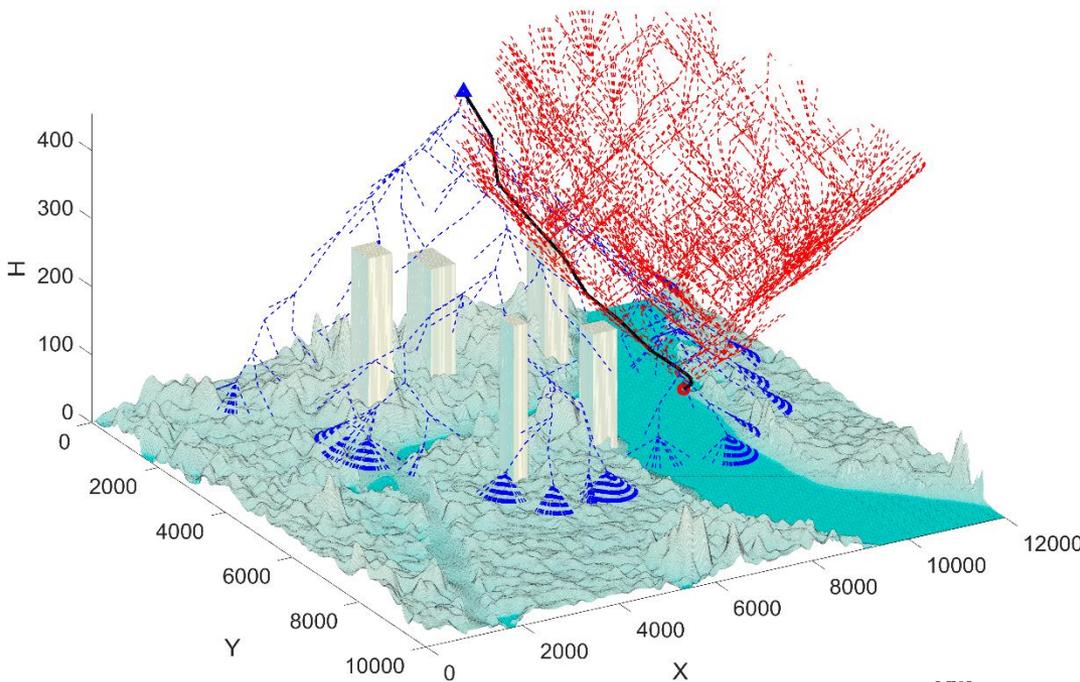
Path Planning Conditions

	Conditions
Engine failure location	$x = 6200m, y = 2000m, z = 1500ft$
Flare initial point	$x = 9500m, y = 4000m, z = 100ft$
Entry trajectory	$h_{e,in} = 1500ft, V_{e,in} = 40knot, \dot{z}_{e,f} = 2m/s$
Flare trajectory	$h_{f,in} = 100ft, V_{e,in} = 40knot$
Steady-Descent trajectory	Node Generation(n) = 11

Path Planning for Steady Descent Phase : Bi-directional RRT with steady descent rate

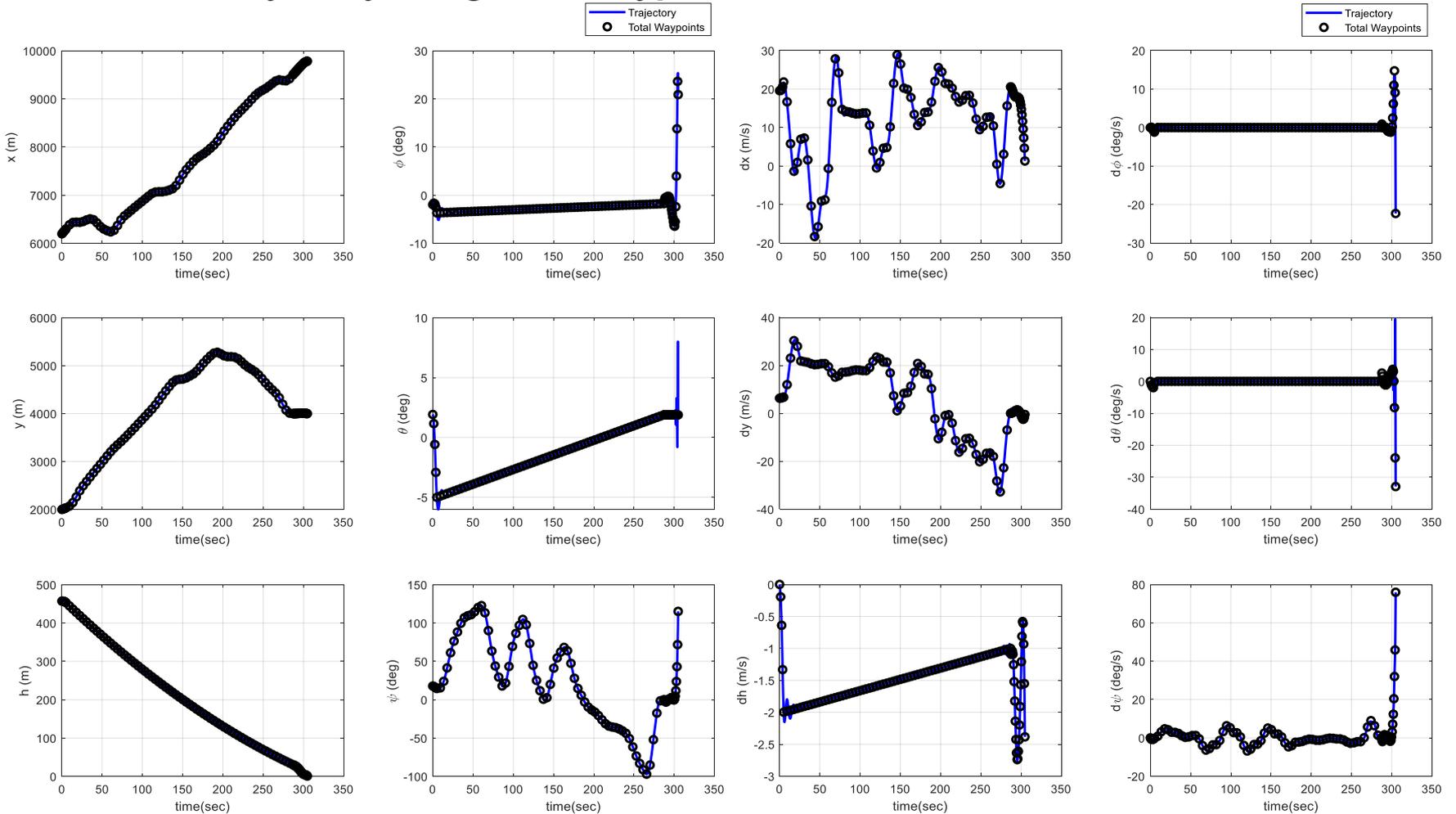
--- Tree1 --- Tree2 — Trajectory ▲ Entry final ● Flare initial

--- Tree1 --- Tree2 — Trajectory ▲ Entry final ● Flare initial



Autonomous Landing after One Engine Inoperative (OEI) Condition

Generated Trajectory using total waypoint data



Position

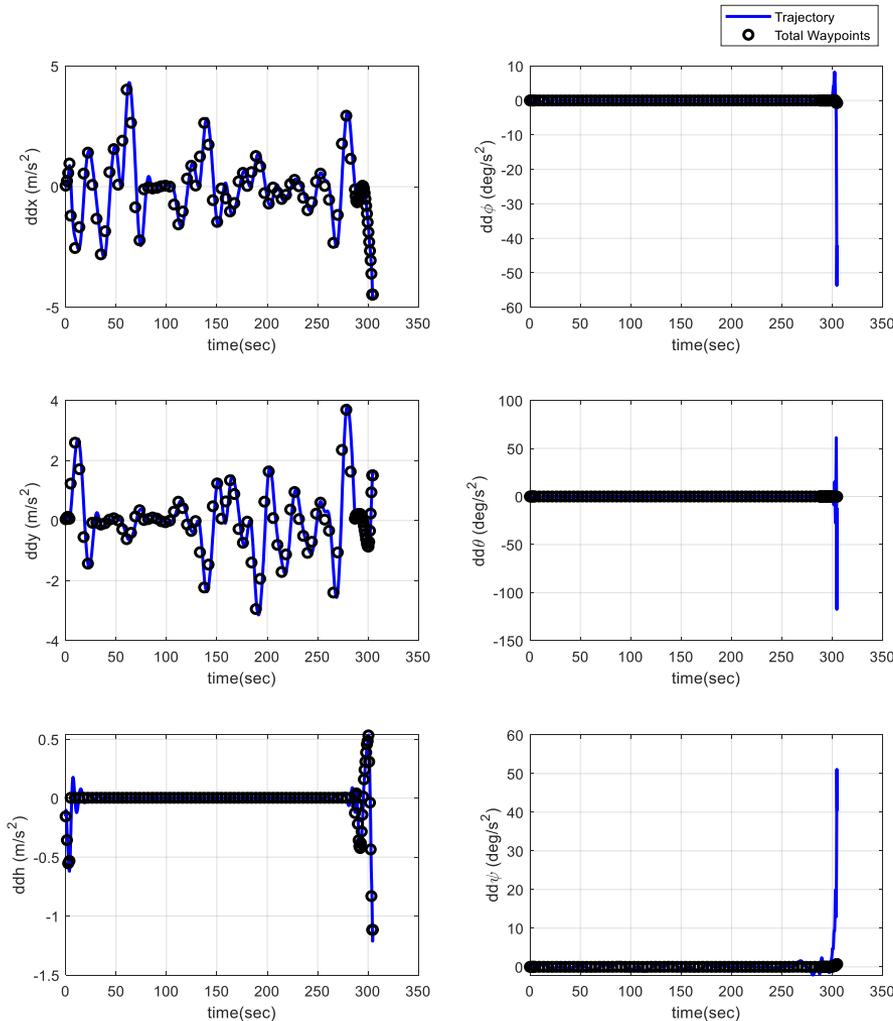
Attitude

linear velocity

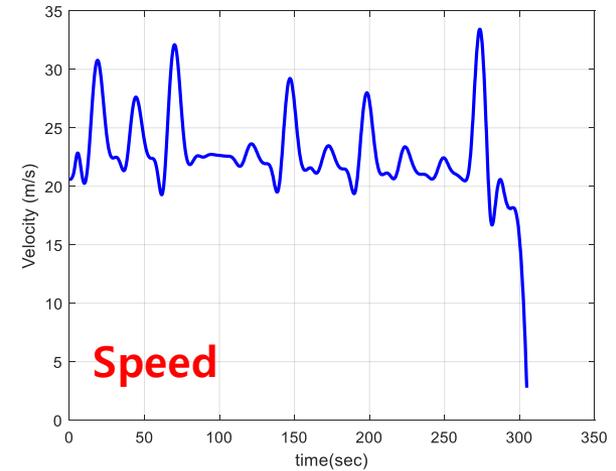
Attitude rate

Autonomous Landing after One Engine Inoperative (OEI) Condition

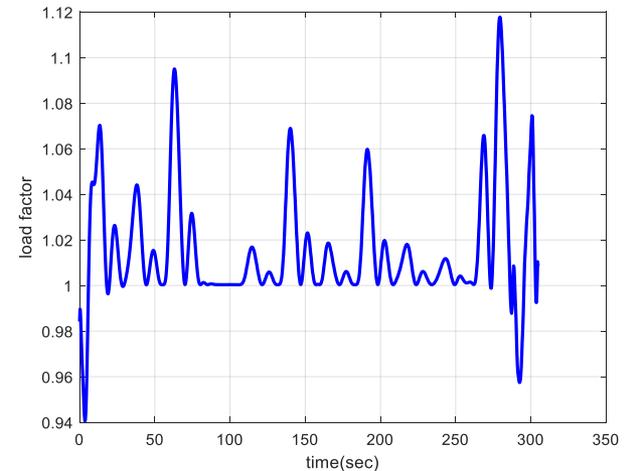
Generated Trajectory using total waypoint data



Linear acceleration



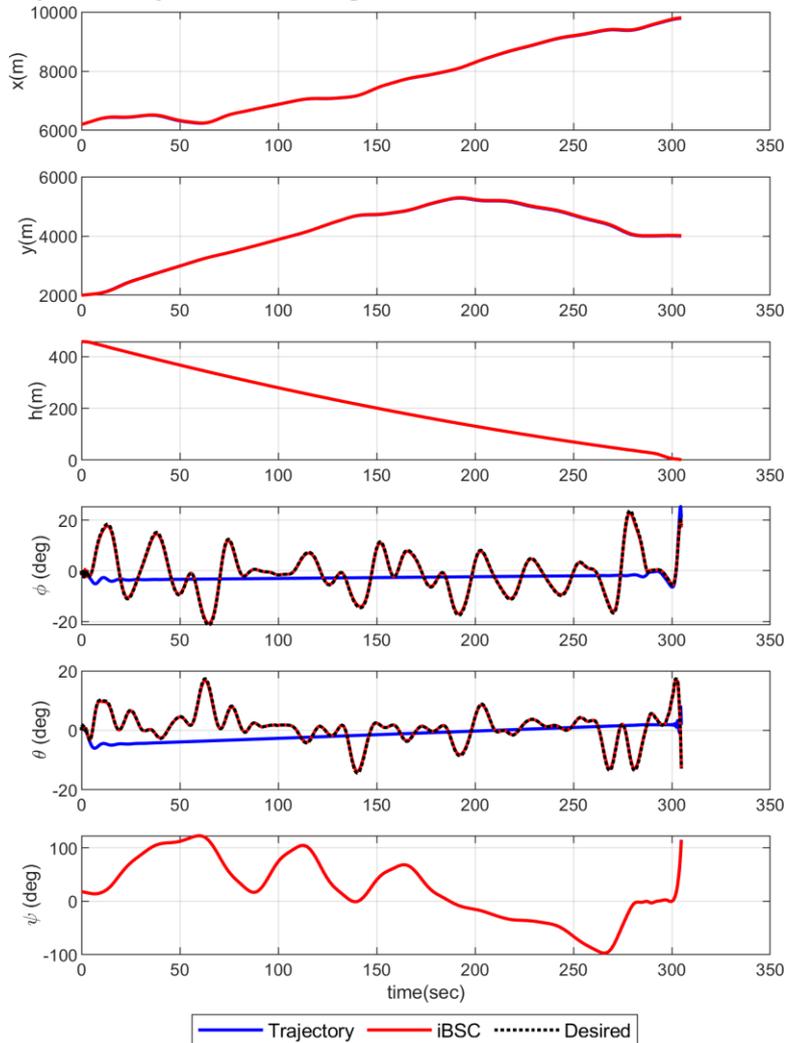
Speed



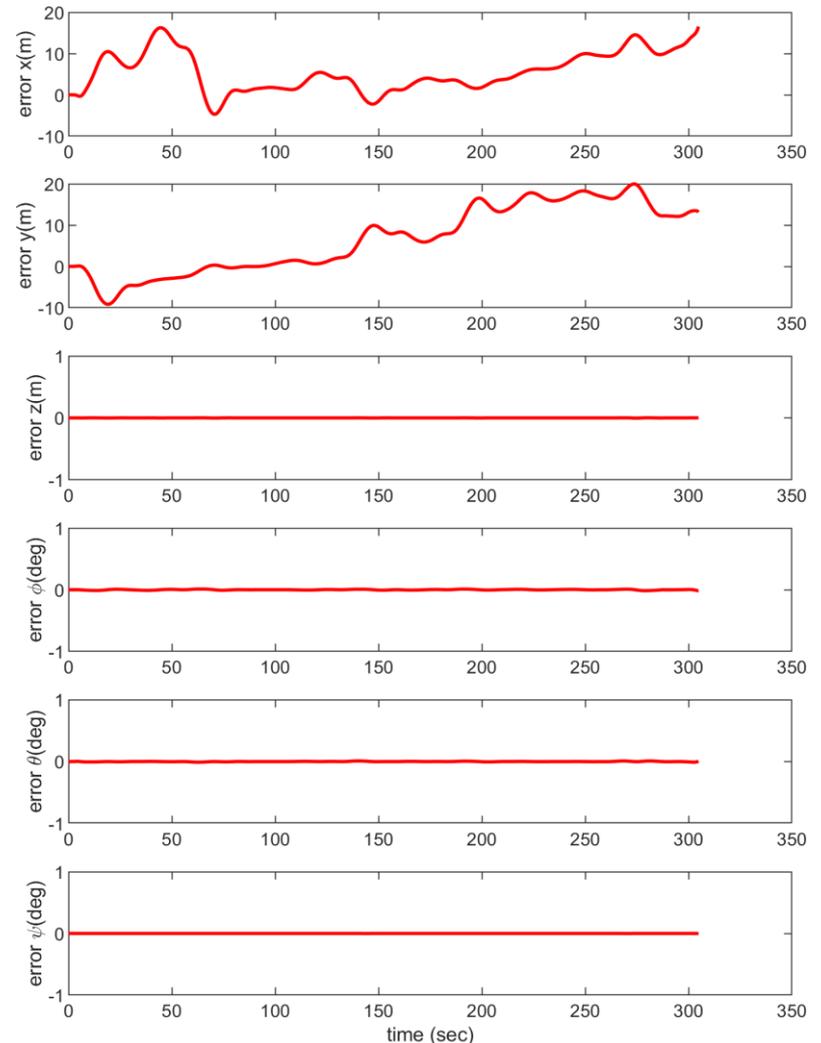
Normal Load Factor

Autonomous Landing after One Engine Inoperative (OEI) Condition

Trajectory-Tracking Control



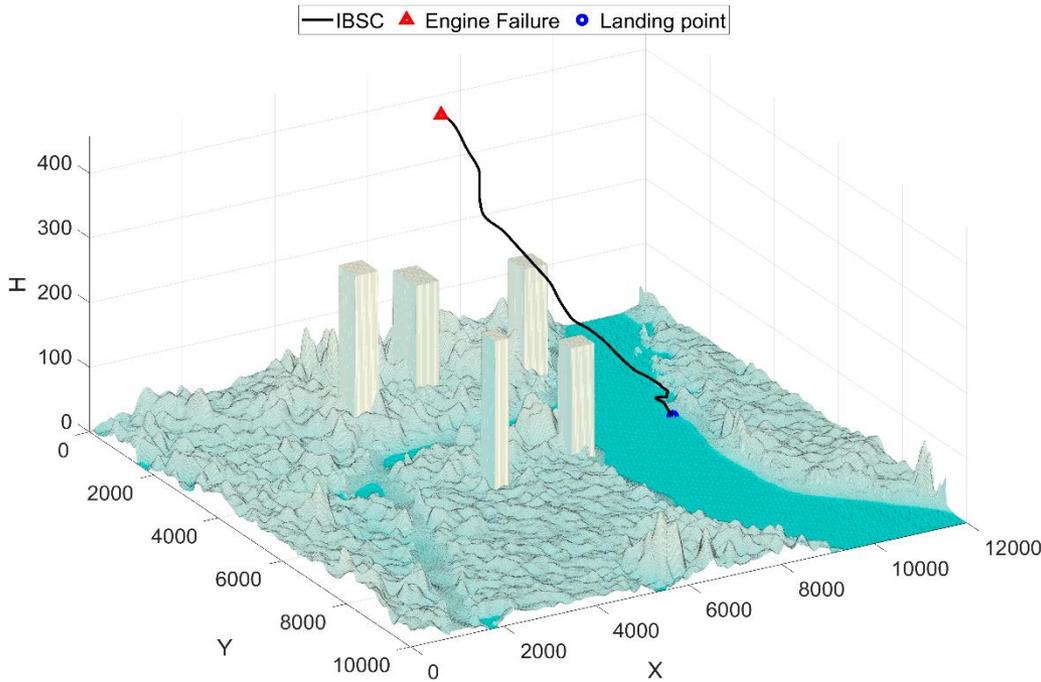
[Position and Attitude]



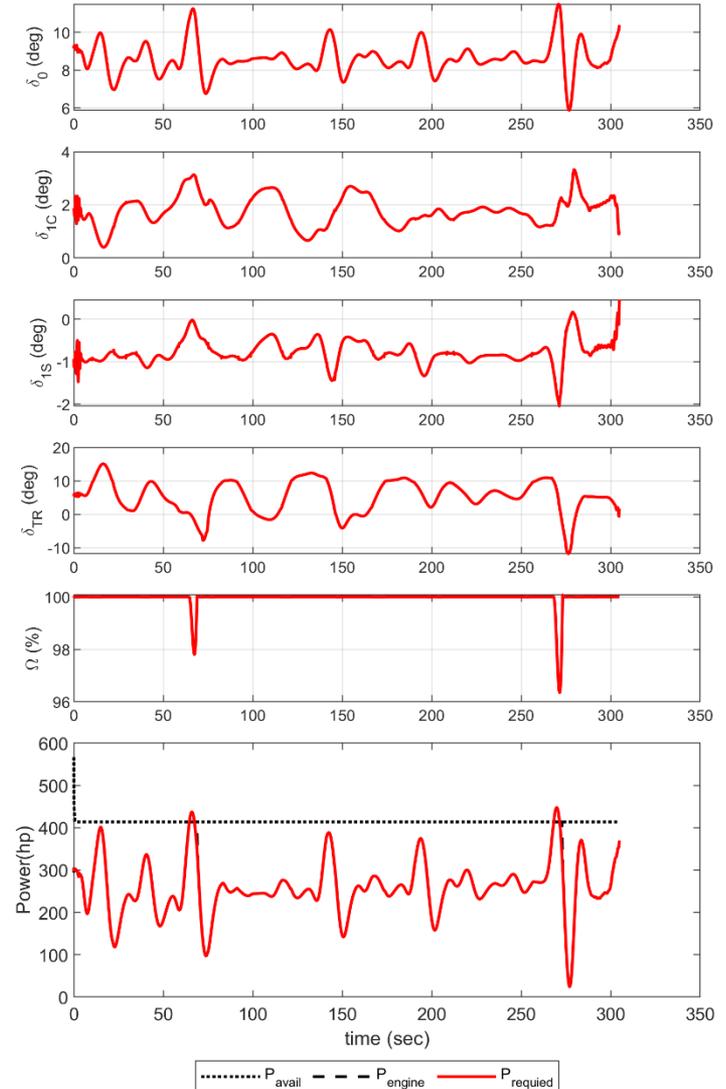
[Tracking Error]

Autonomous Landing after One Engine Inoperative (OEI) Condition

Trajectory-Tracking Control



[Tracking result with Geometric information]

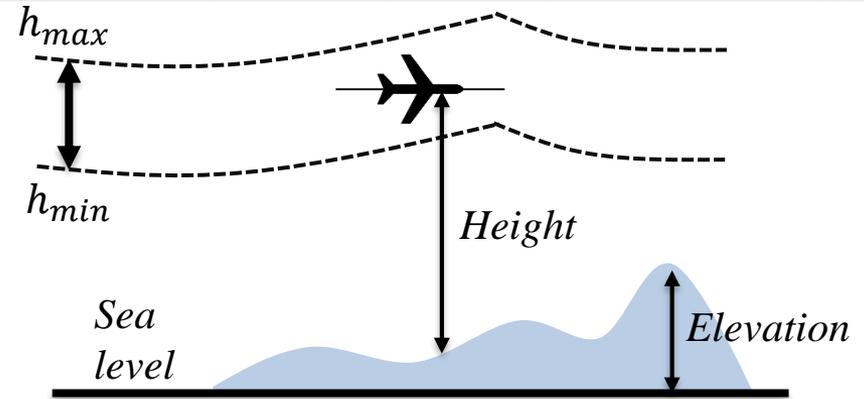


[Control, RPM, and Power]

Autonomous Terrain-Following Flight Control

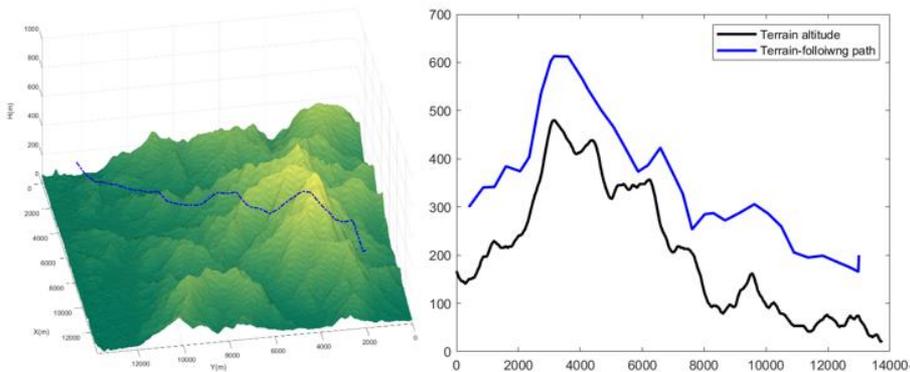
Path Planning Strategy

- RRT algorithm under Height clearance limits
- Real-time planning with unknown terrain information
- Re-planning when detailed terrain information becomes available
- Threat (Radar pop-up) cost considered

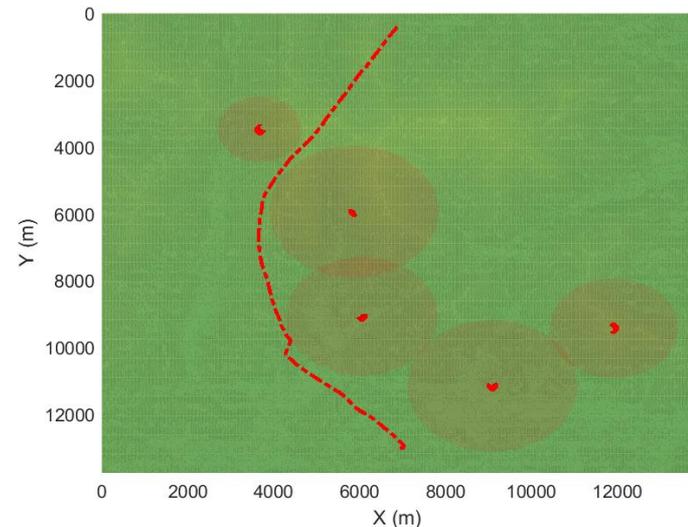


h_{max} = Maximum clearance

h_{min} = Minimum clearance



Minimum Clearance distance = 100.0m
Maximum Clearance distance = 200.0m



Autonomous Terrain-Following Flight Control

Primary Path-Planning using Low/High Resolution Terrain Information

Terrain-Following Path Planning (Unknown Terrain)

Target Point & **Incomplete Terrian Information**

RRT Based Path Planner
(**Global Planner**)

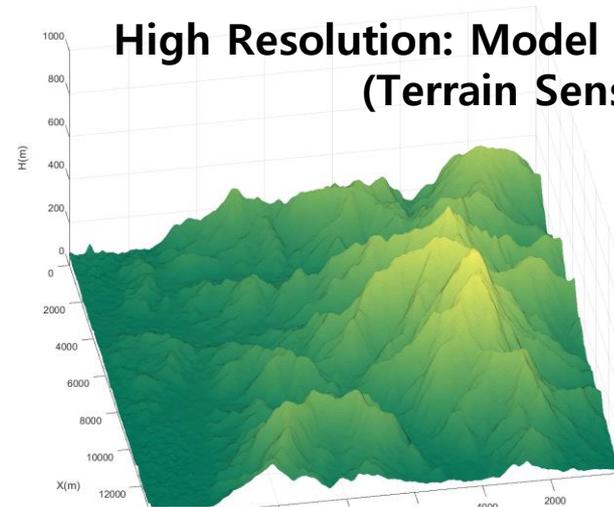
Path Smoothing & CLOSPO

Real time Terrain Detecting
(**Sensor information**)

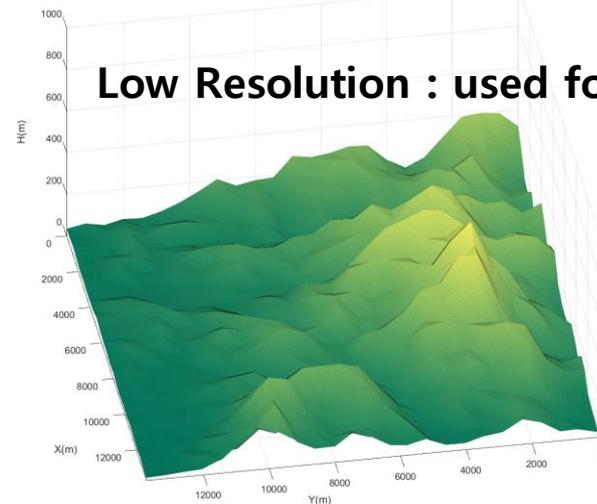
Path Replanning
(**Local Planner**)

Real time Terrain-Following Path

High Resolution: Model for Re-Planning
(**Terrain Sensor information**)



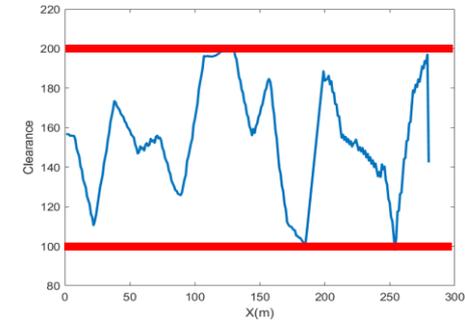
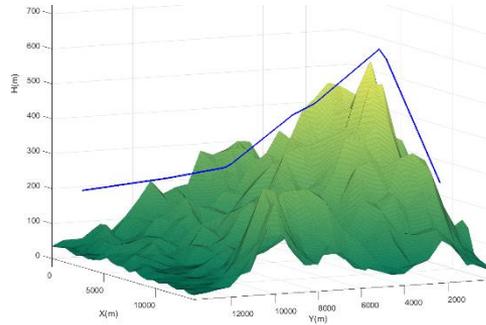
Low Resolution : used for Initial Planning



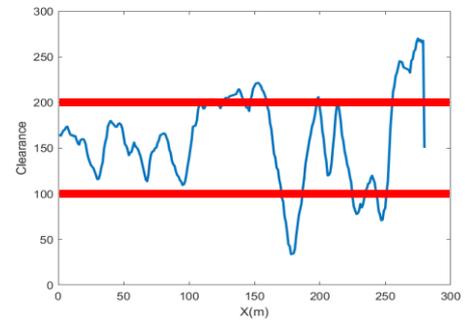
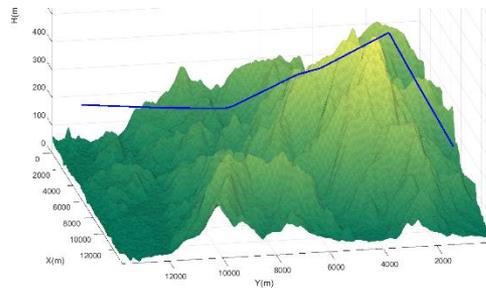
Autonomous Terrain-Following Flight Control

Effect of Map Resolution on Ground Clearance

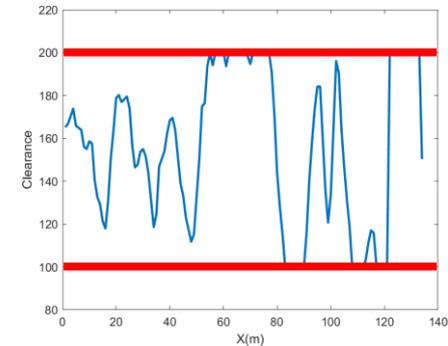
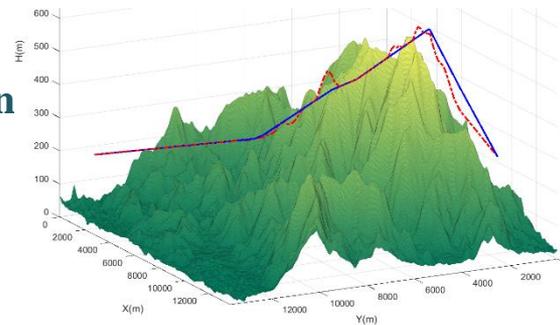
**Low Resolution
Terrain Map**



**High Resolution
Terrain Map**

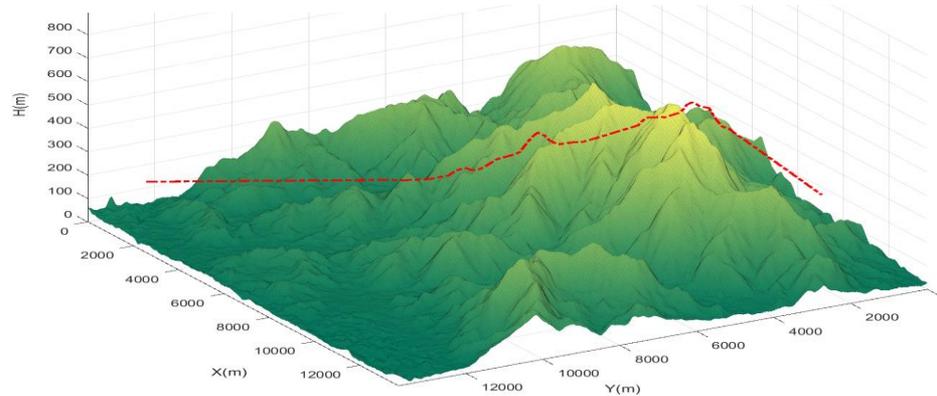
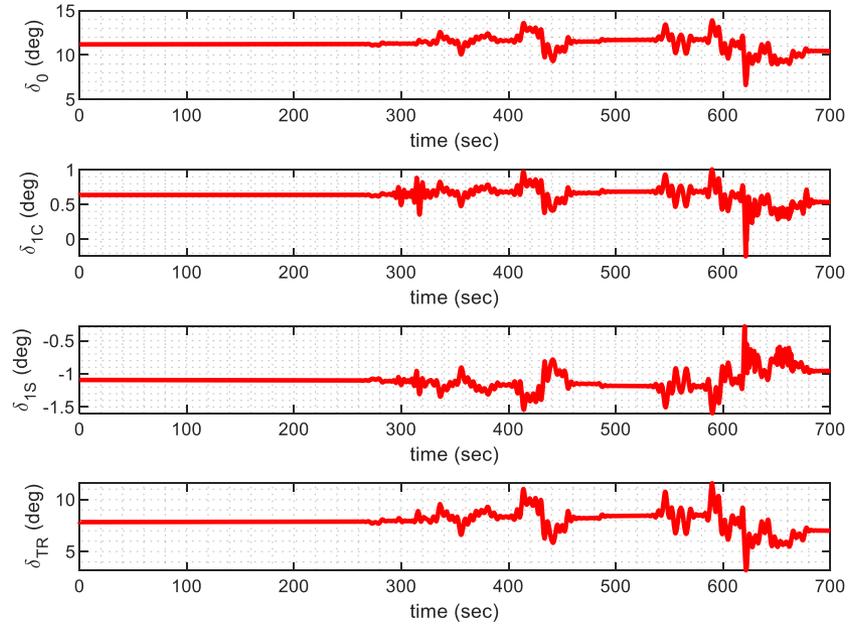
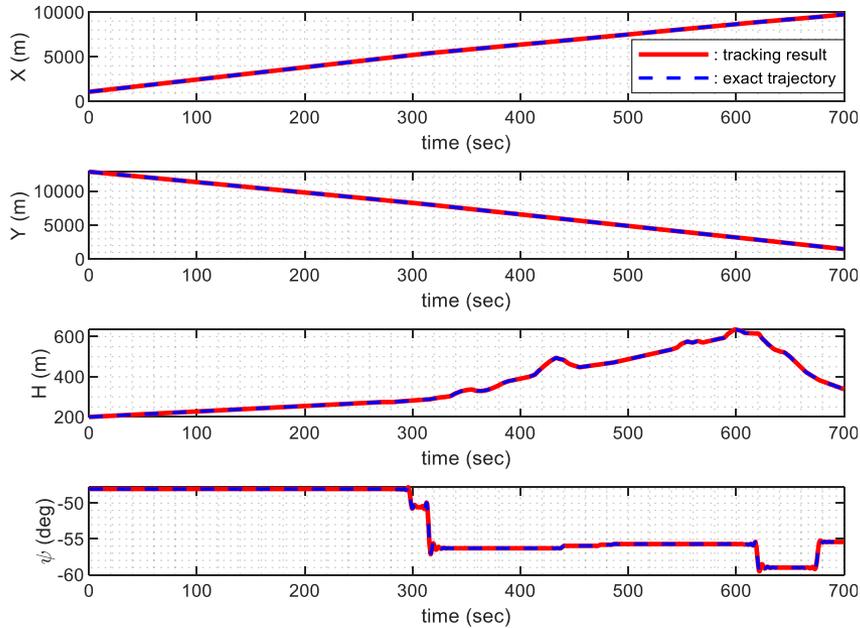


**Real-Time Re-Planning with
Measured Terrain Information**



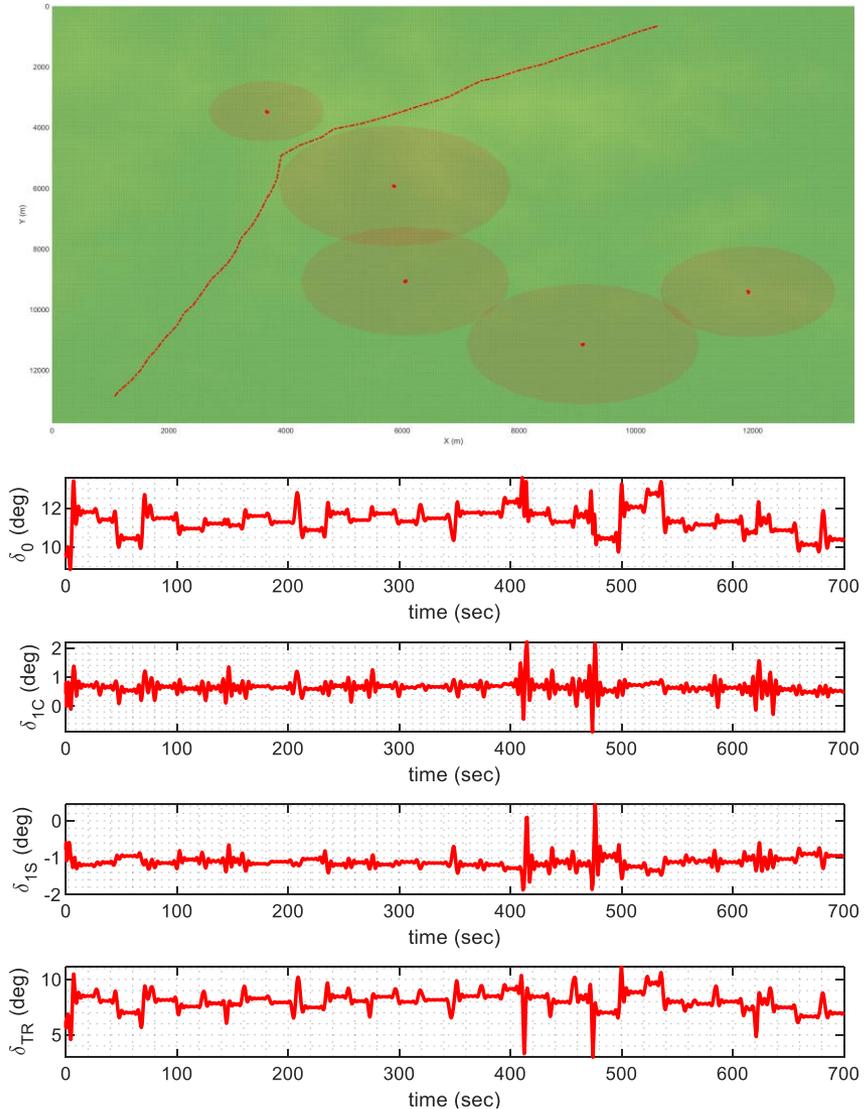
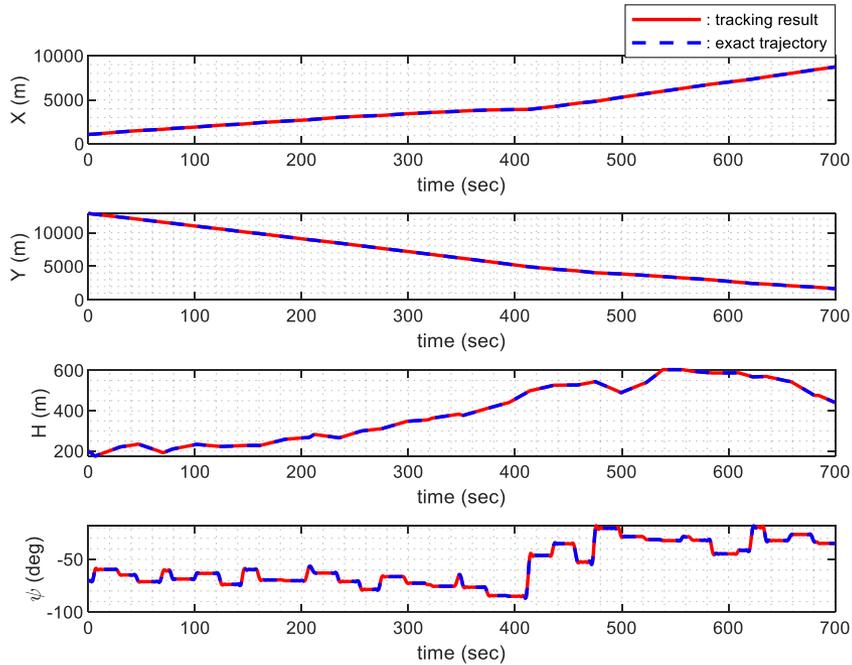
Autonomous Terrain-Following Flight Control

Simulation with Obstacle-free Terrain



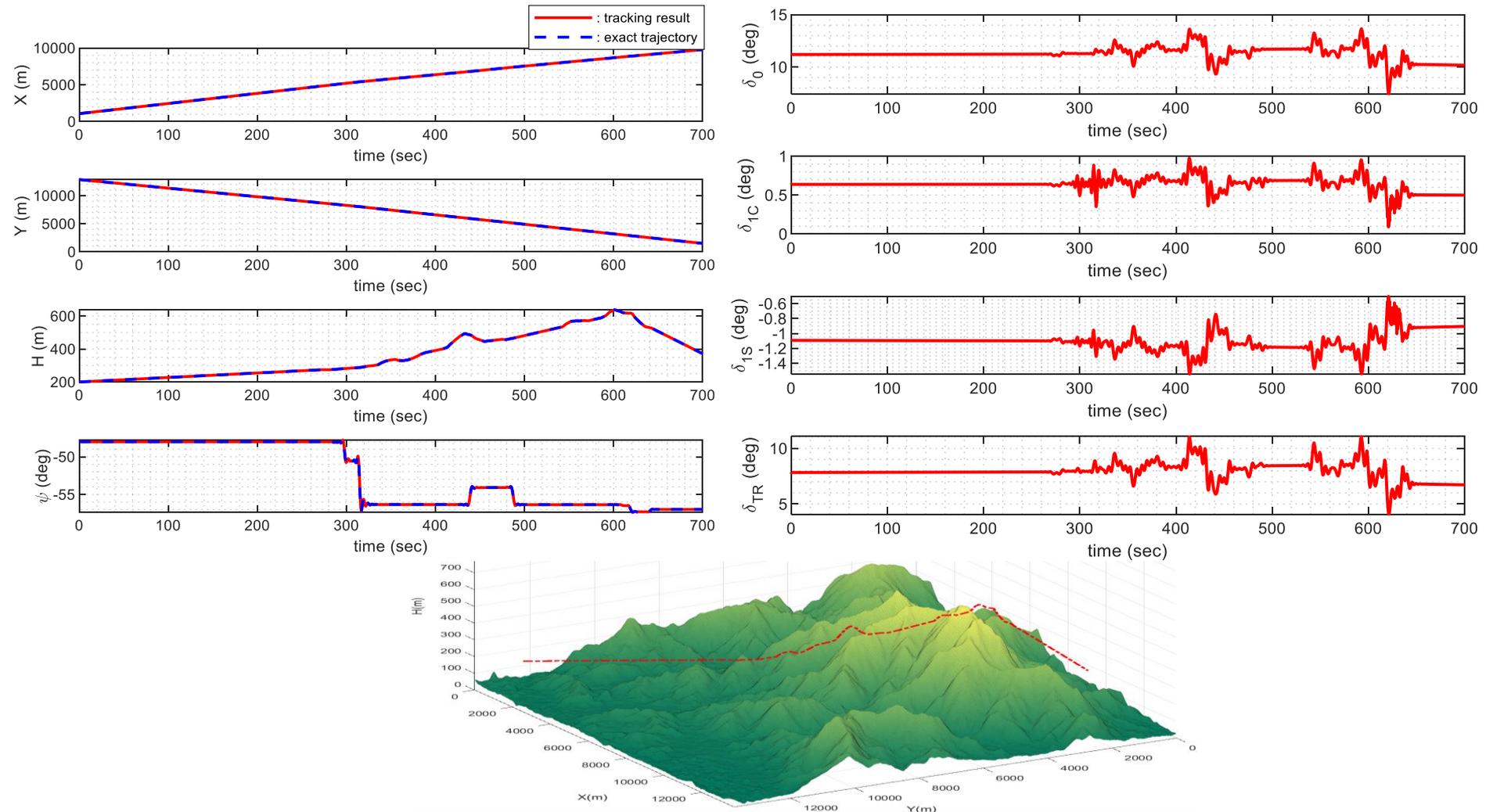
Autonomous Terrain-Following Flight Control

Simulation with Popup Radar



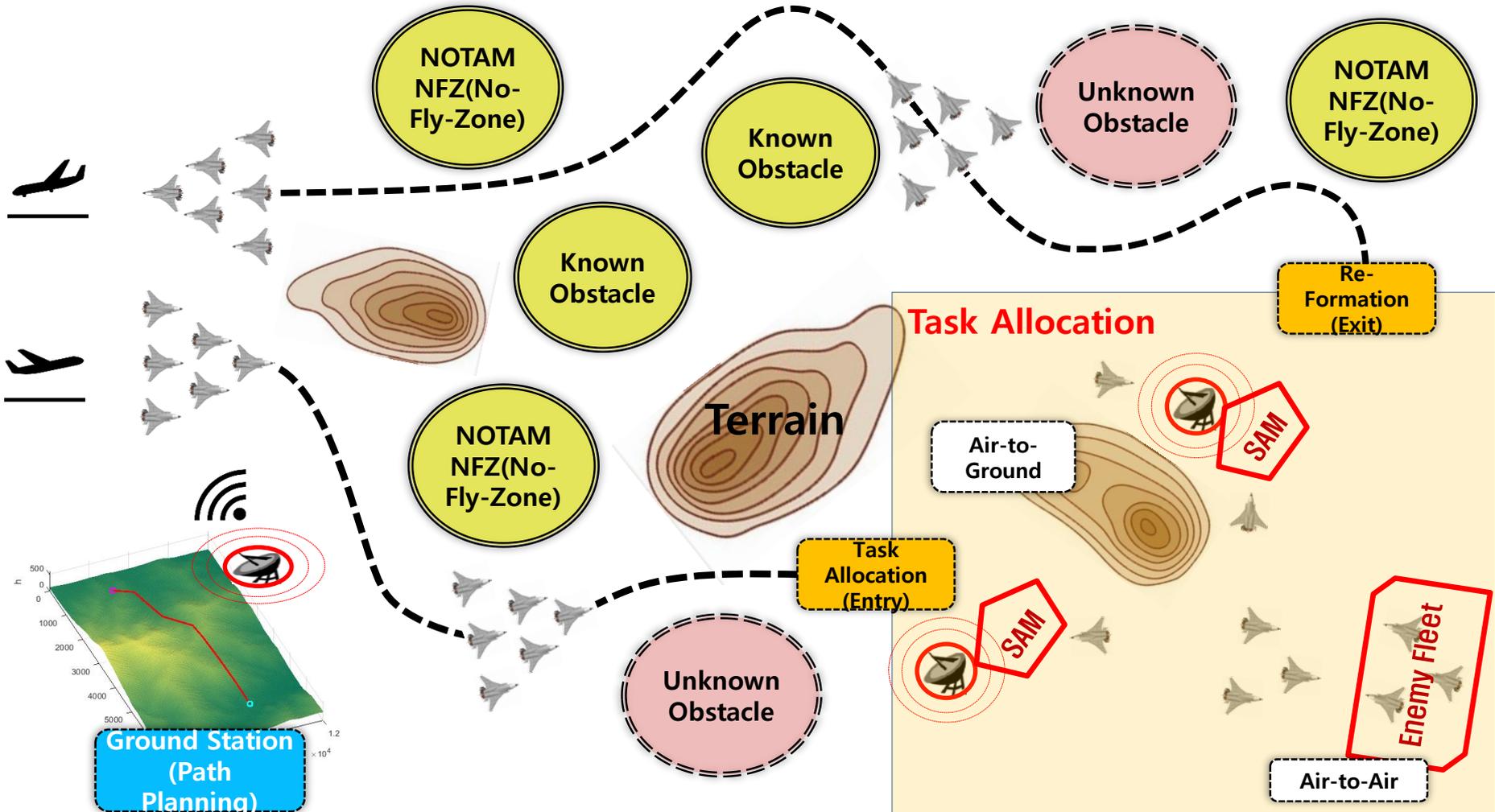
Autonomous Terrain-Following Flight Control

Simulation with Real-time Path-Planning Strategy



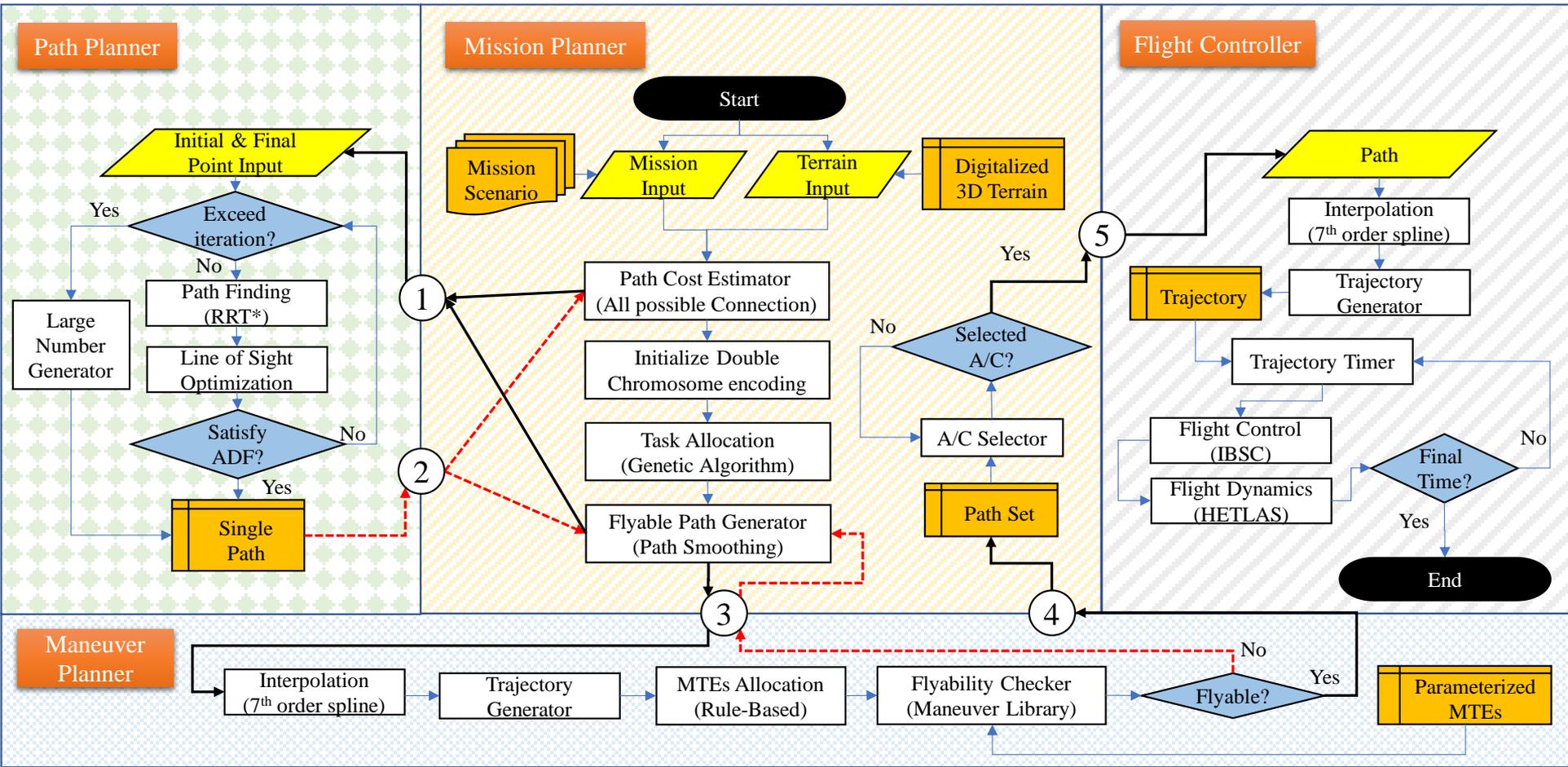
On-Going: Autonomous Multiple-RUAS Operations

Complex and Uncertainty in Mission Environment and Scenarios for Multi-Vehicle Operation



On-Going: Autonomous Multiple-RUAS Operations

Framework for Autonomous Multi-Vehicle Operation



On-Going: Autonomous Multiple-RUAS Operations

Major Mission Planner Functions

Path-Cost Estimation

- All possible connection path between the targets are planned.
- Unconnectable case will be neglected using geometric approach
- Only cost values (ex. distance) are used for GA optimization.

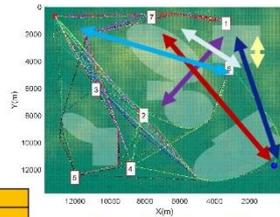
No direct connection for:

Start to target 1 & 7, target 1 to 2

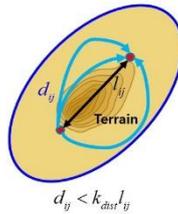
Target 1 to 6, Target 6 to 7 & End Point

Start Point	1	2	3	4	5	6	7	End Point
0	5	10	10295	13457	8493	11570	8148	19149
5	0	5	10284	13457	19122	19104	19116	19095
10	5	0	10280	13451	8487	11559	8151	19096
10295	13457	8493	11570	8148	19149	19131	19095	S.P.
10284	13457	8487	11559	8151	19122	19104	19116	
10280	13451	8487	11559	8151	19096	19093	19086	
13457	13457	13451	12270	3326	0	6172	8015	11682
8493	8488	8487	18407	4031	6172	0	3261	9794
11570	11564	11559	19804	6747	8015	3261	0	12931
8148	8148	8151	836	11682	9794	12931	0	###
19149	19131	19095	4349	11682	9794	12931	0	###
19122	19104	19116	11682	9794	12931	0	###	E.P.
19096	19093	19086	11682	9794	12931	0	###	
19095	19116	19086	9972	8865	5786	11927	13052	###
19086	9972	8865	5786	11927	13052	###	5617	

Estimated Path Cost Between the Targets



Actual Path in 3D



$$d_y < k_{diu}^1_j$$

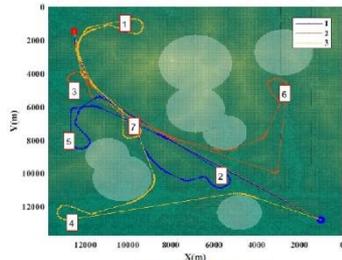
Task Assignment/Trajectory Generation

- Task allocation for: 1) Minimize the total distance
- 2) Evenly distributed targets

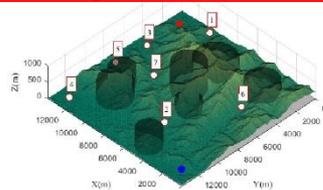
$$\min J = \sum_{i=1}^3 d_i + (10^8) \sum_{i=1}^3 (n_i - n_{avg})^2$$

total distance evenly distributed tasks

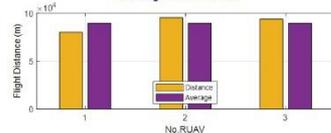
$$n_{avg} = n_{total} / m_{uav} = 2.5$$



Generated Trajectory



Example Scenarios



RUAV ID	Number of Task	Task Sequence
1	2	5-2
2	2	3-6
3	3	4-1-7

Assigned Tasks

Task Allocation

- GA based algorithms are known for their robustness, fastness and others

Table 1 Comparison of common dynamic task allocation algorithms.

Algorithm	Dynamism	Robustness	Fault tolerance	Accuracy	Applicable scale	Speed
Auction algorithm	St	St	St	M	L	F
CNP	St	St	St	M	M	F
GNA	St	St	St	M	M	F
PSO	St	M	M	M	L	F
ACO	St	St	St	M	L	F
Clustering algorithm	St	P	M	P	M	F

Note: St: strong; M: moderate; P: poor; L: large; F: fast.

Q. Peng, H. Wu, and R. Xie, "Review of Dynamic Task Allocation Methods for UAV Swarms Oriented to Ground Targets," Complex System Modeling and Simulation, vol. 1, no. 3, pp. 165-175, 2021, doi: 10.23919/csms.2021.0022.

- Double-Chromosome Encoding Methods are applied for task allocation

Example 1

- RUAV 3

- Chromosome I (3,1,4,2,5)

- Chromosome II (2,3)

Task Order	3	1	4	2	5
No. UAV	RUAV1		RUAV2		RUAV3

Example 2

- 3 RUAV

- Chromosome I (3,1,4,2,5)

- Chromosome II (4,4)

- Note. No tasks are assigned to RUAV2

Task Order	3	1	4	2	5
No. UAV		RUAV1			RUAV3

Cross Over

1	3	5	2	4	6	4	3	5	2	1	6
2	5	4	1	6	3	3	5	4	1	6	2

Mutation

- Holding selected part

1	3	5	2	4	6	6	3	5	2	1	4
---	---	---	---	---	---	---	---	---	---	---	---

- Flip entire selected part

1	3	5	2	4	6	1	4	2	5	3	6
---	---	---	---	---	---	---	---	---	---	---	---

- Exchange selected two elements

1	3	5	2	4	6	1	4	5	2	3	6
---	---	---	---	---	---	---	---	---	---	---	---

- Sliding elements in selected part

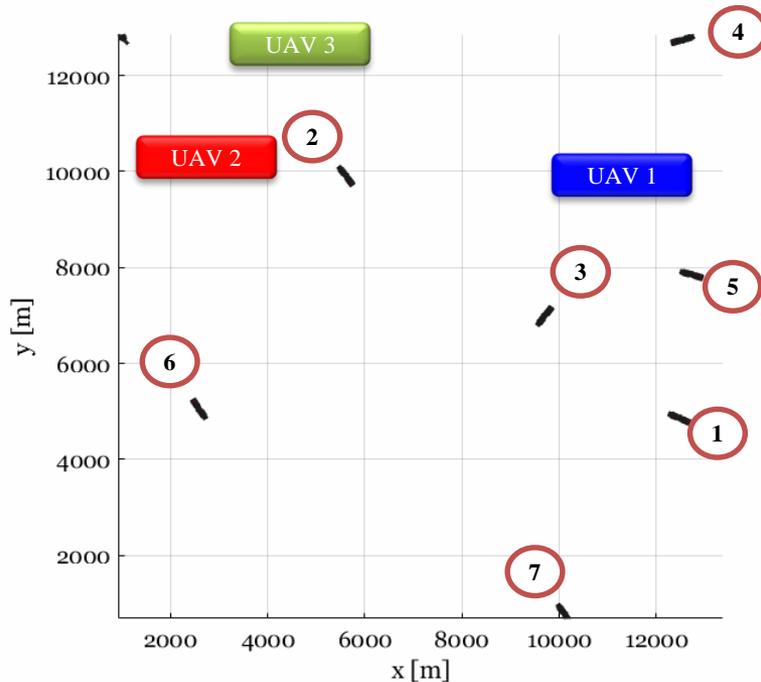
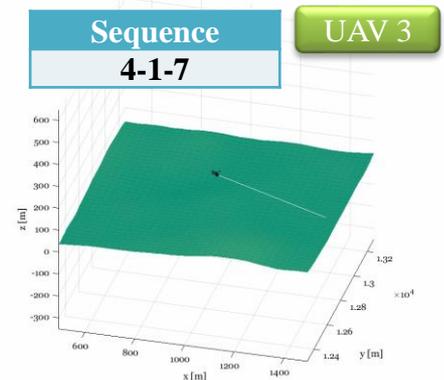
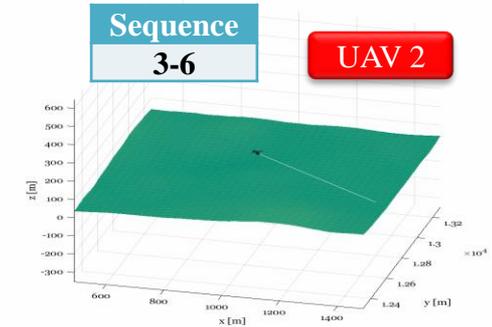
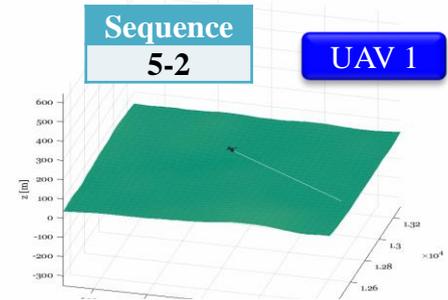
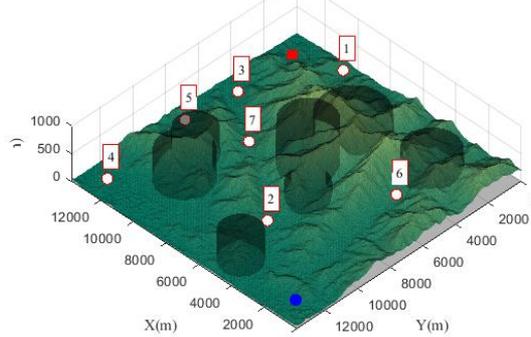
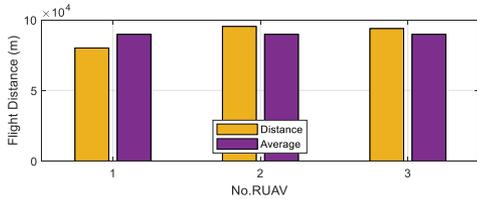
1	3	5	2	4	6	1	5	2	4	3	6
---	---	---	---	---	---	---	---	---	---	---	---

On-Going: Autonomous Multiple-RUAS Operations

Simulation Evaluation with Trajectory-Tracking Control

$$\min J = \sum_{i=1}^3 d_i + (10^8) \sum_{i=1}^3 (n_i - n_{avg})^2$$

$$n_{avg} = n_{total} / m_{uav} = 2.5$$



Plot without Terrain

1 Initial Motivation for Autonomous FCS Research

2 First-Stage Activities in Autonomous FCS Research

3 Recent Progress in Autonomous FCS Research

Development of IBS Trajectory-Tracking Control

Integration of Path-Planning, Flyable Trajectory Generation, and Tracking Control

4 Summary of Part 2

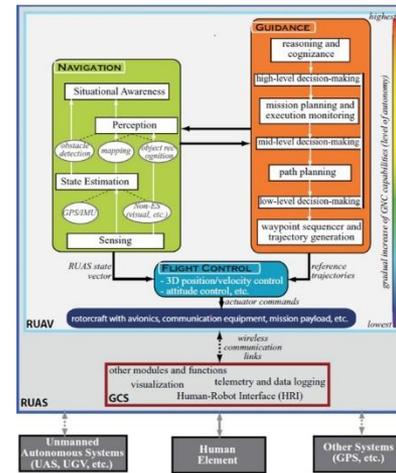
Recent Research Progresses in Rotorcraft Flight Dynamics and Autonomous Flight Control at KCU

Part 2: Rotorcraft Autonomous Flight Control Systems

Summary

KKU Researches have been initially motivated by

- **Kendou's definition of Autonomy Level and Functional Requirements**
- **NASA's researches on RASCAL JUH-60A Black Hawk program**
- **KKU's Mission Scenario Analysis**



- **Rotorcraft Unmanned Aerial Vehicle(RUAV)**
A powered rotorcraft that does not require an onboard crew, can operate with some degree of autonomy, and can be expendable or reusable.
- **Rotorcraft Unmanned Aerial or Aircraft System(RUAS)**
A RUAS is a physical system that includes a RUAV, communication architecture, and a ground control station with no human element aboard any component.
- **Navigation System(NS): Perception & State Estimation**
The process of monitoring and controlling the movement of a craft or vehicle from one place to another.
- **Guidance System(GS)**
The "driver" of a RUAS that exercises **Mission/Path planning and decision-making functions** to achieve assigned missions or goals.
- **Autonomous Flight Control System(AFCS)**
The process of manipulating the inputs to a dynamic system to obtain a desired effect on its outputs without a human in the control loop.

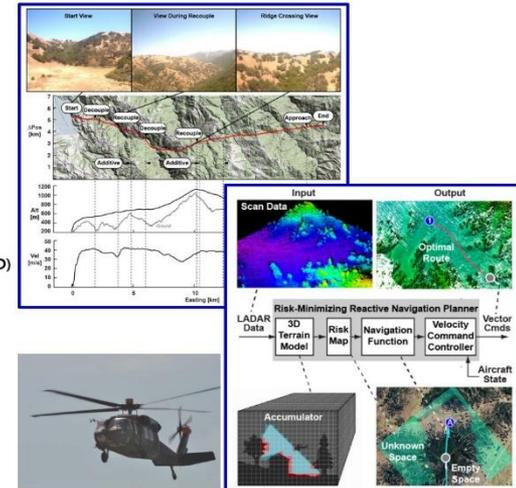
Mission Scenario Analysis for Functional Requirements : Air-to-Ground

Mission Phases	Threats / Obstacles	Terrain Masking	Trajectory	Path Constraints	Aircraft Modes
(1) Take off / Acceleration			Base	TO procedure	RW→FW
(2) Climb			Waypoint	V, RoC	FW
(3) Approach to target zone	Radar / SAM / Terrain / NFZ		Waypoint	V, RoC	FW
(4) Enter into threat aera	Radar / SAM / Terrain / NFZ	☀	Waypoint	V, nz, RoC	FW
(5) target priority selection	Radar / SAM / Terrain / NFZ	☀	Waypoint	V, nz, RoC	FW
(6) Ingress to target zone	Radar / SAM / Terrain / NFZ	☀	Waypoint	V, nz, RoC	FW
(7) Maneuvers for target intercept (multi-target intercept)	Radar / SAM / Terrain / NFZ	☀	Aggressive MTEs	Corridor for Best Intercept V, nz, RoC	FW
(8) Egress from target zone	Radar / SAM / Terrain / NFZ	☀	Waypoint	V, nz, RoC	FW
(9) Escape from threat aera	Radar / SAM / Terrain / NFZ	☀	Waypoint	V, nz, RoC	FW
(10) Repeat (3)-(9) as required	Radar / SAM / Terrain / NFZ		Waypoint	V, nz, RoC	FW
Return to base			Waypoint	V, RoC	FW
Deceleration / Landing approach			Waypoint	V, RoD LD procedure	FW→RW
Landing			Base	LD procedure	RW

RW = Rotary Wing Mode
FW = Fixed Wing Mode

Autonomous FCS Structure of RASCAL JUH-60A Black Hawk (US Army)

- **Multi-Level Autonomy**
 - ✓ Fully Coupled Autonomous Mode
 - ✓ Additive Control Mode
 - ✓ Decoupled ACAH Mode
 - ✓ Pilot Interaction with Mode
 - ✓ Control System Design with Mode Transitions
- **Mission S/W**
 - ✓ Mission Manager/Operator Interface
 - ✓ Obstacle Field Navigation (OFN)
 - ✓ Safe Landing Area Determination (SLAD)
 - ✓ Path Generation
 - ✓ Vector Command
- **Autonomous Flight Control S/W (AFCS)**
 - ✓ Waypoint Control
 - ✓ Tracking Control
 - ✓ Inner-Loop Control

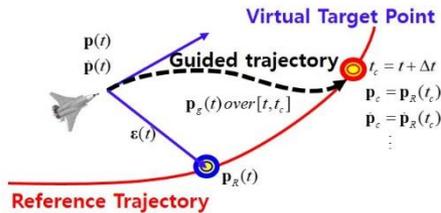


At the initial stage of Studies, KKU mainly focused on

- Path planning based on RRT combined with Line-Of-Sight Path Optimization (LOSPO)
- Flyable trajectory generation avoiding ground collision
- Autonomous flight control laws based on the Model Following Control (MFC) framework
- Ahead-time based Carrot-Chasing Guidance Laws(CCGL)

Development of Ahead-Time Based Carrot Chasing Guidance Law (CCGL)

Ahead-Time based Carrot-Chasing Algorithm

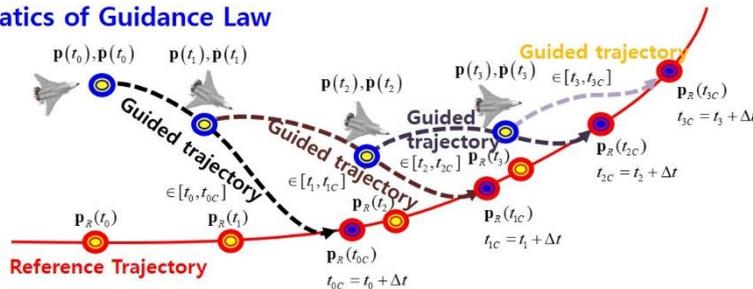


Two different trajectory are used.

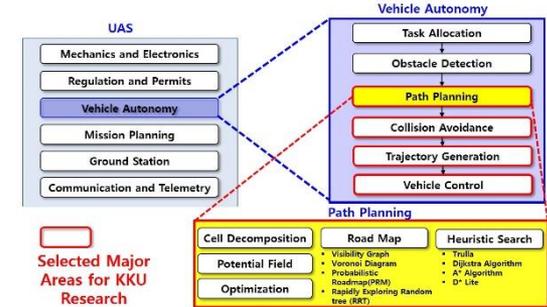
1. Reference Trajectory
2. Guided Trajectory

- : VTP on Reference Trajectory at time t
- : VTP on Reference Trajectory at time $t + \Delta t$

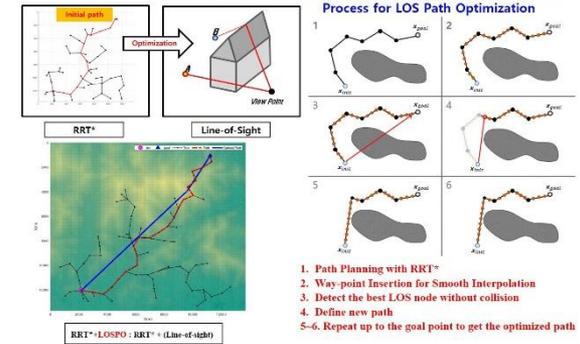
Schematics of Guidance Law



KKU Selection of Major Research Areas for Autonomous FCS

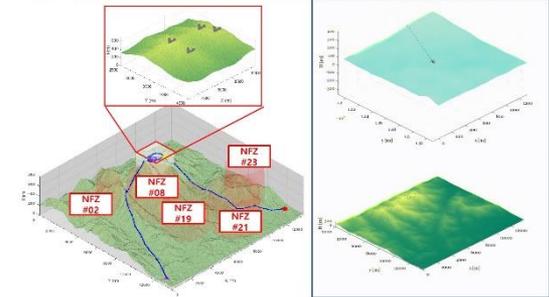


Development of LOS (Line-Of-Sight) Path Optimization Algorithm



Flyable Trajectory Generation using Dubins Path

Applications to Optimal Trajectory Generation for Multi-Target-Intercept Mission

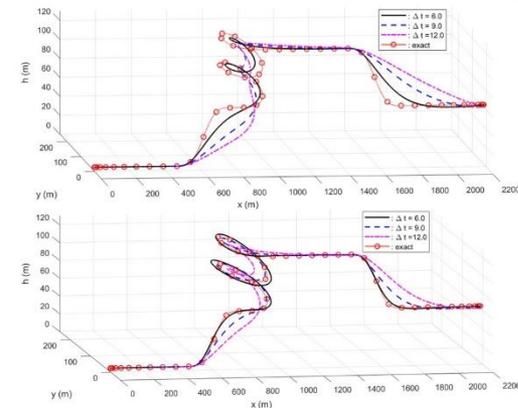


Effectiveness of Ahead-Time based CCGL (Carrot-Chasing Guidance Law) has been validated through its application to Autonomous guidance along the composite maneuver course.

Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)

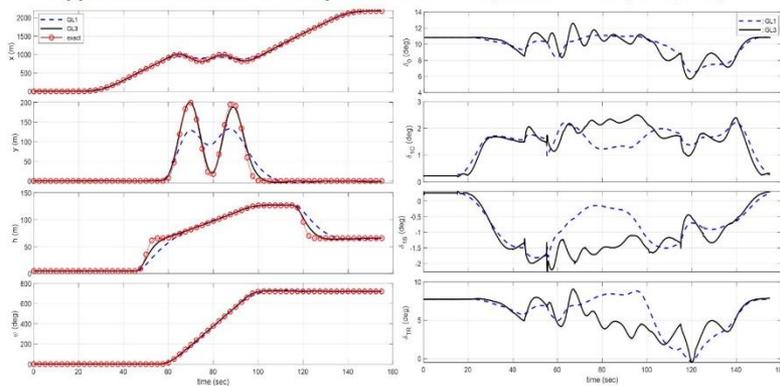
No.	Maneuvers	Duration	State changes during maneuver
1	Hover	15sec	Hover station-keeping at 15ft
2	Acceleration	30sec	Level acceleration from 0 knots to 60knots
3	Pop-up	10sec	Climb from 15 ft up to 215ft and recover the level flight condition
4	Helical Turn	60sec	After 720 deg heading and 200 ft altitude changes, return to level flight
5	Pop-down	10sec	Descent from 415 ft to 215ft and recover the level flight condition
6	Deceleration	30sec	Level deceleration from 60 knots to hover

Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)



Effect of ahead time on trajectory-tracking accuracy (Upper:GL1, Lower:GL3)

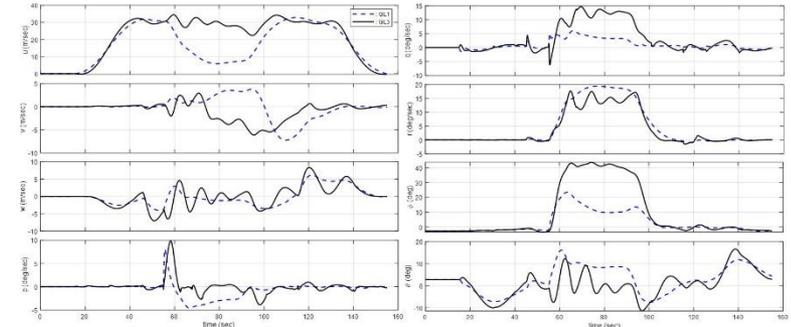
Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)



Comparison of trajectory tracking accuracy

Time history of control inputs with $\Delta t = 9.0(\text{sec})$

Applications of CCGL to Composite Maneuver (MFC structure, GL1/GL3)



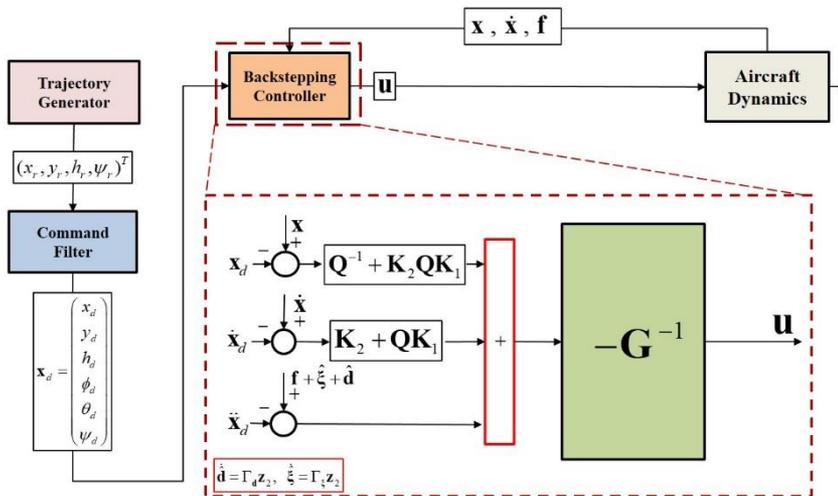
Aircraft states computed with $\Delta t = 9.0(\text{sec})$

Summary of Part 2 : Rotorcraft Autonomous FCS

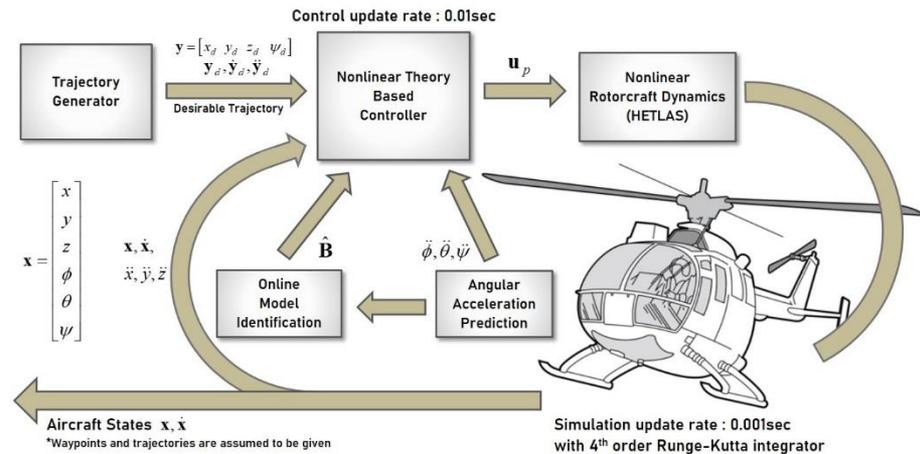
The trajectory-tracking control design, based on IBSC (Incremental Back-Stepping Control) theory, has been developed under the following Know-Hows.

- Flight Dynamic Model represented in the Inertial Frame is more convenient.
- Incremental Dynamics are much more effective for real applications.
- Slack-Variable Approach to System Dynamics is extremely effective.
- SAS-type functions are working well for the trajectory-tracking IBSC.
- Rigorous design works for Gain Optimization can be removed.

Schematics of Back-Stepping Controller with Command Filter



Simulation Environment for Flight-Control-Law Validation



Path-Planning, Flyable Trajectory Generation, and Trajectory-Tracking Control Law has been successfully integrated and validated through a series of Applications.

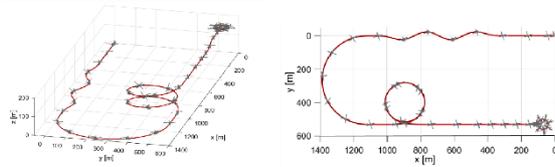
Combined Maneuver Case

Sequence of Maneuvers

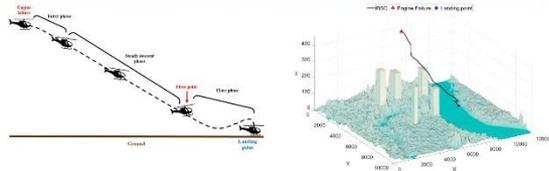
Maneuvers	Length (sec)	Velocity range (kts)	Notes
Initial Condition	0	Hover	Initial Height: 100 ft
Acceleration	0 - 20	0 to 60	/
Sidestep	20 - 45	60	/
Transient Turn	45 - 75	60	180 deg turn
Helical Turn	75 - 135	60	720 deg turn
Deceleration	135 - 150	60 - 30	/
Pop up	150 - 160	30	100ft ascent
Deceleration	160 - 175	30 - 0	/
Proximate	175 - 220	0	

Adaptive IBSC with Least-Squares parameter estimation with direction forgetting

Simulation time step : 0.001sec
Control update rate : 0.01sec



Autonomous Landing after One Engine Inoperative (OEI) Condition



Path Planning

- Entry/Exit Phase: using NOCP solution
- Steady Decent Phase: Bi-directional RRT (from Entry final point to Flare initiation point)

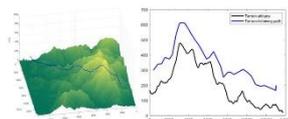
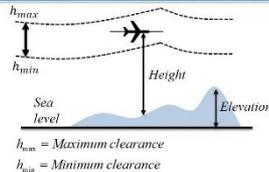
Trajectory Generation using Spline Interpolation

Trajectory-Tracking using IBSC

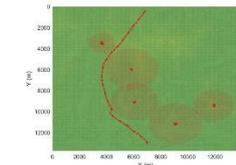
Autonomous Terrain-Following Flight Control

Path Planning Strategy

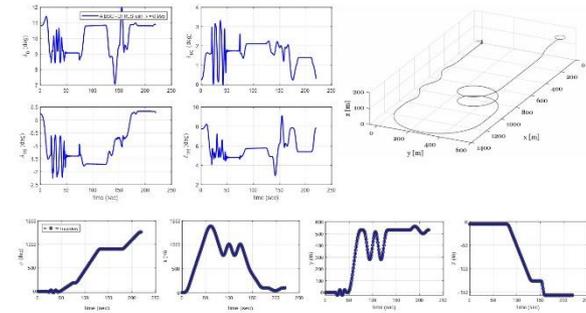
- RRT algorithm under Height clearance limits
- Real-time planning with unknown terrain information
- Re-planning when detailed terrain information becomes available
- Threat (Radar popup) cost considered



Minimum Clearance distance = 100.0m
Maximum Clearance distance = 200.0m

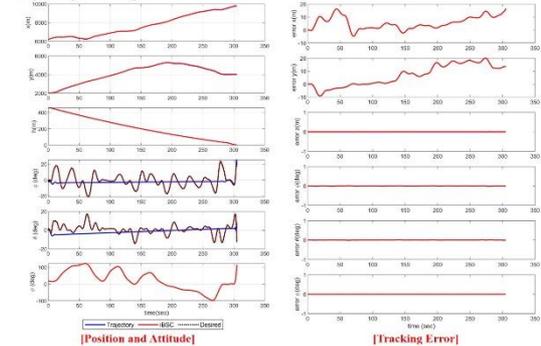


Combined Maneuver Case: Control inputs and Trajectory States



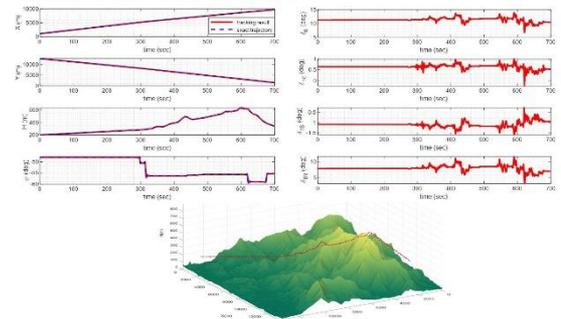
Autonomous Landing after One Engine Inoperative (OEI) Condition

Trajectory-Tracking Control



Autonomous Terrain-Following Flight Control

Simulation with Obstacle-free Terrain



End of Part 2
Thank You !!